### Edgar Filing: NICHOLAS APPLEGATE CONVERTIBLE & INCOME FUND II - Form 4

### NICHOLAS APPLEGATE CONVERTIBLE & INCOME FUND II

Form 4

November 16, 2007

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Middle)

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* HARRIS BRENT R

(First)

(Street)

840 NEWPORT CENTER DRIVE

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

NICHOLAS APPLEGATE **CONVERTIBLE & INCOME** 

(Check all applicable)

FUND II [NCZ]

3. Date of Earliest Transaction

Director 10% Owner \_X\_ Other (specify Officer (give title below) below)

6. Individual or Joint/Group Filing(Check

Affiliated Person

(Month/Day/Year)

11/15/2007

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### NEWPORT BEACH, CA 92660

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	11/15/2007		Code V P	Amount 8,400	(D)	Price \$ 12.9005	(Instr. 3 and 4) 8,400	D (1)	
Common Shares	11/15/2007		P	500	A	\$ 12.91	8,900	D (1)	
Common Shares	11/15/2007		P	1,000	A	\$ 12.87	9,900	D (1)	
Common Shares	11/15/2007		P	100	A	\$ 12.93	10,000	D (1)	
Common Shares	11/15/2007		P	2,400	A	\$ 12.94	12,400	D (1)	

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Common Shares P 2,100 A \$ 12.95 14,500 D (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	7. Title and Amount of Underlying Securities (Instr. 3 and	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Amor or Title Numb of Share	ber	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HARRIS BRENT R

840 NEWPORT CENTER DRIVE NEWPORT BEACH, CA 92660 Affiliated Person

### **Signatures**

Brian S. Shlissel, Attorney in fact for Brent R. Harris

11/16/2007

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- $(1) \quad \hbox{ The purchases were made on behalf of Brent Harris Seperate Property Trust.}$

#### **Remarks:**

Pacific Investment Management Company LLC (PIMCO) is the investment adviser of the Issuer. Mr. Harris is a member of P. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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