### Edgar Filing: ROSENBERG MORRIS - Form 3/A

#### **ROSENBERG MORRIS**

Form 3/A

November 15, 2004

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SEATTLE GENETICS INC /WA [SGEN]  **ROSENBERG MORRIS** (Month/Day/Year) 11/05/2004 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 12915 195TH PL NE 11/15/2004 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_ Officer \_ Other (give title below) (specify below) WOODINVILLE, WAÂ 98072 Form filed by More than One SVP, Development Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)  2. Date Expirati (Month/Day			3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)

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Incentive Stock Option (right to buy)	(1)	10/29/2014	Common Stock	13,439	\$ 6.06	D	Â
Non-Qualified Stock Option (right to buy)	(1)	10/29/2014	Common Stock	36,561	\$ 6.06	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
noporomg o maor rumo / rumross	Director	10% Owner	Officer	Other		
ROSENBERG MORRIS 12915 195TH PL NE WOODINVILLE, WA 98072	Â	Â	SVP, Development	Â		

### **Signatures**

Morris Z.
Rosenberg

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares shall vest at a rate of 25% on 10/16/05 and monthly thereafter until all the shares are fully vested on 10/16/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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