

NOMURA HOLDINGS INC
Form 6-K
November 19, 2013
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of
the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of November 2013

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. Nomura Finalizes Number and Exercise Price of Stock Options

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: November 19, 2013

By: /s/ Eiji Miura
Eiji Miura
Senior Managing Director

Table of Contents

Nomura Finalizes Number and Exercise Price of Stock Options

Tokyo, November 19, 2013 Nomura Holdings, Inc. (the Company) today announced that it has finalized the number and the exercise price of stock acquisition rights to be issued as stock options based on a resolution of its Executive Management Board held on October 28, 2013, as outlined below.

	Stock Acquisition Rights No. 55
1. Total Number of Stock Acquisition Rights to be Issued	27,110
2. Grantees	Total of 1,263 directors and employees of subsidiaries of the Company
3. Shares of Common Stock Under Stock Acquisition Rights	2,711,000 shares
4. Value of Assets Financed upon the Exercise of the Stock Acquisition Rights	83,800 yen per Stock Acquisition Right 838 yen per share (Equal to the product of (i) the closing price of the common stock of the Company on the Tokyo Stock Exchange on the grant date (November 19, 2013) and (ii) 1.05 (any fraction of less than one (1) yen resulting from the calculation shall be rounded up to the nearest yen))
5. Total Value of Common Stock to be Granted (issue of new stocks or transfer of treasury stocks) Upon Exercise of Stock Acquisition Rights (issue of new shares or transfer of treasury shares)	2,271,818,000 yen

ends

For further information please contact:

Name	Company	Telephone
Joey Wu	Nomura Holdings, Inc.	81-3-3278-0591
Keiko Sugai	Group Corporate Communications Dept.	
Nomura		

Nomura is a leading financial services group and the preeminent Asia-based investment bank with worldwide reach.

Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura has a unique understanding of Asia that enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (global markets and investment banking). For further information about Nomura, please visit www.nomura.com.