

BROOKS AUTOMATION INC

Form 4

March 05, 2007

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BELLINI JOSEPH**

(Last) (First) (Middle)

**C/O BROOKS AUTOMATION,  
INC., 15 ELIZABETH DRIVE**

(Street)

**CHELMSFORD, MA 01824**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**BROOKS AUTOMATION INC  
[BRKS]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**03/01/2007**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
President & COO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	03/01/2007		M		23,458	A	\$ 10.25
							52,961
Common Stock	03/01/2007		M		28,355	A	\$ 10.25
							81,046
Common Stock	03/01/2007		S		51,813	D	\$ 15.5248
							29,233

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Incentive Stock Option (Right to Buy)	\$ 10.25	03/01/2007		M	23,458	<u>(1)</u>	03/24/2010	Common Stock
Non-Qualified Stock Option (Right to Buy)	\$ 10.25	03/01/2007		M	28,355	<u>(2)</u>	03/24/2010	Common Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BELLINI JOSEPH C/O BROOKS AUTOMATION, INC. 15 ELIZABETH DRIVE CHELMSFORD, MA 01824	President & COO

## Signatures

/s/ Thomas S. Grilk, attorney-in-fact for Joseph M. Bellini

03/05/2007

                     \*\*Signature of Reporting Person

                     Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- This option was granted on March 24, 2003 and became exercisable as follows: 5,575 shares vested on March 24, 2004; 1,394 shares vested on June 24, 2004; 1,393 shares vested on September 24, 2004; 1,394 shares vested on December 24, 2004; 2,439 shares vested on March 24, 2005; 2,439 shares vested on June 24, 2005; 2,439 shares vested on September 24, 2005; 2,439 shares vested on December 24, 2005; 2,439 shares vested on March 24, 2006; 2,439 shares vested on June 24, 2006; 2,439 shares vested on September 24, 2006; 2,439 shares vested on December 24, 2006; and 4,687 shares will vest on March 24, 2007.
- (1) March 24, 2005; 2,439 shares vested on June 24, 2005; 2,439 shares vested on September 24, 2005; 2,439 shares vested on December 24, 2005; 2,439 shares vested on March 24, 2006; 2,439 shares vested on June 24, 2006; 2,439 shares vested on September 24, 2006; 2,439 shares vested on December 24, 2006; and 4,687 shares will vest on March 24, 2007.
- (2) This option was granted on March 24, 2003 and became exercisable as follows: 13,175 shares vested on March 24, 2004; 3,294 shares vested on June 24, 2004; 3,294 shares vested on September 24, 2004; 3,294 shares vested on December 24, 2004; 2,249 shares vested on March 24, 2005; 2,248 shares vested on June 24, 2005; 2,249 shares vested on September 24, 2005; 2,248 shares vested on December 24,

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2005; 2,249 shares vested on March 24, 2006; 2,248 shares vested on June 24, 2006; 2,249 shares vested on September 24, 2006; and 2,248 shares vested on December 24, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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