

ALLIANCE ONE INTERNATIONAL, INC.

Form 8-K/A

November 29, 2013

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K/A**  
**(Amendment No. 1)**

**CURRENT REPORT**  
**Pursuant to Section 13 or 15(d)**  
**of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): November 15, 2013**

**Alliance One International, Inc.**  
**(Exact name of Registrant, as specified in its charter)**

**(State or other jurisdiction  
of incorporation)**

**(Commission  
file number)  
8001 Aerial Center Parkway**

**(I.R.S. Employer  
Identification No.)**

**Morrisville, North Carolina 27560-8417**

**(Address of principal executive offices, including zip code)**

**(919) 379-4300**

**(Registrant's telephone number, including area code)**

**Not Applicable**

**(Former name or address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ..  Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ..  Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ..  Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ..  Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## **Explanatory Note**

This Amendment No. 1 on Form 8-K/A amends the Form 8-K of Alliance One International, Inc. dated November 21, 2013 to correct dates inadvertently reading November 21, 2013 in Items 5.02 and 9.01 of such Form 8-K, to re-file the press release filed as Exhibit 99.1 thereto to reflect the correct date thereof and to include information with respect to the compensatory arrangements that were not determined until after the filing of such Form 8-K. Items 5.02 and 9.01 of such Form 8-K are restated hereby. In addition, the date set forth on the cover page of such Form 8-K is amended to read: Date of Report (Date of earliest event reported): November 15, 2013.

### **Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

On November 18, 2013, Alliance One International, Inc. (the Company) announced that Robert A. Sheets, Executive Vice President Chief Financial Officer and Chief Administrative Officer, will be retiring from the Company, relinquishing his role as Chief Financial Officer effective December 31, 2013, but remaining as Executive Vice President - Chief Administrative Officer for a transition period. The Company further announced that Joel L. Thomas, currently Vice President Treasurer, is appointed as Executive Vice President Chief Financial Officer effective January 1, 2014. In addition, the Company announced the re-assignment of other officers. Effective January 1, 2014, Nicholas A. Fink, the Company's Chief Compliance Officer, will also assume the position of Vice President Controller (principal accounting officer) and Hampton R. Poole, Jr., the Company's current Vice President Controller, will serve as Director of Supply Chain. The announcement followed formal notification by Mr. Sheets to the Company on November 15, 2013 and action by the Executive Committee of the Company's Board of Directors on that date.

The Company issued a press release on November 18, 2013 announcing these changes, which press release is filed as Exhibit 99.1 hereto. The press release, including the biographical information with respect to Messrs. Thomas and Fink set forth therein, is incorporated herein by reference.

On November 25, 2013, Mr. Thomas and Mr. Fink, accepted the following changes to their respective compensation arrangements with the Company in connection with their changes in responsibilities. Mr. Thomas's annual salary rate is to be increased to \$330,000, he is to be eligible to participate in Group One of the Company's Annual Incentive Plan and he is to be eligible to participate in the Company's Supplemental Retirement Account Plan. Mr. Fink's annual salary rate is to be increased to \$210,000. Such compensation changes are to be effective as of January 1, 2014.

### **Item 9.01 Exhibits.**

Exhibit 99.1 Press release dated November 18, 2013

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 29, 2013

**ALLIANCE ONE INTERNATIONAL,  
INC.**

By: /s/ Joel L. Thomas  
Joel L. Thomas  
Vice President    Treasurer

**EXHIBIT INDEX**

<b>Exhibit Number</b>	<b>Exhibit</b>
99.1	Press release dated November 18, 2013