Edgar Filing: BROOKS DAVID H - Form 4

#### **BROOKS DAVID H**

Form 4 February 27, 2003

#### FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### **OMB APPROVAL**

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

	2. Issuer Name <b>and</b> Ticker or Tr. <b>S.Y. Bancorp, Inc. SYI</b>	P	6. Relationship of Reporting Person(s)			
	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)  406-62-7565	4. Statement for Month/Day/Year 2/27/2003 X	o Issuer (Check all applicable)  \( \subseteq \text{ Director} \)  0% Owner  \( \subseteq \text{ Officer (give title below)} \)  \( \subseteq \text{ Dther (specify below)} \)			
(Street) Prospect, KY 40059		5. If Amendment, 7 Date of Original (0 (Month/Day/Year) X P	EEO  Individual or Joint/Group Filing Check Applicable Line) Form filed by One Reporting erson Form filed by More than One eporting Person			
(City) (State) (Zip)	Table I Non-Derivative	Securities Acquired, Dispose	osed of, or Beneficially Owned			
1. Title of 2. Trans- 2A. Deemed Security Execution Date,	3. Trans-4. Securities Acquiraction Code (A) or Disposed of (Instr. 8) (Instr. 3, 4 & 5)	(D) Securities Beneficially	6. Owner- 7. Nature of ship Form: Indirect Direct (D) Beneficial			
(Month/ Day/ if any Year) (Month/Day/ Year)	Code V Amount (A) or (D)	Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)	or Indirect (I) Ownership (Instr. 4) (Instr. 4)			
Common Stock		12,721	l D			
Common Stock		22,828	B I Wife			
Common Stock		7,457.990	I ESOP Shares			
Common Stock		1,145.9543	3 I 401k Shares			
Common Stock 2/27/2003	P 11.961 A	37.39 409.800	) I Trust (1)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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# FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

				.a,	***	411	ants,	opuons, co		ole secul	itics	1	1		1
Derivative Security (Instr. 3)	Exercise Price of Derivative Security	3. Trans- action Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		6. Date Exercisable and Expiration Date (Month/Day/ Year)		7. Title and Amount of Underlying Securities (Instr. 3 & 4)			_	Form	11. Naturof Indire Beneficia Ownersh (Instr. 4)
				Code		(A)	(D)	Date Exer-cisable	Expira- tion Date	Title	Amount or Number of Shares			(Instr. 4)	
Option (Right to Buy)	.8615							Immed.	None	Common Stock	3,680		3,680	D	
Option (Right to Buy)	7.25	2/14/2003					1,253	Immed.	1/10/05	Common Stock	1,253		-0-	D	
Option (Right to Buy)	14.50	2/14/2003					4,000	Immed.	1/03/07	Common Stock	4,000		-0-	D	
Option (Right to Buy)	20.50							Immed.	1/8/08	Common Stock	2,000		2,000	D	
Option (Right to Buy)	23.9375							Immed.	1/12/09	Common Stock	10,200		10,200	D	
Option (Right to Buy)	21.00							Immed.	1/07/10	Common Stock	11,500		11,500	D	
Option (Right to Buy)	20.63							Immed.	12/21/10	Common Stock	16,000		16,000	D	
Option (Right to Buy)	33.60							Immed.	12/27/11	Common Stock	12,000		12,000	D	
Option IRight to Buy)	39.10		`					6/17/02	12/17/12	Common Stock	9,300		9,300	D	

Explanation of Responses:

(1) Shares held in the deferred comp plan

By: /s/ //David H. Brooks

<u>2/14/2003</u> Date

\*\*Signature of Reporting Person

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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