#### S Y BANCORP INC

Form 4

October 25, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DAVIS NANCY B Issuer Symbol S Y BANCORP INC [SYBT] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify 4700 CROFTON ROAD 10/25/2013 below) Exec. Vice President, Treasure (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE, KY 40207 Person

| (City)                               | (State)                              | (Zip) Ta  | ble I - Non                            | -Derivati | ve Sec                       | urities Acqu  | iired, Disposed o  | f, or Beneficia  | ally Owned   |
|--------------------------------------|--------------------------------------|---|--|-----------|------------------------------|---------------|--|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) |           | sed of<br>4 and<br>(A)<br>or | ` '           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 10/25/2013                           |   | S                                      | 1,500     | D                            | \$<br>30.2134 | 57,270.0871  | D  |  |
| Common<br>Stock                      |                                      |   |  |           |                              |               | 15,345.2317  | I  | by<br>401k/ESOP-fbo<br>Nancy Davis                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | te                 | 7. Title and A Underlying S (Instr. 3 and | Securities                             |
|---|---|---|---|--|---|---------------------|--------------------|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of<br>Shares |
| Option<br>(Right to<br>Buy)                         | \$ 22.8095  |   |   |  |   | 06/14/2005          | 12/14/2014         | Common<br>Stock                           | 6,300                                  |
| Option<br>(Right to<br>Buy)                         | \$ 24.0667  |   |   |  |   | 07/17/2006          | 01/17/2016         | Common<br>Stock                           | 8,400                                  |
| Option<br>(Right to<br>Buy)                         | \$ 26.83  |   |   |  |   | 08/20/2007          | 02/20/2017         | Common<br>Stock                           | 5,000                                  |
| Stock<br>Appreciation<br>Right                      | \$ 23.37  |   |   |  |   | 08/19/2008          | 02/19/2018         | Common<br>Stock                           | 3,200                                  |
| Stock<br>Appreciation<br>Right                      | \$ 22.14  |   |   |  |   | 02/17/2010          | 02/17/2019         | Common<br>Stock                           | 3,000                                  |
| Stock<br>Appreciation<br>Right                      | \$ 21.03  |   |   |  |   | 02/16/2011          | 02/16/2020         | Common<br>Stock                           | 5,826                                  |
| Stock<br>Appreciation<br>Right                      | \$ 23.76  |   |   |  |   | 03/15/2012          | 03/15/2021         | Common<br>Stock                           | 3,484                                  |
| Stock<br>Appreciation<br>Right                      | \$ 22.86  |   |   |  |   | 02/20/2013          | 02/20/2022         | Common<br>Stock                           | 6,125                                  |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |
|----------------------------------|---------------|
| Reput ting Owner Maine / Address |               |

Director 10% Owner Officer Other

Reporting Owners 2

DAVIS NANCY B 4700 CROFTON ROAD LOUISVILLE, KY 40207

Exec. Vice President, Treasure

## **Signatures**

//Nancy B. 10/25/2013 Davis

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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