

NICHOLAS APPLGATE CONVERTIBLE & INCOME FUND II
 Form 4
 November 16, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HARRIS BRENT R

(Last) (First) (Middle)

840 NEWPORT CENTER DRIVE
 (Street)

NEWPORT BEACH, CA 92660

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
 NICHOLAS APPLGATE
 CONVERTIBLE & INCOME
 FUND II [NCZ]

3. Date of Earliest Transaction
 (Month/Day/Year)
 11/15/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director
 ____ Officer (give title below) 10% Owner
 ____ Other (specify below)
 Affiliated Person

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Shares | 11/15/2007 | | P | | 8,400 A \$ 12.9005 | 8,400 | D ⁽¹⁾ |
| Common Shares | 11/15/2007 | | P | | 500 A \$ 12.91 | 8,900 | D ⁽¹⁾ |
| Common Shares | 11/15/2007 | | P | | 1,000 A \$ 12.87 | 9,900 | D ⁽¹⁾ |
| Common Shares | 11/15/2007 | | P | | 100 A \$ 12.93 | 10,000 | D ⁽¹⁾ |
| Common Shares | 11/15/2007 | | P | | 2,400 A \$ 12.94 | 12,400 | D ⁽¹⁾ |

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