

BAKKEN RESOURCES INC  
Form 8-K  
February 10, 2016

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of  
the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): **February 5, 2016**

**Bakken Resources, Inc.**

(Exact name of registrant specified in charter)

**Nevada**  
(State of Incorporation)

**000-53632**  
(Commission File Number)

**26-2973652**  
(IRS Employer  
Identification No.)

**1425 Birch Ave., Suite A, Helena, MT 59601**  
(Address of principal executive offices) (Zip Code)

**(406) 442-9444**  
Issuer's Telephone Number

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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**Item 8.01 Other Events**

Bakken Resources, Inc. (the Company) attaches certain unaudited financial data to this Form 8-K as Exhibit 99.1. Such financial data covers the fiscal quarter ended June 30, 2015.

Information from 2013 included in the attached Exhibit 99.1 is subject to restatement, as disclosed in Item 5, Part II of the Company's Quarterly Report on Form 10-Q/A filed with the Securities Exchange Commission on December 12, 2014. Information included from 2014 is unaudited.

The Company's May 12, 2015 Form 8-K/A included certain financial data for fiscal quarter ended March 31, 2015.

As previously disclosed, the Company's auditors will await final results of the ongoing internal investigation before issuing an audit opinion allowing the Company to file its Periodic Reports. The internal investigation continues.

**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits

Exhibit 99.1 Press release issued by the Company on February 10, 2016

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Bakken Resources, Inc.

By: /s/ Dan Anderson  
 Dan Anderson  
 Chief Financial Officer  
 February 10, 2016

an="2">3. Transaction Code

(Instr. 8)4. Securities Acquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)7. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeVAmount(A) or (D)Price Common Stock03/15/2019 ZV 99,000 <sup>(1)</sup> D \$ 0 859,852 D Common Stock03/15/2019 ZV 99,000 <sup>(1)</sup> A \$ 0 1,316,695 I Held by Trust 2 <sup>(2)</sup> Common Stock04/15/2019 M 40,000 <sup>(3)</sup> A \$ 14.22 899,852 D Common Stock04/15/2019 S 40,000 <sup>(3)</sup> D \$ 64.98 859,852 D Common Stock 31,400 I Held by IRA <sup>(4)</sup> Common Stock 15,000 I Held by Trust 1 <sup>(5)</sup> Common Stock 7,000 I Held by Trust 3 <sup>(6)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 14.22	04/15/2019		M	40,000	03/11/2013 02/11/2020	Common Stock 40

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TAN LIP BU 2655 SEELY AVENUE, BLDG. 5 SAN JOSE, CA 95134	X		CEO	

## Signatures

Yoonie Y. Chang, Attorney-in-Fact for Lip-Bu Tan	04/17/2019
__Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred to the Lip-Bu Tan and Ysa Loo Trust dated 2/3/1992.
- (2) Shares held by the Lip-Bu Tan and Ysa Loo Trust dated 2/3/1992.
- (3) The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 Trading Plan adopted by the Reporting Person.
- (4) Shares held by IRA FBO Lip-Bu Tan DB Securities Inc. Custodian Rollover Account DTD 5/19/1997.
- (5) Shares held by A&E Investment LLC, the sole member of which is the Lip-Bu Tan and Ysa Loo Trust dated 2/3/1992.
- (6) Shares held by L Tan & N Lee TTEE, Paeven Walden Inc. 401(K) PSPS, FBO Lip-Bu Tan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.