Edgar Filing: TRINITY INDUSTRIES INC - Form 4

#### TRINITY INDUSTRIES INC

Form 4 January 02, 2003

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

					me and Tic ustries, Inc		6. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below)					
(Last) 2525 Stemmons					M	Statement for fonth/Day/Year 2/31/02						
							Vice President Officer	& Chief Financial				
(Street)  Dallas, Texas 75207-2401								If Amendment, ate of Original Month/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person			
									_ Form filed by More than One Reporting Person			
(City) (State) (Zip)				able	e I Non-I	)erivat	ive Se	curities Acquired, Dis	ties Acquired, Disposed of, or Beneficially Owned			
1. Title of Security (Instr. 3)	action Date (Month/ Day/	Execution Date, Day/ if any (Month/Day/ Day/ Day/ Day/ Day/ Day/ Day/ Day/			ing Reported	6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
		Year)			(D)			Transactions(s) (Instr. 3 & 4)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cans, warrants, options, convertible securities)												
1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature		
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect		
Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial		
	Price of		Date,	Code	Derivati	(Medonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership		
(Instr. 3)	Derivative	(Month/	if any		Securiti	<b>X</b> ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)		
	Security	Day/	(Month/	(Instr.	Acquire	d			Following	ative			
		Year)	Day/	8)	(A) or				Reported	Security:			
			Year)		Dispose	d			Transaction(s)	Direct			
					of (D)				(Instr. 4)	(D)			

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

### Edgar Filing: TRINITY INDUSTRIES INC - Form 4

			(Instr 3, 4 & 5)									or Indirect (I) (Instr. 4)	
			Code	V (A		Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Trinity Stock Units	1 - for -1	12/31/02	A	82	2	(1)		Common Stock	82	\$18.96	6,392	I	by Trust

Explanation of Responses:

(1) The Trinity Stock Units were accrued under the Trinity Industries, Inc. Supplemental Profit Sharing Plan and will be settled upon the reporting person's retirement or other termination of service or earlier for in service distributions under limited circumstances as provided by the plan. Stock Units convert at a ratio of 1 to 1.

By: /s/ Michael G. Fortado
For: Jim S. Ivy

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).