

TRI-CONTINENTAL CORP  
Form N-PX  
August 21, 2018

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, DC 20549**

**FORM N-PX**

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED**  
**MANAGEMENT INVESTMENT COMPANY**

Investment Company Act File Number:	<b>811-00266</b>
Name of Registrant:	<b>Tri-Continental Corporation</b>
Address of Principal Executive Offices:	<b>225 Franklin Street</b> <b>Boston, Massachusetts 02110</b>
Name and address of agents for service:	<b>Christopher O. Petersen, Esq.</b> <b>c/o Columbia Management Investment Advisers,</b> <b>LLC</b> <b>225 Franklin Street</b> <b>Boston, Massachusetts 02110</b>

**Ryan C. Larrenaga, Esq.**

**c/o Columbia Management Investment Advisers,  
LLC**

**225 Franklin Street**

**Boston, Massachusetts 02110**

Registrant's telephone number including area code:

**612-671-4321**

Date of fiscal year end:

**12/31**

Date of reporting period:

**07/01/2017 - 06/30/2018**

# Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

\*\*\*\*\* FORM N-Px REPORT \*\*\*\*\*

ICA File Number: 811-00266  
Reporting Period: 07/01/2017 - 06/30/2018  
Tri-Continental Corporation

## Item 1. Proxy Voting Record

===== Tri-Continental Corporation =====

### 8POINT3 ENERGY PARTNERS LP

Ticker: CAFD Security ID: 282539105  
Meeting Date: MAY 23, 2018 Meeting Type: Special  
Record Date: APR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Direct the Partnership to Vote Its OpCo Common Units in Favor of the Merger Agreement and the OpCo Mergers	For	For	Management

-----  
ACTIVISION BLIZZARD, INC.

Ticker: ATVI Security ID: 00507V109  
Meeting Date: JUN 26, 2018 Meeting Type: Annual  
Record Date: APR 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Reveta Bowers	For	For	Management
1.2	Elect Director Robert Corti	For	For	Management
1.3	Elect Director Hendrik Hartong, III	For	For	Management
1.4	Elect Director Brian Kelly	For	For	Management
1.5	Elect Director Robert A. Kotick	For	For	Management
1.6	Elect Director Barry Meyer	For	For	Management
1.7	Elect Director Robert Morgado	For	For	Management
1.8	Elect Director Peter Nolan	For	For	Management
1.9	Elect Director Casey Wasserman	For	For	Management
1.10	Elect Director Elaine Wynn	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

-----  
ADOBE SYSTEMS INCORPORATED

Ticker: ADBE Security ID: 00724F101

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Meeting Date: APR 12, 2018 Meeting Type: Annual

Record Date: FEB 14, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Amy L. Banse	For	For	Management
1b	Elect Director Edward W. Barnholt	For	For	Management
1c	Elect Director Robert K. Burgess	For	For	Management
1d	Elect Director Frank A. Calderoni	For	For	Management
1e	Elect Director James E. Daley	For	Abstain	Management
1f	Elect Director Laura B. Desmond	For	For	Management
1g	Elect Director Charles M. Geschke	For	For	Management
1h	Elect Director Shantanu Narayan	For	For	Management
1i	Elect Director Daniel L. Rosensweig	For	Against	Management
1j	Elect Director John E. Warnock	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### ALEXANDRIA REAL ESTATE EQUITIES, INC.

Ticker: ARE Security ID: 015271109

Meeting Date: MAY 22, 2018 Meeting Type: Annual

Record Date: MAR 29, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Joel S. Marcus	For	For	Management
1.2	Elect Director Steven R. Hash	For	For	Management
1.3	Elect Director John L. Atkins, III	For	Abstain	Management
1.4	Elect Director James P. Cain	For	For	Management
1.5	Elect Director Maria C. Freire	For	For	Management
1.6	Elect Director Richard H. Klein	For	For	Management
1.7	Elect Director James H. Richardson	For	For	Management
1.8	Elect Director Michael A. Woronoff	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management

### ALEXION PHARMACEUTICALS, INC.

Ticker: ALXN Security ID: 015351109

Meeting Date: MAY 08, 2018 Meeting Type: Annual

Record Date: MAR 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Felix J. Baker	For	For	Management
1.2	Elect Director David R. Brennan	For	For	Management
1.3	Elect Director Christopher J. Coughlin	For	For	Management
1.4	Elect Director Deborah Dunsire	For	For	Management
1.5	Elect Director Paul Friedman	For	Against	Management
1.6	Elect Director Ludwig N. Hantson	For	For	Management
1.7	Elect Director John T. Mollen	For	For	Management
1.8	Elect Director Francois Nader	For	For	Management
1.9	Elect Director Judith Reinsdorf	For	For	Management
1.10	Elect Director Andreas Rummelt	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

---

### ALPHABET INC.

Ticker: GOOGL                      Security ID: 02079K305  
 Meeting Date: JUN 06, 2018      Meeting Type: Annual  
 Record Date: APR 18, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Larry Page	For	For	Management
1.2	Elect Director Sergey Brin	For	For	Management
1.3	Elect Director Eric E. Schmidt	For	For	Management
1.4	Elect Director L. John Doerr	For	Withhold	Management
1.5	Elect Director Roger W. Ferguson, Jr.	For	For	Management
1.6	Elect Director Diane B. Greene	For	For	Management
1.7	Elect Director John L. Hennessy	For	For	Management
1.8	Elect Director Ann Mather	For	Withhold	Management
1.9	Elect Director Alan R. Mulally	For	For	Management
1.10	Elect Director Sundar Pichai	For	For	Management
1.11	Elect Director K. Ram Shriram	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder
6	Report on Gender Pay Gap	Against	For	Shareholder
7	Adopt Simple Majority Vote	Against	For	Shareholder
8	Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation	Against	Against	Shareholder
9	Adopt a Policy on Board Diversity	Against	Against	Shareholder
10	Report on Major Global Content Management Controversies	Against	For	Shareholder

---

### ALTRIA GROUP, INC.

Ticker: MO                              Security ID: 02209S103  
 Meeting Date: MAY 17, 2018      Meeting Type: Annual  
 Record Date: MAR 26, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John T. Casteen, III	For	For	Management
1.2	Elect Director Dinyar S. Devitre	For	For	Management
1.3	Elect Director Thomas F. Farrell, II	For	For	Management
1.4	Elect Director Debra J. Kelly-Ennis	For	For	Management
1.5	Elect Director W. Leo Kiely, III	For	For	Management
1.6	Elect Director Kathryn B. McQuade	For	For	Management
1.7	Elect Director George Munoz	For	For	Management
1.8	Elect Director Mark E. Newman	For	For	Management
1.9	Elect Director Nabil Y. Sakkab	For	For	Management
1.10	Elect Director Virginia E. Shanks	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.11	Elect Director Howard A. Willard, III	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Reduce Nicotine Levels in Tobacco Products	Against	Against	Shareholder

-----

AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106  
 Meeting Date: MAY 30, 2018 Meeting Type: Annual  
 Record Date: APR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jeffrey P. Bezos	For	For	Management
1b	Elect Director Tom A. Alberg	For	For	Management
1c	Elect Director Jamie S. Gorelick	For	Abstain	Management
1d	Elect Director Daniel P. Huttenlocher	For	For	Management
1e	Elect Director Judith A. McGrath	For	For	Management
1f	Elect Director Jonathan J. Rubinstein	For	For	Management
1g	Elect Director Thomas O. Ryder	For	Against	Management
1h	Elect Director Patricia Q. Stonesifer	For	For	Management
1i	Elect Director Wendell P. Weeks	For	Against	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Adopt Policy on Board Diversity *Withdrawn Resolution*	None	None	Shareholder
5	Require Independent Board Chairman	Against	For	Shareholder
6	Provide Vote Counting to Exclude Abstentions	Against	Against	Shareholder

-----

AMERICAN ELECTRIC POWER COMPANY, INC.

Ticker: AEP Security ID: 025537101  
 Meeting Date: APR 24, 2018 Meeting Type: Annual  
 Record Date: FEB 26, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Nicholas K. Akins	For	For	Management
1.2	Elect Director David J. Anderson	For	For	Management
1.3	Elect Director J. Barnie Beasley, Jr.	For	For	Management
1.4	Elect Director Ralph D. Crosby, Jr.	For	For	Management
1.5	Elect Director Linda A. Goodspeed	For	For	Management
1.6	Elect Director Thomas E. Hoaglin	For	For	Management
1.7	Elect Director Sandra Beach Lin	For	For	Management
1.8	Elect Director Richard C. Notebaert	For	For	Management
1.9	Elect Director Lionel L. Nowell, III	For	For	Management
1.10	Elect Director Stephen S. Rasmussen	For	For	Management
1.11	Elect Director Oliver G. Richard, III	For	For	Management
1.12	Elect Director Sara Martinez Tucker	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

AMERICAN TOWER CORPORATION

Ticker: AMT Security ID: 03027X100  
 Meeting Date: MAY 23, 2018 Meeting Type: Annual  
 Record Date: MAR 28, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gustavo Lara Cantu	For	For	Management
1b	Elect Director Raymond P. Dolan	For	For	Management
1c	Elect Director Robert D. Hormats	For	For	Management
1d	Elect Director Grace D. Lieblein	For	For	Management
1e	Elect Director Craig Macnab	For	For	Management
1f	Elect Director JoAnn A. Reed	For	For	Management
1g	Elect Director Pamela D.A. Reeve	For	For	Management
1h	Elect Director David E. Sharbutt	For	For	Management
1i	Elect Director James D. Taiclet, Jr.	For	For	Management
1j	Elect Director Samme L. Thompson	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

AMETEK, INC.

Ticker: AME Security ID: 031100100  
 Meeting Date: MAY 08, 2018 Meeting Type: Annual  
 Record Date: MAR 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Elizabeth R. Varet	For	For	Management
1b	Elect Director Dennis K. Williams	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

ANALOG DEVICES, INC.

Ticker: ADI Security ID: 032654105  
 Meeting Date: MAR 14, 2018 Meeting Type: Annual  
 Record Date: JAN 08, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Ray Stata	For	For	Management
1b	Elect Director Vincent Roche	For	For	Management
1c	Elect Director James A. Champy	For	For	Management
1d	Elect Director Bruce R. Evans	For	For	Management
1e	Elect Director Edward H. Frank	For	For	Management
1f	Elect Director Mark M. Little	For	For	Management
1g	Elect Director Neil Novich	For	For	Management
1h	Elect Director Kenton J. Sicchitano	For	For	Management
1i	Elect Director Lisa T. Su	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Executive Officers' Compensation  
 3 Ratify Ernst & Young LLP as Auditors For For Management

AON PLC

Ticker: AON Security ID: G0408V102  
 Meeting Date: JUN 22, 2018 Meeting Type: Annual  
 Record Date: APR 24, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Lester B. Knight	For	For	Management
1.2	Elect Director Gregory C. Case	For	For	Management
1.3	Elect Director Jin-Yong Cai	For	For	Management
1.4	Elect Director Jeffrey C. Campbell	For	For	Management
1.5	Elect Director Fulvio Conti	For	For	Management
1.6	Elect Director Cheryl A. Francis	For	For	Management
1.7	Elect Director J. Michael Losh	For	For	Management
1.8	Elect Director Richard B. Myers	For	For	Management
1.9	Elect Director Richard C. Notebaert	For	Against	Management
1.10	Elect Director Gloria Santona	For	For	Management
1.11	Elect Director Carolyn Y. Woo	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Approve Remuneration Report	For	Against	Management
4	Accept Financial Statements and Statutory Reports	For	For	Management
5	Ratify Ernst & Young LLP as Auditors	For	For	Management
6	Ratify Ernst & Young LLP as Aon's U.K. Statutory Auditor	For	For	Management
7	Authorize Board to Fix Remuneration of Auditors	For	For	Management
8	Authorise Shares for Market Purchase	For	For	Management
9	Issue of Equity or Equity-Linked Securities with Pre-emptive Rights	For	For	Management
10	Issue of Equity or Equity-Linked Securities without Pre-emptive Rights	For	For	Management
11	Approve Political Donations	For	For	Management

APPLE INC.

Ticker: AAPL Security ID: 037833100  
 Meeting Date: FEB 13, 2018 Meeting Type: Annual  
 Record Date: DEC 15, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James Bell	For	For	Management
1b	Elect Director Tim Cook	For	For	Management
1c	Elect Director Al Gore	For	For	Management
1d	Elect Director Bob Iger	For	For	Management
1e	Elect Director Andrea Jung	For	For	Management
1f	Elect Director Art Levinson	For	For	Management
1g	Elect Director Ron Sugar	For	For	Management
1h	Elect Director Sue Wagner	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

4	Amend Non-Employee Director Omnibus Stock Plan	For	For	Management
5	Proxy Access Amendments	Against	For	Shareholder
6	Establish Human Rights Committee	Against	Abstain	Shareholder

---

### ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103  
 Meeting Date: MAY 14, 2018 Meeting Type: Special  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Issuance of Shares Below Net Asset Value (NAV)	For	For	Management

---

### ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103  
 Meeting Date: MAY 14, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Steve Bartlett	For	For	Management
1b	Elect Director Robert L. Rosen	For	Against	Management
1c	Elect Director Bennett Rosenthal	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management

---

### AT&T INC.

Ticker: T Security ID: 00206R102  
 Meeting Date: APR 27, 2018 Meeting Type: Annual  
 Record Date: FEB 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Randall L. Stephenson	For	For	Management
1.2	Elect Director Samuel A. Di Piazza, Jr.	For	For	Management
1.3	Elect Director Richard W. Fisher	For	For	Management
1.4	Elect Director Scott T. Ford	For	For	Management
1.5	Elect Director Glenn H. Hutchins	For	For	Management
1.6	Elect Director William E. Kennard	For	For	Management
1.7	Elect Director Michael B. McCallister	For	For	Management
1.8	Elect Director Beth E. Mooney	For	For	Management
1.9	Elect Director Joyce M. Roche	For	For	Management
1.10	Elect Director Matthew K. Rose	For	For	Management
1.11	Elect Director Cynthia B. Taylor	For	For	Management
1.12	Elect Director Laura D'Andrea Tyson	For	For	Management
1.13	Elect Director Geoffrey Y. Yang	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Stock Purchase and Deferral Plan	For	For	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

7	Amend Proxy Access Right	Against	Against	Shareholder
8	Require Independent Board Chairman	Against	For	Shareholder
9	Provide Right to Act by Written Consent	Against	For	Shareholder

-----  
AUTOMATIC DATA PROCESSING, INC.

Ticker: ADP Security ID: 053015103  
Meeting Date: NOV 07, 2017 Meeting Type: Proxy Contest  
Record Date: SEP 08, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
	Management Proxy (White Proxy Card)	None		
1.1	Elect Director Peter Bisson	For	For	Management
1.2	Elect Director Richard T. Clark	For	For	Management
1.3	Elect Director Eric C. Fast	For	For	Management
1.4	Elect Director Linda R. Gooden	For	For	Management
1.5	Elect Director Michael P. Gregoire	For	For	Management
1.6	Elect Director R. Glenn Hubbard	For	For	Management
1.7	Elect Director John P. Jones	For	For	Management
1.8	Elect Director William J. Ready	For	For	Management
1.9	Elect Director Carlos A. Rodriguez	For	For	Management
1.10	Elect Director Sandra S. Wijnberg	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Repeal Amendments to the Company's By-Laws Adopted Without Stockholder Approval After August 2, 2016	Against	For	Shareholder

#	Proposal	Diss Rec	Vote Cast	Sponsor
	Dissident Proxy (Gold Proxy Card)	None		
1.1	Elect Director William A. Ackman	For	Did Not Vote	Shareholder
1.2	Elect Director Veronica M. Hagen	For	Did Not Vote	Shareholder
1.3	Elect Director V. Paul Unruh	For	Did Not Vote	Shareholder
1.4	Management Nominee Peter Bisson	For	Did Not Vote	Shareholder
1.5	Management Nominee Richard T. Clark	For	Did Not Vote	Shareholder
1.6	Management Nominee Linda R. Gooden	For	Did Not Vote	Shareholder
1.7	Management Nominee Michael P. Gregoire	For	Did Not Vote	Shareholder
1.8	Management Nominee William J. Ready	For	Did Not Vote	Shareholder
1.9	Management Nominee Carlos A. Rodriguez	For	Did Not Vote	Shareholder
1.10	Management Nominee Sandra S. Wijnberg	For	Did Not Vote	Shareholder
2	Repeal Amendments to the Company's By-Laws Adopted Without Stockholder Approval After August 2, 2016	For	Did Not Vote	Shareholder
3	Ratify Deloitte & Touche LLP as Auditors	For	Did Not Vote	Management
4	Advisory Vote on Say on Pay Frequency	One Year	Did Not Vote	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	None	Did Not Vote	Management

-----  
BANK OF AMERICA CORPORATION

Ticker: BAC Security ID: 060505104  
Meeting Date: APR 25, 2018 Meeting Type: Annual  
Record Date: MAR 02, 2018

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Sharon L. Allen	For	For	Management
1b	Elect Director Susan S. Bies	For	For	Management
1c	Elect Director Jack O. Bovender, Jr.	For	For	Management
1d	Elect Director Frank P. Bramble, Sr.	For	For	Management
1e	Elect Director Pierre J.P. de Weck	For	For	Management
1f	Elect Director Arnold W. Donald	For	Against	Management
1g	Elect Director Linda P. Hudson	For	For	Management
1h	Elect Director Monica C. Lozano	For	For	Management
1i	Elect Director Thomas J. May	For	For	Management
1j	Elect Director Brian T. Moynihan	For	For	Management
1k	Elect Director Lionel L. Nowell, III	For	For	Management
1l	Elect Director Michael D. White	For	For	Management
1m	Elect Director Thomas D. Woods	For	For	Management
1n	Elect Director R. David Yost	For	For	Management
1o	Elect Director Maria T. Zuber	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

-----  
BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109  
Meeting Date: MAY 08, 2018 Meeting Type: Annual  
Record Date: MAR 15, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Jose (Joe) E. Almeida	For	For	Management
1b	Elect Director Thomas F. Chen	For	For	Management
1c	Elect Director John D. Forsyth	For	For	Management
1d	Elect Director James R. Gavin, III	For	For	Management
1e	Elect Director Peter S. Hellman	For	For	Management
1f	Elect Director Munib Islam	For	For	Management
1g	Elect Director Michael F. Mahoney	For	For	Management
1h	Elect Director Stephen N. Oesterle	For	For	Management
1i	Elect Director Carole J. Shapazian	For	For	Management
1j	Elect Director Cathy R. Smith	For	For	Management
1k	Elect Director Thomas T. Stallkamp	For	For	Management
1l	Elect Director Albert P.L. Stroucken	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder
5	Provide Right to Act by Written Consent	Against	For	Shareholder

-----  
BEST BUY CO., INC.

Ticker: BBY Security ID: 086516101  
Meeting Date: JUN 12, 2018 Meeting Type: Annual  
Record Date: APR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
---	----------	---------	-----------	---------

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1a	Elect Director Lisa M. Caputo	For	For	Management
1b	Elect Director J. Patrick Doyle	For	For	Management
1c	Elect Director Russell P. Fradin	For	For	Management
1d	Elect Director Kathy J. Higgins Victor	For	For	Management
1e	Elect Director Hubert Joly	For	For	Management
1f	Elect Director David W. Kenny	For	For	Management
1g	Elect Director Karen A. McLoughlin	For	For	Management
1h	Elect Director Thomas L. "Tommy" Millner	For	For	Management
1i	Elect Director Claudia F. Munce	For	For	Management
1j	Elect Director Richelle P. Parham	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----

BIOGEN INC.

Ticker: BIIB Security ID: 09062X103

Meeting Date: JUN 12, 2018 Meeting Type: Annual

Record Date: APR 17, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Alexander J. Denner	For	For	Management
1b	Elect Director Caroline D. Dorsa	For	For	Management
1c	Elect Director Nancy L. Leaming	For	For	Management
1d	Elect Director Richard C. Mulligan	For	For	Management
1e	Elect Director Robert W. Pangia	For	For	Management
1f	Elect Director Stelios Papadopoulos	For	For	Management
1g	Elect Director Brian S. Posner	For	For	Management
1h	Elect Director Eric K. Rowinsky	For	For	Management
1i	Elect Director Lynn Schenk	For	For	Management
1j	Elect Director Stephen A. Sherwin	For	For	Management
1k	Elect Director Michel Vounatsos	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Proxy Access Right	Against	Against	Shareholder
5	Report on Integrating Risks Related to Drug Pricing into Senior Executive Compensation	Against	Against	Shareholder

-----

BIOMARIN PHARMACEUTICAL INC.

Ticker: BMRN Security ID: 09061G101

Meeting Date: JUN 05, 2018 Meeting Type: Annual

Record Date: APR 09, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jean-Jacques Bienaime	For	For	Management
1.2	Elect Director Willard Dere	For	For	Management
1.3	Elect Director Michael Grey	For	For	Management
1.4	Elect Director Elaine J. Heron	For	Withhold	Management
1.5	Elect Director Robert J. Hombach	For	For	Management
1.6	Elect Director V. Bryan Lawlis	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.7	Elect Director Alan J. Lewis	For	For	Management
1.8	Elect Director Richard A. Meier	For	For	Management
1.9	Elect Director David E.I. Pyott	For	For	Management
1.10	Elect Director Dennis J. Slamon	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

BLACKROCK, INC.

Ticker: BLK Security ID: 09247X101  
 Meeting Date: MAY 23, 2018 Meeting Type: Annual  
 Record Date: MAR 29, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mathis Cabiallavetta	For	For	Management
1b	Elect Director Pamela Daley	For	For	Management
1c	Elect Director William S. Demchak	For	For	Management
1d	Elect Director Jessica P. Einhorn	For	For	Management
1e	Elect Director Laurence D. Fink	For	For	Management
1f	Elect Director William E. Ford	For	For	Management
1g	Elect Director Fabrizio Freda	For	For	Management
1h	Elect Director Murry S. Gerber	For	For	Management
1i	Elect Director Margaret L. Johnson	For	For	Management
1j	Elect Director Robert S. Kapito	For	For	Management
1k	Elect Director Deryck Maughan	For	For	Management
1l	Elect Director Cheryl D. Mills	For	For	Management
1m	Elect Director Gordon M. Nixon	For	For	Management
1n	Elect Director Charles H. Robbins	For	For	Management
1o	Elect Director Ivan G. Seidenberg	For	For	Management
1p	Elect Director Marco Antonio Slim Domit	For	For	Management
1q	Elect Director Susan L. Wagner	For	For	Management
1r	Elect Director Mark Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Deloitte LLP as Auditors	For	For	Management
5	Report on Lobbying Payments and Policy	Against	Against	Shareholder

BLACKSTONE MORTGAGE TRUST, INC.

Ticker: BXMT Security ID: 09257W100  
 Meeting Date: JUN 20, 2018 Meeting Type: Annual  
 Record Date: APR 13, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Michael B. Nash	For	For	Management
1.2	Elect Director Stephen D. Plavin	For	For	Management
1.3	Elect Director Leonard W. Cotton	For	For	Management
1.4	Elect Director Thomas E. Dobrowski	For	For	Management
1.5	Elect Director Martin L. Edelman	For	For	Management
1.6	Elect Director Henry N. Nassau	For	Withhold	Management
1.7	Elect Director Jonathan L. Pollack	For	Withhold	Management
1.8	Elect Director Lynne B. Sagalyn	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management
5	Approve Omnibus Stock Plan	For	For	Management

---

### BOOKING HOLDINGS INC.

Ticker: BKNG                      Security ID: 09857L108  
 Meeting Date: JUN 07, 2018      Meeting Type: Annual  
 Record Date: APR 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Timothy M. Armstrong	For	For	Management
1.2	Elect Director Jeffery H. Boyd	For	For	Management
1.3	Elect Director Jeffrey E. Epstein	For	For	Management
1.4	Elect Director Glenn D. Fogel	For	For	Management
1.5	Elect Director Mirian Graddick-Weir	For	For	Management
1.6	DElect irector James M. Guyette	For	For	Management
1.7	Elect Director Robert J. Mylod, Jr.	For	For	Management
1.8	Elect Director Charles H. Noski	For	For	Management
1.9	Elect Director Nancy B. Peretsman	For	For	Management
1.10	Elect Director Nicholas J. Read	For	For	Management
1.11	Elect Director Thomas E. Rothman	For	For	Management
1.12	Elect Director Craig W. Rydin	For	For	Management
1.13	Elect Director Lynn M. Vojvodich	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder

---

### BOOZ ALLEN HAMILTON HOLDING CORPORATION

Ticker: BAH                      Security ID: 099502106  
 Meeting Date: AUG 03, 2017      Meeting Type: Annual  
 Record Date: JUN 12, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Ralph W. Shrader	For	For	Management
1.2	Elect Director Joan Lordi C. Amble	For	For	Management
1.3	Elect Director Peter Clare	For	For	Management
1.4	Elect Director Philip A. Odeen	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

---

### BP PLC

Ticker: BP.                      Security ID: 055622104  
 Meeting Date: MAY 21, 2018      Meeting Type: Annual  
 Record Date: MAR 16, 2018

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Accept Financial Statements and Statutory Reports	For	For	Management
2	Approve Remuneration Report	For	For	Management
3	Re-elect Bob Dudley as Director	For	For	Management
4	Re-elect Brian Gilvary as Director	For	For	Management
5	Re-elect Nils Andersen as Director	For	For	Management
6	Re-elect Alan Boeckmann as Director	For	For	Management
7	Re-elect Frank Bowman as Director	For	For	Management
8	Elect Dame Alison Carnwath as Director	For	For	Management
9	Re-elect Ian Davis as Director	For	For	Management
10	Re-elect Dame Ann Dowling as Director	For	For	Management
11	Re-elect Melody Meyer as Director	For	For	Management
12	Re-elect Brendan Nelson as Director	For	For	Management
13	Re-elect Paula Reynolds as Director	For	For	Management
14	Re-elect Sir John Sawers as Director	For	For	Management
15	Re-elect Carl-Henric Svanberg as Director	For	For	Management
16	Appoint Deloitte LLP as Auditors and Authorise Their Remuneration	For	For	Management
17	Authorise EU Political Donations and Expenditure	For	For	Management
18	Authorise Issue of Equity with Pre-emptive Rights	For	For	Management
19	Authorise Issue of Equity without Pre-emptive Rights	For	For	Management
20	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For	Management
21	Authorise Market Purchase of Ordinary Shares	For	For	Management
22	Adopt New Articles of Association	For	For	Management
23	Approve Scrip Dividend Program	For	For	Management
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For	Management

-----

BRISTOL-MYERS SQUIBB COMPANY

Ticker: BMY Security ID: 110122108  
 Meeting Date: MAY 01, 2018 Meeting Type: Annual  
 Record Date: MAR 14, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1A	Elect Director Peter J. Arduini	For	For	Management
1B	Elect Director Jose Baselga	For	For	Management
1C	Elect Director Robert J. Bertolini	For	For	Management
1D	Elect Director Giovanni Caforio	For	For	Management
1E	Elect Director Matthew W. Emmens	For	For	Management
1F	Elect Director Michael Grobstein	For	For	Management
1G	Elect Director Alan J. Lacy	For	For	Management
1H	Elect Director Dinesh C. Paliwal	For	For	Management
1I	Elect Director Theodore R. Samuels	For	For	Management
1J	Elect Director Gerald L. Storch	For	For	Management
1K	Elect Director Vicki L. Sato	For	Abstain	Management
1L	Elect Director Karen H. Vousden	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Report on Integrating Risks Related to Drug Pricing into Senior Executive Compensation	Against	Against	Shareholder
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

---

### BROADCOM LIMITED

Ticker: AVGO Security ID: Y09827109  
 Meeting Date: MAR 23, 2018 Meeting Type: Court  
 Record Date: MAR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Scheme of Arrangement	For	For	Management

---

### BROADCOM LIMITED

Ticker: AVGO Security ID: Y09827109  
 Meeting Date: APR 04, 2018 Meeting Type: Annual  
 Record Date: FEB 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Hock E. Tan	For	For	Management
1b	Elect Director James V. Diller	For	Abstain	Management
1c	Elect Director Gayla J. Delly	For	For	Management
1d	Elect Director Lewis C. Eggebrecht	For	For	Management
1e	Elect Director Kenneth Y. Hao	For	For	Management
1f	Elect Director Eddy W. Hartenstein	For	For	Management
1g	Elect Director Check Kian Low	For	For	Management
1h	Elect Director Donald Macleod	For	For	Management
1i	Elect Director Peter J. Marks	For	For	Management
1j	Elect Director Henry Samueli	For	For	Management
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For	Management
3	Approve Issuance of Shares With or Without Preemptive Rights	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

---

### CADENCE DESIGN SYSTEMS, INC.

Ticker: CDNS Security ID: 127387108  
 Meeting Date: MAY 03, 2018 Meeting Type: Annual  
 Record Date: MAR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mark W. Adams	For	For	Management
1.2	Elect Director Susan L. Bostrom	For	For	Management
1.3	Elect Director James D. Plummer	For	For	Management
1.4	Elect Director Alberto	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Sangiovanni-Vincentelli					
1.5	Elect Director John B. Shoven	For	For		Management
1.6	Elect Director Roger S. Siboni	For	For		Management
1.7	Elect Director Young K. Sohn	For	For		Management
1.8	Elect Director Lip-Bu Tan	For	For		Management
1.9	Elect Director Mary Agnes Wilderotter	For	For		Management
2	Amend Omnibus Stock Plan	For	For		Management
3	Amend Qualified Employee Stock Purchase Plan	For	For		Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For		Management
5	Ratify KPMG LLP as Auditors	For	For		Management

---

### CELGENE CORPORATION

Ticker:            CELG                    Security ID: 151020104  
Meeting Date: JUN 13, 2018    Meeting Type: Annual  
Record Date:    APR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mark J. Alles	For	For	Management
1.2	Elect Director Richard W. Barker	For	For	Management
1.3	Elect Director Hans E. Bishop	For	For	Management
1.4	Elect Director Michael W. Bonney	For	For	Management
1.5	Elect Director Michael D. Casey	For	For	Management
1.6	Elect Director Carrie S. Cox	For	For	Management
1.7	Elect Director Michael A. Friedman	For	For	Management
1.8	Elect Director Julia A. Haller	For	For	Management
1.9	Elect Director Patricia A. Hemingway Hall	For	For	Management
1.10	Elect Director James J. Loughlin	For	For	Management
1.11	Elect Director Ernest Mario	For	For	Management
1.12	Elect Director John H. Weiland	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Proxy Access Right	Against	Against	Shareholder
5	Require Independent Board Chairman	Against	For	Shareholder

---

### CENTENE CORPORATION

Ticker:            CNC                    Security ID: 15135B101  
Meeting Date: APR 24, 2018    Meeting Type: Annual  
Record Date:    FEB 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1A	Elect Director Jessica L. Blume	For	For	Management
1B	Elect Director Frederick H. Eppinger	For	For	Management
1C	Elect Director David L. Steward	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

CENTERPOINT ENERGY, INC.

Ticker: CNP Security ID: 15189T107  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: MAR 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Leslie D. Biddle	For	For	Management
1b	Elect Director Milton Carroll	For	For	Management
1c	Elect Director Scott J. McLean	For	For	Management
1d	Elect Director Martin H. Nesbitt	For	For	Management
1e	Elect Director Theodore F. Pound	For	For	Management
1f	Elect Director Scott M. Prochazka	For	For	Management
1g	Elect Director Susan O. Rheney	For	For	Management
1h	Elect Director Phillip R. Smith	For	For	Management
1i	Elect Director John W. Somerhalder, II	For	For	Management
1j	Elect Director Peter S. Wareing	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

CHARTER COMMUNICATIONS, INC.

Ticker: CHTR Security ID: 16119P108  
 Meeting Date: APR 25, 2018 Meeting Type: Annual  
 Record Date: FEB 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director W. Lance Conn	For	Against	Management
1b	Elect Director Kim C. Goodman	For	For	Management
1c	Elect Director Craig A. Jacobson	For	For	Management
1d	Elect Director Gregory B. Maffei	For	Against	Management
1e	Elect Director John C. Malone	For	Against	Management
1f	Elect Director John D. Markley, Jr.	For	Against	Management
1g	Elect Director David C. Merritt	For	For	Management
1h	Elect Director Steven A. Miron	For	Against	Management
1i	Elect Director Balan Nair	For	For	Management
1j	Elect Director Michael A. Newhouse	For	For	Management
1k	Elect Director Mauricio Ramos	For	Against	Management
1l	Elect Director Thomas M. Rutledge	For	For	Management
1m	Elect Director Eric L. Zinterhofer	For	Against	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Adopt Proxy Access Right	Against	For	Shareholder
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Pro-rata Vesting of Equity Awards	Against	Against	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100  
 Meeting Date: MAY 30, 2018 Meeting Type: Annual  
 Record Date: APR 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Wanda M. Austin	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1b	Elect Director John B. Frank	For	For	Management
1c	Elect Director Alice P. Gast	For	For	Management
1d	Elect Director Enrique Hernandez, Jr.	For	For	Management
1e	Elect Director Charles W. Moorman, IV	For	For	Management
1f	Elect Director Dambisa F. Moyo	For	For	Management
1g	Elect Director Ronald D. Sugar	For	Abstain	Management
1h	Elect Director Inge G. Thulin	For	For	Management
1i	Elect Director D. James Umpleby, III	For	For	Management
1j	Elect Director Michael K. Wirth	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Report on Risks of Doing Business in Conflict-Affected Areas	Against	Against	Shareholder
6	Report on Transition to a Low Carbon Business Model	Against	Against	Shareholder
7	Report on Methane Emissions	Against	For	Shareholder
8	Require Independent Board Chairman	Against	For	Shareholder
9	Require Director Nominee with Environmental Experience	Against	Against	Shareholder
10	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	Against	Shareholder

---

### CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102  
 Meeting Date: DEC 11, 2017 Meeting Type: Annual  
 Record Date: OCT 13, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Carol A. Bartz	For	For	Management
1b	Elect Director M. Michele Burns	For	For	Management
1c	Elect Director Michael D. Capellas	For	For	Management
1d	Elect Director Amy L. Chang	For	For	Management
1e	Elect Director John L. Hennessy	For	For	Management
1f	Elect Director Kristina M. Johnson	For	For	Management
1g	Elect Director Roderick C. McGearry	For	For	Management
1h	Elect Director Charles H. Robbins	For	For	Management
1i	Elect Director Arun Sarin	For	For	Management
1j	Elect Director Brenton L. Saunders	For	For	Management
1k	Elect Director Steven M. West	For	For	Management
2	Amend Omnibus Stock Plan	For	For	Management
3	Amend Executive Incentive Bonus Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
6	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
7	Report on Lobbying Payments and Policy	Against	Against	Shareholder

---

### CITIGROUP INC.

Ticker: C Security ID: 172967424  
 Meeting Date: APR 24, 2018 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: FEB 26, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael L. Corbat	For	For	Management
1b	Elect Director Ellen M. Costello	For	For	Management
1c	Elect Director John C. Dugan	For	For	Management
1d	Elect Director Duncan P. Hennes	For	For	Management
1e	Elect Director Peter B. Henry	For	For	Management
1f	Elect Director Franz B. Humer	For	For	Management
1g	Elect Director S. Leslie Ireland	For	For	Management
1h	Elect Director Renee J. James	For	For	Management
1i	Elect Director Eugene M. McQuade	For	For	Management
1j	Elect Director Michael E. O'Neill	For	For	Management
1k	Elect Director Gary M. Reiner	For	For	Management
1l	Elect Director Anthony M. Santomero	For	For	Management
1m	Elect Director Diana L. Taylor	For	For	Management
1n	Elect Director James S. Turley	For	For	Management
1o	Elect Director Deborah C. Wright	For	For	Management
1p	Elect Director Ernesto Zedillo Ponce De Leon	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Adopt Human and Indigenous People's Rights Policy	Against	Against	Shareholder
6	Provide for Cumulative Voting	Against	Against	Shareholder
7	Report on Lobbying Payments and Policy	Against	Against	Shareholder
8	Amend Proxy Access Right	Against	Against	Shareholder
9	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	Against	Shareholder
10	Amend Bylaws -- Call Special Meetings	Against	For	Shareholder

-----  
 CITIZENS FINANCIAL GROUP, INC.

Ticker: CFG Security ID: 174610105  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: MAR 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Bruce Van Saun	For	For	Management
1.2	Elect Director Mark Casady	For	For	Management
1.3	Elect Director Christine M. Cumming	For	For	Management
1.4	Elect Director Anthony Di Iorio	For	For	Management
1.5	Elect Director William P. Hankowsky	For	Against	Management
1.6	Elect Director Howard W. Hanna, III	For	For	Management
1.7	Elect Director Leo I. ("Lee") Higdon	For	For	Management
1.8	Elect Director Charles J. ("Bud") Koch	For	For	Management
1.9	Elect Director Arthur F. Ryan	For	For	Management
1.10	Elect Director Shivan S. Subramaniam	For	For	Management
1.11	Elect Director Wendy A. Watson	For	For	Management
1.12	Elect Director Marita Zuraitis	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

-----

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

COBALT INTERNATIONAL ENERGY, INC.

Ticker: CIEIQ Security ID: 19075FAA4  
 Meeting Date: MAR 28, 2018 Meeting Type: Written Consent  
 Record Date: MAR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Vote On The Plan	None	Against	Management
2	Opt Out Of The Third Party Release	None	For	Management

COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101  
 Meeting Date: JUN 11, 2018 Meeting Type: Annual  
 Record Date: APR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kenneth J. Bacon	For	For	Management
1.2	Elect Director Madeline S. Bell	For	Withhold	Management
1.3	Elect Director Sheldon M. Bonovitz	For	For	Management
1.4	Elect Director Edward D. Breen	For	Withhold	Management
1.5	Elect Director Gerald L. Hassell	For	For	Management
1.6	Elect Director Jeffrey A. Honickman	For	For	Management
1.7	Elect Director Maritza G. Montiel	For	For	Management
1.8	Elect Director Asuka Nakahara	For	For	Management
1.9	Elect Director David C. Novak	For	For	Management
1.10	Elect Director Brian L. Roberts	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Report on Lobbying Payments and Policy	Against	Against	Shareholder

CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104  
 Meeting Date: MAY 15, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Charles E. Bunch	For	For	Management
1b	Elect Director Caroline Maury Devine	For	For	Management
1c	Elect Director John V. Faraci	For	For	Management
1d	Elect Director Jody Freeman	For	For	Management
1e	Elect Director Gay Huey Evans	For	For	Management
1f	Elect Director Ryan M. Lance	For	For	Management
1g	Elect Director Sharmila Mulligan	For	For	Management
1h	Elect Director Arjun N. Murti	For	For	Management
1i	Elect Director Robert A. Niblock	For	For	Management
1j	Elect Director Harald J. Norvik	For	Abstain	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Use GAAP for Executive Compensation Metrics	Against	Against	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

CORNING INCORPORATED

Ticker: GLW Security ID: 219350105  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: FEB 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Donald W. Blair	For	For	Management
1.2	Elect Director Stephanie A. Burns	For	For	Management
1.3	Elect Director John A. Canning, Jr.	For	For	Management
1.4	Elect Director Richard T. Clark	For	For	Management
1.5	Elect Director Robert F. Cummings, Jr.	For	For	Management
1.6	Elect Director Deborah A. Henretta	For	For	Management
1.7	Elect Director Daniel P. Huttenlocher	For	For	Management
1.8	Elect Director Kurt M. Landgraf	For	For	Management
1.9	Elect Director Kevin J. Martin	For	For	Management
1.10	Elect Director Deborah D. Rieman	For	For	Management
1.11	Elect Director Hansel E. Tookes, II	For	For	Management
1.12	Elect Director Wendell P. Weeks	For	For	Management
1.13	Elect Director Mark S. Wrighton	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

CUMMINS INC.

Ticker: CMI Security ID: 231021106  
 Meeting Date: MAY 08, 2018 Meeting Type: Annual  
 Record Date: MAR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Elect Director N. Thomas Linebarger	For	For	Management
2	Elect Director Richard J. Freeland	For	For	Management
3	Elect Director Robert J. Bernhard	For	For	Management
4	Elect Director Franklin R. Chang Diaz	For	For	Management
5	Elect Director Bruno V. Di Leo Allen	For	For	Management
6	Elect Director Stephen B. Dobbs	For	For	Management
7	Elect Director Robert K. Herdman	For	For	Management
8	Elect Director Alexis M. Herman	For	For	Management
9	Elect Director Thomas J. Lynch	For	Against	Management
10	Elect Director William I. Miller	For	For	Management
11	Elect Director Georgia R. Nelson	For	For	Management
12	Elect Director Karen H. Quintos	For	For	Management
13	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
14	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
15	Amend Charter to Allow Shareholders to Amend Bylaws	For	For	Management
16	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

CVS HEALTH CORPORATION

Ticker: CVS Security ID: 126650100  
 Meeting Date: MAR 13, 2018 Meeting Type: Special  
 Record Date: FEB 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Issue Shares in Connection with Merger	For	For	Management
2	Adjourn Meeting	For	For	Management

CVS HEALTH CORPORATION

Ticker: CVS Security ID: 126650100  
 Meeting Date: JUN 04, 2018 Meeting Type: Annual  
 Record Date: APR 10, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard M. Bracken	For	For	Management
1b	Elect Director C. David Brown, II	For	For	Management
1c	Elect Director Alecia A. DeCoudreaux	For	For	Management
1d	Elect Director Nancy-Ann M. DeParle	For	For	Management
1e	Elect Director David W. Dorman	For	For	Management
1f	Elect Director Anne M. Finucane	For	For	Management
1g	Elect Director Larry J. Merlo	For	For	Management
1h	Elect Director Jean-Pierre Millon	For	For	Management
1i	Elect Director Mary L. Schapiro	For	For	Management
1j	Elect Director Richard J. Swift	For	For	Management
1k	Elect Director William C. Weldon	For	For	Management
1l	Elect Director Tony L. White	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	For	For	Management
5	Provide For Confidential Running Vote Tallies On Executive Pay Matters	Against	Against	Shareholder

DARDEN RESTAURANTS, INC.

Ticker: DRI Security ID: 237194105  
 Meeting Date: SEP 21, 2017 Meeting Type: Annual  
 Record Date: JUL 25, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Margaret Shan Atkins	For	For	Management
1.2	Elect Director Bradley D. Blum	For	For	Management
1.3	Elect Director James P. Fogarty	For	For	Management
1.4	Elect Director Cynthia T. Jamison	For	For	Management
1.5	Elect Director Eugene I. (Gene) Lee, Jr.	For	For	Management
1.6	Elect Director Nana Mensah	For	For	Management
1.7	Elect Director William S. Simon	For	For	Management
1.8	Elect Director Charles M. (Chuck) Sonsteby	For	For	Management
2	Advisory Vote to Ratify Named	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Executive Officers' Compensation			
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management
5	Adopt a Policy to Phase Out Non-Therapeutic Use of Antibiotics in the Meat Supply Chain	Against	Against	Shareholder

DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702  
 Meeting Date: JUN 29, 2018 Meeting Type: Annual  
 Record Date: MAY 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Edward H. Bastian	For	For	Management
1b	Elect Director Francis S. Blake	For	For	Management
1c	Elect Director Daniel A. Carp	For	For	Management
1d	Elect Director Ashton B. Carter	For	For	Management
1e	Elect Director David G. DeWalt	For	For	Management
1f	Elect Director William H. Easter, III	For	For	Management
1g	Elect Director Michael P. Huerta	For	For	Management
1h	Elect Director Jeanne P. Jackson	For	For	Management
1i	Elect Director George N. Mattson	For	For	Management
1j	Elect Director Douglas R. Ralph	For	For	Management
1k	Elect Director Sergio A. L. Rial	For	For	Management
1l	Elect Director Kathy N. Waller	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

EASTMAN CHEMICAL COMPANY

Ticker: EMN Security ID: 277432100  
 Meeting Date: MAY 03, 2018 Meeting Type: Annual  
 Record Date: MAR 15, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Humberto P. Alfonso	For	For	Management
1.2	Elect Director Brett D. Begemann	For	For	Management
1.3	Elect Director Michael P. Connors	For	Against	Management
1.4	Elect Director Mark J. Costa	For	For	Management
1.5	Elect Director Stephen R. Demeritt	For	For	Management
1.6	Elect Director Robert M. Hernandez	For	For	Management
1.7	Elect Director Julie F. Holder	For	For	Management
1.8	Elect Director Renee J. Hornbaker	For	For	Management
1.9	Elect Director Lewis M. Kling	For	For	Management
1.10	Elect Director James J. O'Brien	For	For	Management
1.11	Elect Director David W. Raisbeck	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Provide Right to Act by Written Consent	Against	For	Shareholder



Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

EDISON INTERNATIONAL

Ticker: EIX Security ID: 281020107  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: MAR 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael C. Camunez	For	For	Management
1b	Elect Director Vanessa C.L. Chang	For	For	Management
1c	Elect Director James T. Morris	For	For	Management
1d	Elect Director Timothy T. O'Toole	For	Against	Management
1e	Elect Director Pedro J. Pizarro	For	For	Management
1f	Elect Director Linda G. Stuntz	For	Against	Management
1g	Elect Director William P. Sullivan	For	For	Management
1h	Elect Director Ellen O. Tauscher	For	For	Management
1i	Elect Director Peter J. Taylor	For	For	Management
1j	Elect Director Brett White	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Proxy Access Right	Against	Against	Shareholder

ELECTRONIC ARTS INC.

Ticker: EA Security ID: 285512109  
 Meeting Date: AUG 03, 2017 Meeting Type: Annual  
 Record Date: JUN 09, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Leonard S. Coleman	For	For	Management
1b	Elect Director Jay C. Hoag	For	For	Management
1c	Elect Director Jeffrey T. Huber	For	For	Management
1d	Elect Director Vivek Paul	For	For	Management
1e	Elect Director Lawrence F. Probst, III	For	For	Management
1f	Elect Director Talbott Roche	For	For	Management
1g	Elect Director Richard A. Simonson	For	For	Management
1h	Elect Director Luis A. Ubinas	For	For	Management
1i	Elect Director Denise F. Warren	For	For	Management
1j	Elect Director Andrew Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	None	One Year	Management
4	Ratify KPMG LLP as Auditors	For	For	Management

ELI LILLY AND COMPANY

Ticker: LLY Security ID: 532457108  
 Meeting Date: MAY 07, 2018 Meeting Type: Annual  
 Record Date: MAR 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Katherine Baicker	For	For	Management
1b	Elect Director J. Erik Fyrwald	For	For	Management
1c	Elect Director Jamere Jackson	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director Ellen R. Marram	For	For	Management
1e	Elect Director Jackson P. Tai	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Declassify the Board of Directors	For	For	Management
5	Eliminate Supermajority Vote Requirement	For	For	Management
6	Amend Omnibus Stock Plan	For	For	Management
7	Support the Descheduling of Cannabis	Against	Against	Shareholder
8	Report on Lobbying Payments and Policy	Against	Against	Shareholder
9	Report on Policies and Practices Regarding Contract Animal Laboratories	Against	Against	Shareholder
10	Report on Integrating Drug Pricing Risks into Incentive Compensation Plans	Against	Against	Shareholder

---

### ENTERGY CORPORATION

Ticker: ETR                      Security ID: 29364G103  
 Meeting Date: MAY 04, 2018      Meeting Type: Annual  
 Record Date: MAR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John R. Burbank	For	For	Management
1b	Elect Director Patrick J. Condon	For	For	Management
1c	Elect Director Leo P. Denault	For	For	Management
1d	Elect Director Kirkland H. Donald	For	For	Management
1e	Elect Director Philip L. Frederickson	For	For	Management
1f	Elect Director Alexis M. Herman	For	For	Management
1g	Elect Director Stuart L. Levenick	For	For	Management
1h	Elect Director Blanche Lambert Lincoln	For	For	Management
1i	Elect Director Karen A. Puckett	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Report on Distributed Renewable Generation Resources	Against	Against	Shareholder

---

### EQUINIX, INC.

Ticker: EQIX                      Security ID: 29444U700  
 Meeting Date: JUN 07, 2018      Meeting Type: Annual  
 Record Date: APR 13, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas Bartlett	For	For	Management
1.2	Elect Director Nanci Caldwell	For	For	Management
1.3	Elect Director Gary Hromadko	For	For	Management
1.4	Elect Director Scott Kriens	For	Withhold	Management
1.5	Elect Director William Luby	For	For	Management
1.6	Elect Director Irving Lyons, III	For	For	Management
1.7	Elect Director Christopher Paisley	For	For	Management
1.8	Elect Director Peter Van Camp	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: STAY Security ID: 30224P200  
 Meeting Date: MAY 16, 2018 Meeting Type: Annual  
 Record Date: APR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Jonathan S. Halkyard	For	For	Management
1.2	Elect Director Douglas G. Geoga	For	For	Management
1.3	Elect Director Kapila K. Anand	For	For	Management
1.4	Elect Director Thomas F. O'Toole	For	For	Management
1.5	Elect Director Richard F. Wallman	For	For	Management
1.6	Elect Director Jodie W. McLean	For	For	Management
1.7	Elect Director Ellen Keszler	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

### F5 NETWORKS, INC.

Ticker: FFIV Security ID: 315616102  
 Meeting Date: MAR 15, 2018 Meeting Type: Annual  
 Record Date: JAN 08, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director A. Gary Ames	For	For	Management
1b	Elect Director Sandra E. Bergeron	For	For	Management
1c	Elect Director Deborah L. Bevier	For	For	Management
1d	Elect Director Jonathan C. Chadwick	For	For	Management
1e	Elect Director Michael L. Dreyer	For	For	Management
1f	Elect Director Alan J. Higginson	For	For	Management
1g	Elect Director Peter S. Klein	For	For	Management
1h	Elect Director Francois Locoh-Donou	For	For	Management
1i	Elect Director John McAdam	For	For	Management
1j	Elect Director Stephen M. Smith	None	None	Management
	*Withdrawn Resolution*			
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### FACEBOOK, INC.

Ticker: FB Security ID: 30303M102  
 Meeting Date: MAY 31, 2018 Meeting Type: Annual  
 Record Date: APR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Marc L. Andreessen	For	Withhold	Management
1.2	Elect Director Erskine B. Bowles	For	Withhold	Management
1.3	Elect Director Kenneth I. Chenault	For	For	Management
1.4	Elect Director Susan D. Desmond-Hellmann	For	Withhold	Management
1.5	Elect Director Reed Hastings	For	Withhold	Management
1.6	Elect Director Jan Koum - Withdrawn Resolution	None	None	Management
1.7	Elect Director Sheryl K. Sandberg	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.8	Elect Director Peter A. Thiel	For	For	Management
1.9	Elect Director Mark Zuckerberg	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Approve Recapitalization Plan for all Stock to Have One-vote per Share	Against	For	Shareholder
4	Establish Board Committee on Risk Management	Against	For	Shareholder
5	Adopt Simple Majority Vote	Against	For	Shareholder
6	Report on Major Global Content Management Controversies (Fake News)	Against	For	Shareholder
7	Report on Gender Pay Gap	Against	Against	Shareholder
8	Report on Responsible Tax Principles	Against	Against	Shareholder

---

### FIRST HAWAIIAN, INC.

Ticker: FHB Security ID: 32051X108  
 Meeting Date: APR 25, 2018 Meeting Type: Annual  
 Record Date: MAR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Matthew Cox	For	For	Management
1.2	Elect Director W. Allen Doane	For	For	Management
1.3	Elect Director Thibault Fulconis	For	For	Management
1.4	Elect Director Gerard Gil	For	Withhold	Management
1.5	Elect Director Jean-Milan Givadinovitch	For	For	Management
1.6	Elect Director Robert S. Harrison	For	For	Management
1.7	Elect Director J. Michael Shepherd	For	For	Management
1.8	Elect Director Allen B. Uyeda	For	For	Management
1.9	Elect Director Michel Vial	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Elimination of Supermajority Voting Requirement for Any Stockholder Alteration, Amendment, Repeal or Adoption of Any Bylaw	For	For	Management
5	Elimination of Supermajority Voting Requirement for Any Amendment, Alteration, Repeal or Adoption of Any Provision of Certain Articles of the Certificate of Incorporation	For	For	Management
6	Adopt Policy to Increase Diversity of Board of Directors	Against	For	Shareholder
7	Adopt Proxy Access Right	Against	Against	Shareholder

---

### FORTRESS TRANSPORTATION AND INFRASTRUCTURE INVESTORS LLC

Ticker: FTAI Security ID: 34960P101  
 Meeting Date: JUN 01, 2018 Meeting Type: Annual  
 Record Date: APR 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kenneth J. Nicholson	For	For	Management
1.2	Elect Director A. Andrew Levison	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### FRANKLIN RESOURCES, INC.

Ticker: BEN Security ID: 354613101  
 Meeting Date: FEB 14, 2018 Meeting Type: Annual  
 Record Date: DEC 18, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Peter K. Barker	For	For	Management
1b	Elect Director Mariann Byerwalter	For	For	Management
1c	Elect Director Charles E. Johnson	For	For	Management
1d	Elect Director Gregory E. Johnson	For	For	Management
1e	Elect Director Rupert H. Johnson, Jr.	For	For	Management
1f	Elect Director Mark C. Pigott	For	For	Management
1g	Elect Director Chutta Ratnathicam	For	For	Management
1h	Elect Director Laura Stein	For	For	Management
1i	Elect Director Seth H. Waugh	For	For	Management
1j	Elect Director Geoffrey Y. Yang	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Report on Lobbying Payments and Policy	Against	For	Shareholder

### FREEMPORT-MCMORAN INC.

Ticker: FCX Security ID: 35671D857  
 Meeting Date: JUN 05, 2018 Meeting Type: Annual  
 Record Date: APR 10, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard C. Adkerson	For	For	Management
1.2	Elect Director Gerald J. Ford	For	For	Management
1.3	Elect Director Lydia H. Kennard	For	For	Management
1.4	Elect Director Jon C. Madonna	For	For	Management
1.5	Elect Director Courtney Mather	For	For	Management
1.6	Elect Director Dustan E. McCoy	For	For	Management
1.7	Elect Director Frances Fragos Townsend	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### GENERAL DYNAMICS CORPORATION

Ticker: GD Security ID: 369550108  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 08, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James S. Crown	For	Abstain	Management
1b	Elect Director Rudy F. deLeon	For	For	Management
1c	Elect Director Lester L. Lyles	For	For	Management
1d	Elect Director Mark M. Malcolm	For	For	Management
1e	Elect Director Phebe N. Novakovic	For	For	Management
1f	Elect Director C. Howard Nye	For	For	Management
1g	Elect Director William A. Osborn	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1h	Elect Director Catherine B. Reynolds	For	For	Management
1i	Elect Director Laura J. Schumacher	For	For	Management
1j	Elect Director Peter A. Wall	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

---

### GENERAL MOTORS COMPANY

Ticker: GM Security ID: 37045V100  
 Meeting Date: JUN 12, 2018 Meeting Type: Annual  
 Record Date: APR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mary T. Barra	For	For	Management
1b	Elect Director Linda R. Gooden	For	For	Management
1c	Elect Director Joseph Jimenez	For	For	Management
1d	Elect Director Jane L. Mendillo	For	For	Management
1e	Elect Director Michael G. Mullen	For	For	Management
1f	Elect Director James J. Mulva	For	For	Management
1g	Elect Director Patricia F. Russo	For	Abstain	Management
1h	Elect Director Thomas M. Schoewe	For	For	Management
1i	Elect Director Theodore M. Solso	For	For	Management
1j	Elect Director Carol M. Stephenson	For	For	Management
1k	Elect Director Devin N. Wenig	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder
5	Provide Right to Act by Written Consent	Against	For	Shareholder
6	Report on Fleet GHG Emissions in Relation to CAFE Standards	Against	Against	Shareholder

---

### GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103  
 Meeting Date: MAY 09, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John F. Cogan	For	For	Management
1b	Elect Director Jacqueline K. Barton	For	For	Management
1c	Elect Director Kelly A. Kramer	For	For	Management
1d	Elect Director Kevin E. Lofton	For	For	Management
1e	Elect Director John C. Martin	For	For	Management
1f	Elect Director John F. Milligan	For	For	Management
1g	Elect Director Richard J. Whitley	For	For	Management
1h	Elect Director Gayle E. Wilson	For	For	Management
1i	Elect Director Per Wold-Olsen	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder
5	Provide Right to Act by Written Consent	Against	For	Shareholder

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

H&R BLOCK, INC.

Ticker: HRB Security ID: 093671105  
 Meeting Date: SEP 14, 2017 Meeting Type: Annual  
 Record Date: JUL 14, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Angela N. Archon	For	For	Management
1b	Elect Director Paul J. Brown	For	For	Management
1c	Elect Director Robert A. Gerard	For	For	Management
1d	Elect Director Richard A. Johnson	For	For	Management
1e	Elect Director David Baker Lewis	For	For	Management
1f	Elect Director Victoria J. Reich	For	For	Management
1g	Elect Director Bruce C. Rohde	For	For	Management
1h	Elect Director Tom D. Seip	For	For	Management
1i	Elect Director Christianna Wood	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Approve Omnibus Stock Plan	For	For	Management
6	Amend Proxy Access Right	Against	Against	Shareholder

HASBRO, INC.

Ticker: HAS Security ID: 418056107  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 21, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kenneth A. Bronfin	For	For	Management
1.2	Elect Director Michael R. Burns	For	For	Management
1.3	Elect Director Hope F. Cochran	For	For	Management
1.4	Elect Director Crispin H. Davis	For	For	Management
1.5	Elect Director Lisa Gersh	For	For	Management
1.6	Elect Director Brian D. Goldner	For	For	Management
1.7	Elect Director Alan G. Hassenfeld	For	For	Management
1.8	Elect Director Tracy A. Leinbach	For	For	Management
1.9	Elect Director Edward M. Philip	For	For	Management
1.10	Elect Director Richard S. Stoddart	For	For	Management
1.11	Elect Director Mary Beth West	For	For	Management
1.12	Elect Director Linda K. Zecher	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Clawback of Incentive Payments	Against	For	Shareholder

HONEYWELL INTERNATIONAL INC.

Ticker: HON Security ID: 438516106  
 Meeting Date: APR 23, 2018 Meeting Type: Annual



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: FEB 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1A	Elect Director Darius Adamczyk	For	For	Management
1B	Elect Director Duncan B. Angove	For	For	Management
1C	Elect Director William S. Ayer	For	For	Management
1D	Elect Director Kevin Burke	For	For	Management
1E	Elect Director Jaime Chico Pardo	For	For	Management
1F	Elect Director D. Scott Davis	For	For	Management
1G	Elect Director Linnet F. Deily	For	For	Management
1H	Elect Director Judd Gregg	For	For	Management
1I	Elect Director Clive Hollick	For	For	Management
1J	Elect Director Grace D. Lieblein	For	For	Management
1K	Elect Director George Paz	For	For	Management
1L	Elect Director Robin L. Washington	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	For	For	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Report on Lobbying Payments and Policy	Against	For	Shareholder

-----  
HP INC.

Ticker: HPQ Security ID: 40434L105  
Meeting Date: APR 24, 2018 Meeting Type: Annual  
Record Date: FEB 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Aida M. Alvarez	For	For	Management
1b	Elect Director Shumeet Banerji	For	Against	Management
1c	Elect Director Robert R. Bennett	For	For	Management
1d	Elect Director Charles "Chip" V. Bergh	For	For	Management
1e	Elect Director Stacy Brown-Philpot	For	For	Management
1f	Elect Director Stephanie A. Burns	For	For	Management
1g	Elect Director Mary Anne Citrino	For	For	Management
1h	Elect Director Stacey Mobley	For	For	Management
1i	Elect Director Subra Suresh	For	For	Management
1j	Elect Director Dion J. Weisler	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Right to Act by Written Consent	Against	For	Shareholder

-----  
INTEL CORPORATION

Ticker: INTC Security ID: 458140100  
Meeting Date: MAY 17, 2018 Meeting Type: Annual  
Record Date: MAR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Aneel Bhusri	For	For	Management
1b	Elect Director Andy D. Bryant	For	For	Management
1c	Elect Director Reed E. Hundt	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director Omar Ishrak	For	For	Management
1e	Elect Director Brian M. Krzanich	For	For	Management
1f	Elect Director Risa Lavizzo-Mourey	For	For	Management
1g	Elect Director Tsu-Jae King Liu	For	For	Management
1h	Elect Director Gregory D. Smith	For	For	Management
1i	Elect Director Andrew Wilson	For	For	Management
1j	Elect Director Frank D. Yeary	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Provide Right to Act by Written Consent	Against	For	Shareholder
5	Require Independent Board Chairman	Against	For	Shareholder
6	Report on Costs and Benefits of Political Contributions	Against	Against	Shareholder

---

### JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: FEB 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mary C. Beckerle	For	For	Management
1b	Elect Director D. Scott Davis	For	For	Management
1c	Elect Director Ian E. L. Davis	For	For	Management
1d	Elect Director Jennifer A. Doudna	For	For	Management
1e	Elect Director Alex Gorsky	For	For	Management
1f	Elect Director Mark B. McClellan	For	For	Management
1g	Elect Director Anne M. Mulcahy	For	For	Management
1h	Elect Director William D. Perez	For	Abstain	Management
1i	Elect Director Charles Prince	For	For	Management
1j	Elect Director A. Eugene Washington	For	For	Management
1k	Elect Director Ronald A. Williams	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Prohibit Adjusting Compensation Metrics for Legal or Compliance Costs	Against	For	Shareholder
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

---

### JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100  
 Meeting Date: MAY 15, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Linda B. Bammann	For	For	Management
1b	Elect Director James A. Bell	For	For	Management
1c	Elect Director Stephen B. Burke	For	For	Management
1d	Elect Director Todd A. Combs	For	For	Management
1e	Elect Director James S. Crown	For	For	Management
1f	Elect Director James Dimon	For	For	Management
1g	Elect Director Timothy P. Flynn	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1h	Elect Director Mellody Hobson	For	For	Management
1i	Elect Director Laban P. Jackson, Jr.	For	For	Management
1j	Elect Director Michael A. Neal	For	For	Management
1k	Elect Director Lee R. Raymond	For	For	Management
1l	Elect Director William C. Weldon	For	Against	Management
2	Ratify Existing Ownership Threshold for Shareholders to Call Special Meeting	For	Against	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
6	Require Independent Board Chairman	Against	For	Shareholder
7	Prohibit Accelerated Vesting of Awards to Pursue Government Service	Against	Against	Shareholder
8	Institute Procedures to Prevent Investments in Companies that Contribute to Genocide or Crimes Against Humanity	Against	Against	Shareholder
9	Restore or Provide for Cumulative Voting	Against	Against	Shareholder

---

### KELLOGG COMPANY

Ticker: K Security ID: 487836108  
 Meeting Date: APR 27, 2018 Meeting Type: Annual  
 Record Date: FEB 28, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Carter Cast	For	For	Management
1.2	Elect Director Zachary Gund	For	For	Management
1.3	Elect Director Jim Jenness	For	For	Management
1.4	Elect Director Don Knauss	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

---

### KIMBERLY-CLARK CORPORATION

Ticker: KMB Security ID: 494368103  
 Meeting Date: MAY 10, 2018 Meeting Type: Annual  
 Record Date: MAR 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director John F. Bergstrom	For	For	Management
1.2	Elect Director Abelardo E. Bru	For	For	Management
1.3	Elect Director Robert W. Decherd	For	For	Management
1.4	Elect Director Thomas J. Falk	For	For	Management
1.5	Elect Director Fabian T. Garcia	For	For	Management
1.6	Elect Director Michael D. Hsu	For	For	Management
1.7	Elect Director Mae C. Jemison	For	For	Management
1.8	Elect Director James M. Jenness	For	For	Management
1.9	Elect Director Nancy J. Karch	For	For	Management
1.10	Elect Director Christa S. Quarles	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.11	Elect Director Ian C. Read	For	For	Management
1.12	Elect Director Marc J. Shapiro	For	For	Management
1.13	Elect Director Michael D. White	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

### KLA-TENCOR CORPORATION

Ticker: KLAC Security ID: 482480100  
 Meeting Date: NOV 01, 2017 Meeting Type: Annual  
 Record Date: SEP 13, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Edward W. Barnholt	For	For	Management
1.2	Elect Director Robert M. Calderoni	For	Against	Management
1.3	Elect Director John T. Dickson	For	For	Management
1.4	Elect Director Emiko Higashi	For	For	Management
1.5	Elect Director Kevin J. Kennedy	For	For	Management
1.6	Elect Director Gary B. Moore	For	For	Management
1.7	Elect Director Kiran M. Patel	For	For	Management
1.8	Elect Director Robert A. Rango	For	For	Management
1.9	Elect Director Richard P. Wallace	For	For	Management
1.10	Elect Director David C. Wang	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

---

### LAM RESEARCH CORPORATION

Ticker: LRCX Security ID: 512807108  
 Meeting Date: NOV 08, 2017 Meeting Type: Annual  
 Record Date: SEP 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Martin B. Anstice	For	For	Management
1.2	Elect Director Eric K. Brandt	For	For	Management
1.3	Elect Director Michael R. Cannon	For	For	Management
1.4	Elect Director Youssef A. El-Mansy	For	For	Management
1.5	Elect Director Christine A. Heckart	For	For	Management
1.6	Elect Director Young Bum (YB) Koh	For	For	Management
1.7	Elect Director Catherine P. Lego	For	For	Management
1.8	Elect Director Stephen G. Newberry	For	For	Management
1.9	Elect Director Abhijit Y. Talwalkar	For	For	Management
1.10	Elect Director Lih Shyng (Rick L.) Tsai	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Adopt Policy to Annually Disclose EEO-1 Data	Against	Abstain	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### LOCKHEED MARTIN CORPORATION

Ticker: LMT Security ID: 539830109  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: FEB 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Daniel F. Akerson	For	For	Management
1.2	Elect Director Nolan D. Archibald	For	For	Management
1.3	Elect Director David B. Burritt	For	For	Management
1.4	Elect Director Bruce A. Carlson	For	For	Management
1.5	Elect Director James O. Ellis, Jr.	For	For	Management
1.6	Elect Director Thomas J. Falk	For	For	Management
1.7	Elect Director Ilene S. Gordon	For	For	Management
1.8	Elect Director Marillyn A. Hewson	For	For	Management
1.9	Elect Director Jeh C. Johnson	For	For	Management
1.10	Elect Director Joseph W. Ralston	For	For	Management
1.11	Elect Director James D. Taiclet, Jr.	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Amend Non-Employee Director Omnibus Stock Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Provide Right to Act by Written Consent	Against	For	Shareholder

### LYONDELBASELL INDUSTRIES N.V.

Ticker: LYB Security ID: N53745100  
 Meeting Date: JUN 01, 2018 Meeting Type: Annual  
 Record Date: MAY 04, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Amend Articles	For	For	Management
2a	Elect Director Bhavesh (Bob) Patel	For	For	Management
2b	Elect Director Robert Gwin	For	For	Management
2c	Elect Director Jacques Aigrain	For	For	Management
2d	Elect Director Lincoln Benet	For	For	Management
2e	Elect Director Jagjeet (Jeet) Bindra	For	For	Management
2f	Elect Director Robin Buchanan	For	For	Management
2g	Elect Director Stephen Cooper	For	For	Management
2h	Elect Director Nance Dicciani	For	For	Management
2i	Elect Director Claire Farley	For	For	Management
2j	Elect Director Isabella (Bella) Goren	For	For	Management
2k	Elect Director Bruce Smith	For	For	Management
2l	Elect Director Rudy van der Meer	For	For	Management
3a	Elect Bhavesh (Bob) Patel to Management Board	For	For	Management
3b	Elect Thomas Aebischer to Management Board	For	For	Management
3c	Elect Daniel Coombs to Management Board	For	For	Management
3d	Elect Jeffrey Kaplan to Management Board	For	For	Management
3e	Elect James Guilfoyle to Management Board	For	For	Management
4	Adopt Financial Statements and Statutory Reports	For	For	Management
5	Approve Discharge of Management Board	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

6	Approve Discharge of Supervisory Board	For	For	Management
7	Ratify PricewaterhouseCoopers Accountants N.V. as Auditors	For	For	Management
8	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
9	Approve Dividends of USD 3.70 Per Share	For	For	Management
10	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
11	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	For	For	Management
12	Authorization of the Cancellation of Shares	For	For	Management
13	Amend Qualified Employee Stock Purchase Plan	For	For	Management

---

### MACQUARIE INFRASTRUCTURE CORPORATION

Ticker: MIC Security ID: 55608B105  
 Meeting Date: MAY 16, 2018 Meeting Type: Annual  
 Record Date: MAR 21, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Norman H. Brown, Jr.	For	For	Management
1b	Elect Director George W. Carmany, III	For	For	Management
1c	Elect Director James Hooke	For	For	Management
1d	Elect Director Ronald Kirk	For	For	Management
1e	Elect Director H.E. (Jack) Lentz	For	For	Management
1f	Elect Director Ouma Sananikone	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

---

### MARATHON PETROLEUM CORPORATION

Ticker: MPC Security ID: 56585A102  
 Meeting Date: APR 25, 2018 Meeting Type: Annual  
 Record Date: FEB 26, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Abdulaziz F. Alkhayyal	For	For	Management
1b	Elect Director Donna A. James	For	For	Management
1c	Elect Director James E. Rohr	For	Abstain	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Eliminate Supermajority Vote Requirement to Amend Bylaws	For	For	Management
6	Eliminate Supermajority Vote Requirement to Amend Charter	For	For	Management
7	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

---

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

### MASTERCARD INCORPORATED

Ticker: MA Security ID: 57636Q104  
 Meeting Date: JUN 26, 2018 Meeting Type: Annual  
 Record Date: APR 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard Haythornthwaite	For	For	Management
1b	Elect Director Ajay Banga	For	For	Management
1c	Elect Director Silvio Barzi	For	For	Management
1d	Elect Director David R. Carlucci	For	For	Management
1e	Elect Director Richard K. Davis	For	For	Management
1f	Elect Director Steven J. Freiberg	For	For	Management
1g	Elect Director Julius Genachowski	For	For	Management
1h	Elect Director Choon Phong Goh	For	For	Management
1i	Elect Director Merit E. Janow	For	For	Management
1j	Elect Director Nancy Karch	For	For	Management
1k	Elect Director Oki Matsumoto	For	Against	Management
1l	Elect Director Rima Qureshi	For	For	Management
1m	Elect Director Jose Octavio Reyes Lagunes	For	For	Management
1n	Elect Director Jackson Tai	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

### MAXIM INTEGRATED PRODUCTS, INC.

Ticker: MXIM Security ID: 57772K101  
 Meeting Date: NOV 10, 2017 Meeting Type: Annual  
 Record Date: SEP 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director William (Bill) P. Sullivan	For	For	Management
1b	Elect Director Tunc Doluca	For	For	Management
1c	Elect Director Tracy C. Accardi	For	For	Management
1d	Elect Director James R. Bergman	For	Against	Management
1e	Elect Director Joseph R. Bronson	For	For	Management
1f	Elect Director Robert E. Grady	For	For	Management
1g	Elect Director William D. Watkins	For	For	Management
1h	Elect Director MaryAnn Wright	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Amend Qualified Employee Stock Purchase Plan	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
5	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management

### MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103  
 Meeting Date: JUL 26, 2017 Meeting Type: Annual

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Record Date: MAY 31, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Andy D. Bryant	For	Against	Management
1b	Elect Director N. Anthony Coles	For	For	Management
1c	Elect Director John H. Hammergren	For	For	Management
1d	Elect Director M. Christine Jacobs	For	For	Management
1e	Elect Director Donald R. Knauss	For	For	Management
1f	Elect Director Marie L. Knowles	For	For	Management
1g	Elect Director Edward A. Mueller	For	For	Management
1h	Elect Director Susan R. Salka	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Require Independent Board Chairman	Against	For	Shareholder
6	Provide Right to Act by Written Consent	Against	For	Shareholder

---

### MEDTRONIC PLC

Ticker: MDT Security ID: G5960L103  
 Meeting Date: DEC 08, 2017 Meeting Type: Annual  
 Record Date: OCT 10, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard H. Anderson	For	For	Management
1b	Elect Director Craig Arnold	For	For	Management
1c	Elect Director Scott C. Donnelly	For	For	Management
1d	Elect Director Randall J. Hogan, III	For	For	Management
1e	Elect Director Omar Ishrak	For	For	Management
1f	Elect Director Shirley Ann Jackson	For	For	Management
1g	Elect Director Michael O. Leavitt	For	For	Management
1h	Elect Director James T. Lenehan	For	For	Management
1i	Elect Director Elizabeth G. Nabel	For	For	Management
1j	Elect Director Denise M. O'Leary	For	For	Management
1k	Elect Director Kendall J. Powell	For	Against	Management
1l	Elect Director Robert C. Pozen	For	For	Management
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Audit Committee to Fix Their Remuneration	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management

---

### MERCK & CO., INC.

Ticker: MRK Security ID: 58933Y105  
 Meeting Date: MAY 22, 2018 Meeting Type: Annual  
 Record Date: MAR 28, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Leslie A. Brun	For	Abstain	Management
1b	Elect Director Thomas R. Cech	For	For	Management
1c	Elect Director Pamela J. Craig	For	For	Management
1d	Elect Director Kenneth C. Frazier	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1e	Elect Director Thomas H. Glocer	For	For	Management
1f	Elect Director Rochelle B. Lazarus	For	For	Management
1g	Elect Director John H. Noseworthy	For	For	Management
1h	Elect Director Paul B. Rothman	For	For	Management
1i	Elect Director Patricia F. Russo	For	For	Management
1j	Elect Director Craig B. Thompson	For	For	Management
1k	Elect Director Inge G. Thulin	For	For	Management
1l	Elect Director Wendell P. Weeks	For	Against	Management
1m	Elect Director Peter C. Wendell	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
4	Provide Right to Act by Written Consent	Against	For	Shareholder

---

### METLIFE, INC.

Ticker: MET Security ID: 59156R108  
 Meeting Date: OCT 19, 2017 Meeting Type: Special  
 Record Date: SEP 05, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Amend Certificate of Incorporation to Modify the Dividend Payment Tests for the Series A Preferred Stock and the Series C Preferred Stock	For	For	Management
2	Adjourn Meeting	For	For	Management

---

### MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104  
 Meeting Date: NOV 29, 2017 Meeting Type: Annual  
 Record Date: SEP 29, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director William H. Gates, III	For	For	Management
1.2	Elect Director Reid G. Hoffman	For	For	Management
1.3	Elect Director Hugh F. Johnston	For	For	Management
1.4	Elect Director Teri L. List-Stoll	For	For	Management
1.5	Elect Director Satya Nadella	For	For	Management
1.6	Elect Director Charles H. Noski	For	For	Management
1.7	Elect Director Helmut Panke	For	For	Management
1.8	Elect Director Sandra E. Peterson	For	For	Management
1.9	Elect Director Penny S. Pritzker	For	For	Management
1.10	Elect Director Charles W. Scharf	For	For	Management
1.11	Elect Director Arne M. Sorenson	For	For	Management
1.12	Elect Director John W. Stanton	For	For	Management
1.13	Elect Director John W. Thompson	For	For	Management
1.14	Elect Director Padmasree Warrior	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
5	Amend Executive Incentive Bonus Plan	For	For	Management
6	Approve Omnibus Stock Plan	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101  
 Meeting Date: MAY 11, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1A	Elect Director Clay C. Williams	For	For	Management
1B	Elect Director Greg L. Armstrong	For	For	Management
1C	Elect Director Marcela E. Donadio	For	For	Management
1D	Elect Director Ben A. Guill	For	For	Management
1E	Elect Director James T. Hackett	For	Against	Management
1F	Elect Director David D. Harrison	For	For	Management
1G	Elect Director Eric L. Mattson	For	For	Management
1H	Elect Director Melody B. Meyer	For	For	Management
1I	Elect Director William R. Thomas	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Omnibus Stock Plan	For	For	Management

NIELSEN HOLDINGS PLC

Ticker: NLSN Security ID: G6518L108  
 Meeting Date: MAY 22, 2018 Meeting Type: Annual  
 Record Date: MAR 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director James A. Attwood, Jr.	For	For	Management
1b	Elect Director Mitch Barns	For	For	Management
1c	Elect Director Guerrino De Luca	For	For	Management
1d	Elect Director Karen M. Hoguet	For	For	Management
1e	Elect Director Harish Manwani	For	For	Management
1f	Elect Director Robert C. Pozen	For	For	Management
1g	Elect Director David Rawlinson	For	For	Management
1h	Elect Director Javier G. Teruel	For	For	Management
1i	Elect Director Lauren Zalaznick	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Reappoint Ernst & Young LLP as UK Statutory Auditors	For	For	Management
4	Authorize the Audit Committee to Fix Remuneration of UK Statutory Auditors	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Approve Remuneration Report	For	For	Management
7	Approve Remuneration Policy	For	For	Management

NRG YIELD, INC.

Ticker: NYLD Security ID: 62942X306  
 Meeting Date: APR 24, 2018 Meeting Type: Annual  
 Record Date: MAR 01, 2018

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Mauricio Gutierrez	For	For	Management
1.2	Elect Director John F. Chlebowski	For	For	Management
1.3	Elect Director Kirkland B. Andrews	For	For	Management
1.4	Elect Director John Chillemi	For	For	Management
1.5	Elect Director Brian R. Ford	For	For	Management
1.6	Elect Director Ferrell P. McClean	For	For	Management
1.7	Elect Director Christopher S. Sotos	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management

-----

PACKAGING CORPORATION OF AMERICA

Ticker: PKG Security ID: 695156109  
 Meeting Date: MAY 15, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Cheryl K. Beebe	For	For	Management
1.2	Elect Director Duane C. Farrington	For	For	Management
1.3	Elect Director Hasan Jameel	For	For	Management
1.4	Elect Director Mark W. Kowlzan	For	For	Management
1.5	Elect Director Robert C. Lyons	For	For	Management
1.6	Elect Director Thomas P. Maurer	For	For	Management
1.7	Elect Director Samuel M. Menco	For	For	Management
1.8	Elect Director Roger B. Porter	For	Abstain	Management
1.9	Elect Director Thomas S. Souleles	For	For	Management
1.10	Elect Director Paul T. Stecko	For	For	Management
1.11	Elect Director James D. Woodrum	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----

PACWEST BANCORP

Ticker: PACW Security ID: 695263103  
 Meeting Date: MAY 14, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Tanya M. Acker	For	Withhold	Management
1.2	Elect Director Paul R. Burke	For	For	Management
1.3	Elect Director Craig A. Carlson	For	For	Management
1.4	Elect Director John M. Eggemeyer, III	For	For	Management
1.5	Elect Director C. William Hosler	For	For	Management
1.6	Elect Director Susan E. Lester	For	For	Management
1.7	Elect Director Roger H. Molvar	For	For	Management
1.8	Elect Director James J. Pieczynski	For	For	Management
1.9	Elect Director Daniel B. Platt	For	For	Management
1.10	Elect Director Robert A. Stine	For	For	Management
1.11	Elect Director Matthew P. Wagner	For	For	Management
1.12	Elect Director Mark T. Yung	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

3	Ratify KPMG LLP as Auditors	For	For	Management
4	Adjourn Meeting	For	For	Management
5	Other Business	For	Against	Management

-----

PFIZER INC.

Ticker: PFE Security ID: 717081103  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: FEB 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Dennis A. Ausiello	For	For	Management
1.2	Elect Director Ronald E. Blaylock	For	For	Management
1.3	Elect Director Albert Bourla	For	For	Management
1.4	Elect Director W. Don Cornwell	For	For	Management
1.5	Elect Director Joseph J. Echevarria	For	Abstain	Management
1.6	Elect Director Helen H. Hobbs	For	For	Management
1.7	Elect Director James M. Kilts	For	For	Management
1.8	Elect Director Dan R. Littman	For	For	Management
1.9	Elect Director Shantanu Narayan	For	For	Management
1.10	Elect Director Suzanne Nora Johnson	For	For	Management
1.11	Elect Director Ian C. Read	For	For	Management
1.12	Elect Director James C. Smith	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Provide Right to Act by Written Consent	Against	For	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder
7	Report on Lobbying Payments and Policy	Against	Against	Shareholder

-----

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109  
 Meeting Date: MAY 09, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Harold Brown	For	For	Management
1.2	Elect Director Andre Calantzopoulos	For	For	Management
1.3	Elect Director Louis C. Camilleri	For	For	Management
1.4	Elect Director Massimo Ferragamo	For	For	Management
1.5	Elect Director Werner Geissler	For	For	Management
1.6	Elect Director Lisa A. Hook	For	For	Management
1.7	Elect Director Jennifer Li	For	For	Management
1.8	Elect Director Jun Makihara	For	For	Management
1.9	Elect Director Sergio Marchionne	For	Against	Management
1.10	Elect Director Kalpana Morparia	For	For	Management
1.11	Elect Director Lucio A. Noto	For	For	Management
1.12	Elect Director Frederik Paulsen	For	For	Management
1.13	Elect Director Robert B. Polet	For	For	Management
1.14	Elect Director Stephen M. Wolf	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers SA as Auditors	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
 PRINCIPAL FINANCIAL GROUP, INC.

Ticker: PFG Security ID: 74251V102  
 Meeting Date: MAY 22, 2018 Meeting Type: Annual  
 Record Date: MAR 28, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Roger C. Hochschild	For	For	Management
1.2	Elect Director Daniel J. Houston	For	For	Management
1.3	Elect Director Diane C. Nordin	For	For	Management
1.4	Elect Director Elizabeth E. Tallett	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

-----  
 PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102  
 Meeting Date: MAY 08, 2018 Meeting Type: Annual  
 Record Date: MAR 09, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Thomas J. Baltimore, Jr.	For	For	Management
1.2	Elect Director Gilbert F. Casellas	For	Abstain	Management
1.3	Elect Director Mark B. Grier	For	For	Management
1.4	Elect Director Martina Hund-Mejean	For	For	Management
1.5	Elect Director Karl J. Krapek	For	For	Management
1.6	Elect Director Peter R. Lighte	For	For	Management
1.7	Elect Director George Paz	For	For	Management
1.8	Elect Director Sandra Pianalto	For	For	Management
1.9	Elect Director Christine A. Poon	For	For	Management
1.10	Elect Director Douglas A. Scovanner	For	For	Management
1.11	Elect Director John R. Strangfeld	For	For	Management
1.12	Elect Director Michael A. Todman	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

-----  
 PULTEGROUP, INC.

Ticker: PHM Security ID: 745867101  
 Meeting Date: MAY 10, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Brian P. Anderson	For	For	Management
1.2	Elect Director Bryce Blair	For	For	Management
1.3	Elect Director Richard W. Dreiling	For	For	Management
1.4	Elect Director Thomas J. Folliard	For	For	Management
1.5	Elect Director Cheryl W. Grise	For	Abstain	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.6	Elect Director Andre J. Hawaux	For	For	Management
1.7	Elect Director Ryan R. Marshall	For	For	Management
1.8	Elect Director John R. Peshkin	For	For	Management
1.9	Elect Director Scott F. Powers	For	For	Management
1.10	Elect Director William J. Pulte	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

-----

### QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103  
 Meeting Date: MAR 23, 2018 Meeting Type: Proxy Contest  
 Record Date: JAN 08, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
	Management Proxy (White Proxy Card)	None		
1.1	Elect Director Barbara T. Alexander	For	Withhold	Management
1.2	Elect Director Jeffrey W. Henderson	For	For	Management
1.3	Elect Director Thomas W. Horton	For	Withhold	Management
1.4	Elect Director Paul E. Jacobs	For	Withhold	Management
1.5	Elect Director Ann M. Livermore	For	Withhold	Management
1.6	Elect Director Harish Manwani	For	For	Management
1.7	Elect Director Mark D. McLaughlin	For	For	Management
1.8	Elect Director Steve Mollenkopf	For	Withhold	Management
1.9	Elect Director Clark T. "Sandy" Randt, Jr.	For	For	Management
1.10	Elect Director Francisco Ros	For	Withhold	Management
1.11	Elect Director Anthony J. Vinciguerra	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Eliminate Supermajority Vote Requirement to Remove Directors	For	For	Management
6	Eliminate Supermajority Vote Requirement Relating to Amendments and Obsolete Provisions	For	For	Management
7	Eliminate Supermajority Vote Requirement for Business Combinations with Interested Stockholders	For	For	Management
8	Repeal Amendments to the Company's Bylaws Adopted Without Stockholder Approval After July 15, 2016	Against	Against	Shareholder
#	Proposal	Diss Rec	Vote Cast	Sponsor
	Dissident Proxy (Blue Proxy Card)	None		
1.1	Elect Director Samih Elhage	For	Did Not Vote	Shareholder
1.2	Elect Director David G. Golden	For	Did Not Vote	Shareholder
1.3	Elect Director Veronica M. Hagen	For	Did Not Vote	Shareholder
1.4	Elect Director Julie A. Hill	For	Did Not Vote	Shareholder
1.5	Elect Director John H. Kispert	For	Did Not Vote	Shareholder
1.6	Elect Director Harry L. You	For	Did Not Vote	Shareholder
2	Repeal Amendments to the Company's Bylaws Adopted Without Stockholder Approval After July 15, 2016	For	Did Not Vote	Shareholder
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	Did Not Vote	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

4	Advisory Vote to Ratify Named Executive Officers' Compensation	None	Did Not Vote	Management
5	Amend Qualified Employee Stock Purchase Plan	None	Did Not Vote	Management
6	Eliminate Supermajority Vote Requirement to Remove Directors	For	Did Not Vote	Management
7	Eliminate Supermajority Vote Requirement Relating to Amendments and Obsolete Provisions	For	Did Not Vote	Management
8	Eliminate Supermajority Vote Requirement for Business Combinations with Interested Stockholders	For	Did Not Vote	Management

---

### QURATE RETAIL, INC.

Ticker: QRTEA                      Security ID: 53071M104  
 Meeting Date: MAY 23, 2018      Meeting Type: Annual  
 Record Date: APR 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard N. Barton	For	Withhold	Management
1.2	Elect Director Michael A. George	For	For	Management
1.3	Elect Director Gregory B. Maffei	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Approve Reclassification of Common Stock	For	For	Management

---

### ROCKWELL AUTOMATION, INC.

Ticker: ROK                              Security ID: 773903109  
 Meeting Date: FEB 06, 2018      Meeting Type: Annual  
 Record Date: DEC 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
A1	Elect Director Betty C. Alewine	For	For	Management
A2	Elect Director J. Phillip Holloman	For	For	Management
A3	Elect Director Lawrence D. Kingsley	For	For	Management
A4	Elect Director Lisa A. Payne	For	For	Management
B	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
C	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

---

### ROSS STORES, INC.

Ticker: ROST                              Security ID: 778296103  
 Meeting Date: MAY 23, 2018      Meeting Type: Annual  
 Record Date: MAR 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Michael Balmuth	For	For	Management
1b	Elect Director K. Gunnar Bjorklund	For	For	Management
1c	Elect Director Michael J. Bush	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1d	Elect Director Norman A. Ferber	For	Against	Management
1e	Elect Director Sharon D. Garrett	For	For	Management
1f	Elect Director Stephen D. Milligan	For	For	Management
1g	Elect Director George P. Orban	For	For	Management
1h	Elect Director Michael O'Sullivan	For	For	Management
1i	Elect Director Lawrence S. Peiros	For	For	Management
1j	Elect Director Gregory L. Quesnel	For	For	Management
1k	Elect Director Barbara Rentler	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

### ROYAL CARIBBEAN CRUISES LTD.

Ticker: RCL Security ID: V7780T103  
 Meeting Date: MAY 21, 2018 Meeting Type: Annual  
 Record Date: APR 18, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John F. Brock	For	For	Management
1b	Elect Director Richard D. Fain	For	For	Management
1c	Elect Director William L. Kimsey	For	For	Management
1d	Elect Director Maritza G. Montiel	For	For	Management
1e	Elect Director Ann S. Moore	For	For	Management
1f	Elect Director Eyal M. Ofer	For	For	Management
1g	Elect Director Thomas J. Pritzker	For	For	Management
1h	Elect Director William K. Reilly	For	For	Management
1i	Elect Director Bernt Reitan	For	For	Management
1j	Elect Director Vagn O. Sorensen	For	For	Management
1k	Elect Director Donald Thompson	For	For	Management
1l	Elect Director Arne Alexander Wilhelmsen	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

### S&P GLOBAL INC.

Ticker: SPGI Security ID: 78409V104  
 Meeting Date: MAY 01, 2018 Meeting Type: Annual  
 Record Date: MAR 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Marco Alvera	For	For	Management
1.2	Elect Director William D. Green	For	Against	Management
1.3	Elect Director Charles E. Haldeman, Jr.	For	For	Management
1.4	Elect Director Stephanie C. Hill	For	For	Management
1.5	Elect Director Rebecca Jacoby	For	For	Management
1.6	Elect Director Monique F. Leroux	For	For	Management
1.7	Elect Director Maria R. Morris	For	For	Management
1.8	Elect Director Douglas L. Peterson	For	For	Management
1.9	Elect Director Michael Rake	For	For	Management
1.10	Elect Director Edward B. Rust, Jr.	For	For	Management
1.11	Elect Director Kurt L. Schmoke	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.12	Elect Director Richard E. Thornburgh	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management

### SBA COMMUNICATIONS CORPORATION

Ticker: SBAC Security ID: 78410G104  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Brian C. Carr	For	For	Management
1b	Elect Director Mary S. Chan	For	For	Management
1c	Elect Director George R. Krouse, Jr.	For	Abstain	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Approve Qualified Employee Stock Purchase Plan	For	For	Management

### SIX FLAGS ENTERTAINMENT CORPORATION

Ticker: SIX Security ID: 83001A102  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 07, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Kurt M. Cellar	For	For	Management
1.2	Elect Director Nancy A. Krejsa	For	For	Management
1.3	Elect Director Jon L. Luther	For	Withhold	Management
1.4	Elect Director Usman Nabi	For	Withhold	Management
1.5	Elect Director Stephen D. Owens	For	For	Management
1.6	Elect Director James Reid-Anderson	For	For	Management
1.7	Elect Director Richard W. Roedel	For	For	Management
2	Increase Authorized Common Stock	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management

### SOUTHWEST AIRLINES CO.

Ticker: LUV Security ID: 844741108  
 Meeting Date: MAY 16, 2018 Meeting Type: Annual  
 Record Date: MAR 20, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director David W. Biegler	For	For	Management
1b	Elect Director J. Veronica Biggins	For	For	Management
1c	Elect Director Douglas H. Brooks	For	For	Management
1d	Elect Director William H. Cunningham	For	For	Management
1e	Elect Director John G. Denison	For	For	Management
1f	Elect Director Thomas W. Gilligan	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1g	Elect Director Gary C. Kelly	For	For	Management
1h	Elect Director Grace D. Lieblein	For	For	Management
1i	Elect Director Nancy B. Loeffler	For	For	Management
1j	Elect Director John T. Montford	For	For	Management
1k	Elect Director Ron Ricks	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder
5	Provide Right to Act by Written Consent	Against	For	Shareholder

### STAPLES, INC.

Ticker: SPLS Security ID: 855030102  
 Meeting Date: SEP 06, 2017 Meeting Type: Special  
 Record Date: AUG 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Approve Merger Agreement	For	For	Management
2	Advisory Vote on Golden Parachutes	For	For	Management
3	Adjourn Meeting	For	For	Management

### STARWOOD PROPERTY TRUST, INC.

Ticker: STWD Security ID: 85571B105  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 12, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard D. Bronson	For	For	Management
1.2	Elect Director Jeffrey G. Dishner	For	For	Management
1.3	Elect Director Camille J. Douglas	For	For	Management
1.4	Elect Director Solomon J. Kumin	For	For	Management
1.5	Elect Director Barry S. Sternlicht	For	For	Management
1.6	Elect Director Strauss Zelnick	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

### SUNCOR ENERGY INC.

Ticker: SU Security ID: 867224107  
 Meeting Date: MAY 02, 2018 Meeting Type: Annual  
 Record Date: MAR 07, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Patricia M. Bedient	For	For	Management
1.2	Elect Director Mel E. Benson	For	For	Management
1.3	Elect Director Jacynthe Cote	For	For	Management
1.4	Elect Director Dominic D'Alessandro	For	For	Management
1.5	Elect Director John D. Gass	For	For	Management
1.6	Elect Director Dennis M. Houston	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.7	Elect Director Maureen McCaw	For	For	Management
1.8	Elect Director Eira M. Thomas	For	For	Management
1.9	Elect Director Steven W. Williams	For	For	Management
1.10	Elect Director Michael M. Wilson	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote on Executive Compensation Approach	For	For	Management

### SYSCO CORPORATION

Ticker: SYY Security ID: 871829107  
 Meeting Date: NOV 17, 2017 Meeting Type: Annual  
 Record Date: SEP 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Daniel J. Brutto	For	For	Management
1b	Elect Director John M. Cassaday	For	For	Management
1c	Elect Director William J. DeLaney	For	For	Management
1d	Elect Director Joshua D. Frank	For	For	Management
1e	Elect Director Larry C. Glasscock	For	For	Management
1f	Elect Director Bradley M. Halverson	For	For	Management
1g	Elect Director Hans-Joachim Koerber	For	For	Management
1h	Elect Director Nancy S. Newcomb	For	For	Management
1i	Elect Director Nelson Peltz	For	For	Management
1j	Elect Director Edward D. Shirley	For	For	Management
1k	Elect Director Sheila G. Talton	For	For	Management
1l	Elect Director Richard G. Tilghman	For	For	Management
1m	Elect Director Jackie M. Ward	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Limit Accelerated Vesting of Equity Awards Upon a Change in Control	Against	Against	Shareholder

### T. ROWE PRICE GROUP, INC.

Ticker: TROW Security ID: 74144T108  
 Meeting Date: APR 26, 2018 Meeting Type: Annual  
 Record Date: FEB 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Mark S. Bartlett	For	For	Management
1b	Elect Director Edward C. Bernard	For	For	Management
1c	Elect Director Mary K. Bush	For	For	Management
1d	Elect Director H. Lawrence Culp, Jr.	For	For	Management
1e	Elect Director Freeman A. Hrabowski, III	For	For	Management
1f	Elect Director Robert F. MacLellan	For	For	Management
1g	Elect Director Brian C. Rogers	For	For	Management
1h	Elect Director Olympia J. Snowe	For	For	Management
1i	Elect Director William J. Stromberg	For	For	Management
1j	Elect Director Richard R. Verma	For	For	Management
1k	Elect Director Sandra S. Wijnberg	For	For	Management
1l	Elect Director Alan D. Wilson	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Amend Charter	For	For	Management
4	Ratify KPMG LLP as Auditors	For	For	Management

---

### TARGET CORPORATION

Ticker: TGT                                      Security ID: 87612E106  
 Meeting Date: JUN 13, 2018           Meeting Type: Annual  
 Record Date: APR 16, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Roxanne S. Austin	For	For	Management
1b	Elect Director Douglas M. Baker, Jr.	For	For	Management
1c	Elect Director Brian C. Cornell	For	For	Management
1d	Elect Director Calvin Darden	For	For	Management
1e	Elect Director Henrique De Castro	For	For	Management
1f	Elect Director Robert L. Edwards	For	For	Management
1g	Elect Director Melanie L. Healey	For	For	Management
1h	Elect Director Donald R. Knauss	For	For	Management
1i	Elect Director Monica C. Lozano	For	For	Management
1j	Elect Director Mary E. Minnick	For	For	Management
1k	Elect Director Kenneth L. Salazar	For	For	Management
1l	Elect Director Dmitri L. Stockton	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

---

### TCG BDC, INC.

Ticker: CGBD                                      Security ID: 872280102  
 Meeting Date: SEP 15, 2017           Meeting Type: Special  
 Record Date: JUL 20, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Amend Investment Advisory Agreement between TCG BDC, Inc. and Carlyle GMS Investment Management L.L.C.	For	For	Management

---

### TCG BDC, INC.

Ticker: CGBD                                      Security ID: 872280102  
 Meeting Date: JUN 06, 2018           Meeting Type: Annual  
 Record Date: APR 23, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Eliot P.S. Merrill	For	For	Management
1b	Elect Director Nigel D.T. Andrews	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Approve the Reduction of the Minimum Asset Coverage Ratio	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

-----  
 TESARO, INC.

Ticker: TSRO Security ID: 881569107  
 Meeting Date: MAY 10, 2018 Meeting Type: Annual  
 Record Date: MAR 13, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Leon (Lonnie) O. Moulder, Jr.	For	For	Management
1b	Elect Director Mary Lynne Hedley	For	For	Management
1c	Elect Director David M. Mott	For	For	Management
1d	Elect Director Lawrence M. Alleva	For	For	Management
1e	Elect Director James O. Armitage	For	For	Management
1f	Elect Director Earl M. (Duke) Collier, Jr.	For	For	Management
1g	Elect Director Garry A. Nicholson	For	For	Management
1h	Elect Director Kavita Patel	For	For	Management
1i	Elect Director Beth Seidenberg	For	For	Management
1j	Elect Director Pascale Witz	For	For	Management
2	Approve Non-Employee Director Compensation Policy	For	Against	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Amend Qualified Employee Stock Purchase Plan	For	For	Management
5	Ratify Ernst & Young, LLP as Auditors	For	For	Management

-----  
 THE ALLSTATE CORPORATION

Ticker: ALL Security ID: 020002101  
 Meeting Date: MAY 11, 2018 Meeting Type: Annual  
 Record Date: MAR 13, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Kermit R. Crawford	For	For	Management
1b	Elect Director Michael L. Eskew	For	For	Management
1c	Elect Director Margaret M. Keane	For	For	Management
1d	Elect Director Siddharth N. (Bobby) Mehta	For	For	Management
1e	Elect Director Jacques P. Perold	For	For	Management
1f	Elect Director Andrea Redmond	For	For	Management
1g	Elect Director Gregg M. Sherrill	For	For	Management
1h	Elect Director Judith A. Sprieser	For	For	Management
1i	Elect Director Perry M. Traquina	For	For	Management
1j	Elect Director Thomas J. Wilson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Require Independent Board Chairman Report on Political Contributions	Against	For	Shareholder
5		Against	For	Shareholder

-----  
 THE BOEING COMPANY

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

Ticker: BA Security ID: 097023105  
 Meeting Date: APR 30, 2018 Meeting Type: Annual  
 Record Date: MAR 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Robert A. Bradway	For	For	Management
1b	Elect Director David L. Calhoun	For	Abstain	Management
1c	Elect Director Arthur D. Collins, Jr.	For	For	Management
1d	Elect Director Kenneth M. Duberstein	For	For	Management
1e	Elect Director Edmund P. Giambastiani, Jr.	For	For	Management
1f	Elect Director Lynn J. Good	For	For	Management
1g	Elect Director Lawrence W. Kellner	For	For	Management
1h	Elect Director Caroline B. Kennedy	For	For	Management
1i	Elect Director Edward M. Liddy	For	For	Management
1j	Elect Director Dennis A. Muilenburg	For	For	Management
1k	Elect Director Susan C. Schwab	For	For	Management
1l	Elect Director Ronald A. Williams	For	For	Management
1m	Elect Director Mike S. Zafirovski	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
4	Report on Lobbying Payments and Policy	Against	Against	Shareholder
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder
6	Require Independent Board Chairman	Against	For	Shareholder
7	Require Shareholder Approval to Increase Board Size to More Than 14	Against	Against	Shareholder

-----  
 THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102  
 Meeting Date: MAY 17, 2018 Meeting Type: Annual  
 Record Date: MAR 19, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Gerard J. Arpey	For	For	Management
1b	Elect Director Ari Bousbib	For	For	Management
1c	Elect Director Jeffery H. Boyd	For	For	Management
1d	Elect Director Gregory D. Brenneman	For	For	Management
1e	Elect Director J. Frank Brown	For	For	Management
1f	Elect Director Albert P. Carey	For	For	Management
1g	Elect Director Armando Codina	For	Abstain	Management
1h	Elect Director Helena B. Foulkes	For	For	Management
1i	Elect Director Linda R. Gooden	For	For	Management
1j	Elect Director Wayne M. Hewett	For	For	Management
1k	Elect Director Stephanie C. Linnartz	For	For	Management
1l	Elect Director Craig A. Menear	For	For	Management
1m	Elect Director Mark Vadon	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Report on Political Contributions	Against	Against	Shareholder
5	Prepare Employment Diversity Report and Report on Diversity Policies	Against	For	Shareholder
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

7      Clawback of Incentive Payments                      Against      For                      Shareholder

THE PNC FINANCIAL SERVICES GROUP, INC.

Ticker:                      PNC                      Security ID: 693475105  
 Meeting Date: APR 24, 2018      Meeting Type: Annual  
 Record Date: FEB 02, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Charles E. Bunch	For	Against	Management
1.2	Elect Director Debra A. Cafaro	For	For	Management
1.3	Elect Director Marjorie Rodgers Cheshire	For	For	Management
1.4	Elect Director William S. Demchak	For	For	Management
1.5	Elect Director Andrew T. Feldstein	For	For	Management
1.6	Elect Director Daniel R. Hesse	For	For	Management
1.7	Elect Director Richard B. Kelson	For	For	Management
1.8	Elect Director Linda R. Medler	For	For	Management
1.9	Elect Director Martin Pfinsgraff	For	For	Management
1.10	Elect Director Donald J. Shepard	For	For	Management
1.11	Elect Director Michael J. Ward	For	For	Management
1.12	Elect Director Gregory D. Wasson	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

THE PROCTER & GAMBLE COMPANY

Ticker:                      PG                      Security ID: 742718109  
 Meeting Date: OCT 10, 2017      Meeting Type: Proxy Contest  
 Record Date: AUG 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
	Management Proxy (Blue Proxy Card)	None		
1.1	Elect Director Francis S. Blake	For	For	Management
1.2	Elect Director Angela F. Braly	For	For	Management
1.3	Elect Director Amy L. Chang	For	For	Management
1.4	Elect Director Kenneth I. Chenault	For	For	Management
1.5	Elect Director Scott D. Cook	For	For	Management
1.6	Elect Director Terry J. Lundgren	For	For	Management
1.7	Elect Director W. James McNerney, Jr.	For	For	Management
1.8	Elect Director David S. Taylor	For	For	Management
1.9	Elect Director Margaret C. Whitman	For	For	Management
1.10	Elect Director Patricia A. Woertz	For	For	Management
1.11	Elect Director Ernesto Zedillo	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
5	Adopt Holy Land Principles	Against	Against	Shareholder
6	Report on Company Non-Discrimination Policies in States with Pro-Discrimination Laws	Against	Against	Shareholder
7	Report on Risks of Doing Business in	Against	Against	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

#	Proposal	Diss Rec	Vote Cast	Sponsor
Conflict-Affected Areas				
8	Repeal Any Amendments to Code of Regulations Adopted After April 8, 2016	Against	Against	Shareholder
#	Proposal	Diss Rec	Vote Cast	Sponsor
	Dissident Proxy (White Proxy Card)	None		
1.1	Elect Director Nelson Peltz	For	Did Not Vote	Shareholder
1.2	Management Nominee Francis S. Blake	For	Did Not Vote	Shareholder
1.3	Management Nominee Angela F. Braly	For	Did Not Vote	Shareholder
1.4	Management Nominee Amy L. Chang	For	Did Not Vote	Shareholder
1.5	Management Nominee Kenneth I. Chenault	For	Did Not Vote	Shareholder
1.6	Management Nominee Scott D. Cook	For	Did Not Vote	Shareholder
1.7	Management Nominee Terry J. Lundgren	For	Did Not Vote	Shareholder
1.8	Management Nominee W. James McNerney, Jr.	For	Did Not Vote	Shareholder
1.9	Management Nominee David S. Taylor	For	Did Not Vote	Shareholder
1.10	Management Nominee Margaret C. Whitman	For	Did Not Vote	Shareholder
1.11	Management Nominee Patricia A. Woertz	For	Did Not Vote	Shareholder
2	Ratify Deloitte & Touche LLP as Auditors	None	Did Not Vote	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	None	Did Not Vote	Management
4	Advisory Vote on Say on Pay Frequency	None	Did Not Vote	Management
5	Adopt Holy Land Principles	None	Did Not Vote	Shareholder
6	Report on Company Non-Discrimination Policies in States with Pro-Discrimination Laws	None	Did Not Vote	Shareholder
7	Report on Risks of Doing Business in Conflict-Affected Areas	None	Did Not Vote	Shareholder
8	Repeal Any Amendments to Code of Regulations Adopted After April 8, 2016	For	Did Not Vote	Shareholder

---

### TRI-CONTINENTAL CORPORATION

Ticker: TY Security ID: 895436103  
 Meeting Date: APR 16, 2018 Meeting Type: Annual  
 Record Date: FEB 27, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director George S. Batejan	For	For	Management
1.2	Elect Director Kathleen Blatz	For	For	Management
1.3	Elect Director Edward J. Boudreau, Jr.	For	For	Management
1.4	Elect Director Pamela G. Carlton	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

---

### TYSON FOODS, INC.

Ticker: TSN Security ID: 902494103  
 Meeting Date: FEB 08, 2018 Meeting Type: Annual  
 Record Date: DEC 11, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director John Tyson	For	For	Management
1b	Elect Director Gaurdie E. Banister, Jr.	For	For	Management
1c	Elect Director Dean Banks	For	For	Management
1d	Elect Director Mike Beebe	For	For	Management



## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1e	Elect Director Mikel A. Durham	For	For	Management
1f	Elect Director Tom Hayes	For	For	Management
1g	Elect Director Kevin M. McNamara	For	For	Management
1h	Elect Director Cheryl S. Miller	For	For	Management
1i	Elect Director Jeffrey K. Schomburger	For	For	Management
1j	Elect Director Robert Thurber	For	Against	Management
1k	Elect Director Barbara A. Tyson	For	For	Management
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Report on Lobbying Payments and Policy	Against	For	Shareholder
5	Implement a Water Quality Stewardship Policy	Against	Abstain	Shareholder

---

### UNION PACIFIC CORPORATION

Ticker: UNP Security ID: 907818108  
 Meeting Date: MAY 10, 2018 Meeting Type: Annual  
 Record Date: MAR 09, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Andrew H. Card, Jr.	For	For	Management
1b	Elect Director Erroll B. Davis, Jr.	For	For	Management
1c	Elect Director David B. Dillon	For	For	Management
1d	Elect Director Lance M. Fritz	For	For	Management
1e	Elect Director Deborah C. Hopkins	For	For	Management
1f	Elect Director Jane H. Lute	For	For	Management
1g	Elect Director Michael R. McCarthy	For	Abstain	Management
1h	Elect Director Thomas F. McLarty, III	For	For	Management
1i	Elect Director Bhavesh V. Patel	For	For	Management
1j	Elect Director Jose H. Villarreal	For	For	Management
2	Ratify Deloitte & Touche LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

---

### VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100  
 Meeting Date: MAY 03, 2018 Meeting Type: Annual  
 Record Date: MAR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1A	Elect Director H. Paulett Eberhart	For	For	Management
1B	Elect Director Joseph W. Gordor	For	For	Management
1C	Elect Director Kimberly S. Greene	For	For	Management
1D	Elect Director Deborah P. Majoras	For	Abstain	Management
1E	Elect Director Donald L. Nickles	For	For	Management
1F	Elect Director Philip J. Pfeiffer	For	For	Management
1G	Elect Director Robert A. Profusek	For	For	Management
1H	Elect Director Stephen M. Waters	For	For	Management
1I	Elect Director Randall J. Weisenburger	For	For	Management
1J	Elect Director Rayford Wilkins, Jr.	For	For	Management
2	Ratify KPMG LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

	Executive Officers' Compensation			
4	Remove Supermajority Vote Requirement	For	For	Management
5	Provide Right to Act by Written Consent	For	For	Management

---

### VERISIGN, INC.

Ticker: VRSN                      Security ID: 92343E102  
 Meeting Date: MAY 24, 2018      Meeting Type: Annual  
 Record Date: MAR 29, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director D. James Bidzos	For	For	Management
1.2	Elect Director Kathleen A. Cote	For	For	Management
1.3	Elect Director Thomas F. Frist, III	For	For	Management
1.4	Elect Director Jamie S. Gorelick	For	For	Management
1.5	Elect Director Roger H. Moore	For	For	Management
1.6	Elect Director Louis A. Simpson	For	For	Management
1.7	Elect Director Timothy Tomlinson	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify KPMG LLP as Auditors	For	For	Management
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	Against	For	Shareholder

---

### VERTEX PHARMACEUTICALS INCORPORATED

Ticker: VRTX                      Security ID: 92532F100  
 Meeting Date: MAY 17, 2018      Meeting Type: Annual  
 Record Date: MAR 29, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Sangeeta N. Bhatia	For	For	Management
1.2	Elect Director Jeffrey M. Leiden	For	For	Management
1.3	Elect Director Bruce I. Sachs	For	For	Management
2	Reduce Supermajority Vote Requirement	For	For	Management
3	Amend Omnibus Stock Plan	For	For	Management
4	Ratify Ernst & Young LLP as Auditors	For	For	Management
5	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
6	Report on Drug Pricing Increases	Against	Against	Shareholder
7	Report on Lobbying Payments and Policy	Against	For	Shareholder

---

### VF CORPORATION

Ticker: VFC                      Security ID: 918204108  
 Meeting Date: APR 24, 2018      Meeting Type: Annual  
 Record Date: MAR 01, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Richard T. Carucci	For	For	Management
1.2	Elect Director Juliana L. Chugg	For	For	Management
1.3	Elect Director Benno Dorer	For	For	Management
1.4	Elect Director Mark S. Hoplamazian	For	For	Management

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1.5	Elect Director Laura W. Lang	For	For	Management
1.6	Elect Director W. Alan McCollough	For	For	Management
1.7	Elect Director W. Rodney McMullen	For	Withhold	Management
1.8	Elect Director Clarence Otis, Jr.	For	For	Management
1.9	Elect Director Steven E. Rendle	For	For	Management
1.10	Elect Director Carol L. Roberts	For	For	Management
1.11	Elect Director Matthew J. Shattock	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify PricewaterhouseCoopers LLP as Auditors	For	For	Management

### W.W. GRAINGER, INC.

Ticker: GWW Security ID: 384802104  
 Meeting Date: APR 25, 2018 Meeting Type: Annual  
 Record Date: MAR 05, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1.1	Elect Director Rodney C. Adkins	For	For	Management
1.2	Elect Director Brian P. Anderson	For	For	Management
1.3	Elect Director V. Ann Hailey	For	For	Management
1.4	Elect Director Stuart Levenick	For	For	Management
1.5	Elect Director D.G. Macpherson	For	For	Management
1.6	Elect Director Neil S. Novich	For	For	Management
1.7	Elect Director Beatriz R. Perez	For	For	Management
1.8	Elect Director Michael J. Roberts	For	For	Management
1.9	Elect Director E. Scott Santi	For	For	Management
1.10	Elect Director James D. Slavik	For	For	Management
1.11	Elect Director Lucas E. Watson	For	For	Management
2	Ratify Ernst & Young LLP as Auditors	For	For	Management
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management

### WALMART, INC.

Ticker: WMT Security ID: 931142103  
 Meeting Date: MAY 30, 2018 Meeting Type: Annual  
 Record Date: APR 06, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Stephen J. Easterbrook	For	For	Management
1b	Elect Director Timothy P. Flynn	For	For	Management
1c	Elect Director Sarah J. Friar	For	For	Management
1d	Elect Director Carla A. Harris	For	For	Management
1e	Elect Director Thomas W. Horton	For	For	Management
1f	Elect Director Marissa A. Mayer	For	For	Management
1g	Elect Director C. Douglas McMillon	For	For	Management
1h	Elect Director Gregory B. Penner	For	For	Management
1i	Elect Director Steven S Reinemund	For	Against	Management
1j	Elect Director S. Robson Walton	For	For	Management
1k	Elect Director Steuart L. Walton	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Ratify Ernst & Young LLP as Auditors	For	For	Management
4	Require Independent Board Chairman	Against	For	Shareholder

## Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

5 Report on Race or Ethnicity Pay Gap Against For Shareholder

### WALTER INVESTMENT MANAGEMENT CORP.

Ticker: WAC Security ID: 93317WAA0  
 Meeting Date: NOV 28, 2017 Meeting Type: Written Consent  
 Record Date: NOV 01, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1	Vote on the Plan (for = Accept, Against = Reject) (abstain Votes Do Not Count)	None	For	Management
2	Elects Not To Grant The Releases Contained In Section 10.6(b) of the Plan. (for = Opt Out, Against Or Abstain = Do Not Opt Out)	None	For	Management

### WESTERN DIGITAL CORPORATION

Ticker: WDC Security ID: 958102105  
 Meeting Date: NOV 02, 2017 Meeting Type: Annual  
 Record Date: SEP 06, 2017

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Martin I. Cole	For	For	Management
1b	Elect Director Kathleen A. Cote	For	For	Management
1c	Elect Director Henry T. DeNero	For	For	Management
1d	Elect Director Michael D. Lambert	For	For	Management
1e	Elect Director Len J. Lauer	For	For	Management
1f	Elect Director Matthew E. Massengill	For	For	Management
1g	Elect Director Stephen D. Milligan	For	For	Management
1h	Elect Director Paula A. Price	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	Against	Management
3	Advisory Vote on Say on Pay Frequency	One Year	One Year	Management
4	Amend Omnibus Stock Plan	For	For	Management
5	Ratify KPMG LLP as Auditors	For	For	Management

### XCEL ENERGY INC.

Ticker: XEL Security ID: 98389B100  
 Meeting Date: MAY 16, 2018 Meeting Type: Annual  
 Record Date: MAR 20, 2018

#	Proposal	Mgt Rec	Vote Cast	Sponsor
1a	Elect Director Richard K. Davis	For	For	Management
1b	Elect Director Ben Fowke	For	For	Management
1c	Elect Director Richard T. O'Brien	For	For	Management
1d	Elect Director David K. Owens	For	For	Management
1e	Elect Director Christopher J. Policinski	For	For	Management
1f	Elect Director James T. Prokopanko	For	For	Management
1g	Elect Director A. Patricia Sampson	For	For	Management

Edgar Filing: TRI-CONTINENTAL CORP - Form N-PX

1h	Elect Director James J. Sheppard	For	For	Management
1i	Elect Director David A. Westerlund	For	For	Management
1j	Elect Director Kim Williams	For	For	Management
1k	Elect Director Timothy V. Wolf	For	For	Management
1l	Elect Director Daniel Yohannes	For	For	Management
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For	Management
3	Ratify Deloitte & Touche LLP as Auditors	For	For	Management

=====

Any ballot marked 'Abstain' is considered to have been voted. Ballots marked 'Abstain' are considered to have been voted against management's recommendation if management's recommendation is 'For' or 'Against,' and for management's recommendation if management's recommendation is 'Abstain.' Where management has recommended that shareholders 'Abstain' from voting on a ballot item, a ballot marked 'For' or 'Against' is considered to have been voted against management's recommendation to 'Abstain.'

Where management has made no recommendation on a ballot item, 'None' is used to indicate that there is no management recommendation that a shareholder may vote 'For' or 'Against.'

Any ballot marked as 'Take No Action' is neither a vote cast for or against management and 'None' is used to represent this.

=====

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant)	Tri-Continental Corporation
By (Signature and Title)*	/s/ Christopher O. Petersen Christopher O. Petersen President and Principal Executive Officer
Date:	August 21, 2018

\* Print the name and title of each signing officer under his or her signature.