#### Edgar Filing: VAN AMEN DARRELL - Form 3/A

VAN AMEN DARRELL Form 3/A

June 25, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

2000

VAN AMEN DARRELL

601 UNION STREET, SUITE

(Last)

(City)

(Instr. 4)

1. Title of Security

(First)

(Street)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

02/10/2012

4. Relationship of Reporting

HomeStreet, Inc. [HMST]

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

02/13/2012

(Check all applicable)

SVP, Treasurer

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

SEATTLE, WAÂ 98101

(State)

(Zip)

2. Amount of Securities

(Instr. 4)

Beneficially Owned

Ownership

(I)

Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

or Indirect (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Exercisable Expiration

Title Amount or Derivative Security

Security: Direct (D) or Indirect

(I)

Number of Date Shares

1

(Instr. 5)

Option to Buy  $01/27/2011 \ 01/27/2021 \ \frac{\text{Common}}{\text{Stock}} \ \frac{2,800 \ \frac{(1)}{2}}{(2)} \ \$ 8 \ \frac{(2)}{2}$  D  $\hat{A}$ 

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

VAN AMEN DARRELL

601 UNION STREET, SUITE 2000 Â Â Â SVP, Treasurer Â

SEATTLE, WAÂ 98101

# **Signatures**

/s/ Godfrey B. Evans, attorney in fact for Darrell van
Amen 06/25/2012

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - 50% of this option vested as of February 10, 2012, the remaining 50% vests as follows: (a) 25% on the earlier of the two year
- (1) anniversary of the date of grant or the termination of certain regulatory orders pertaining to HomeStreet Bank, and (b) 25% on the three year anniversary of the date of grant.
- (2) Does not reflect a subsequent 2-for-1 forward stock split of the company's common stock on March 6, 2012.

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#### **Remarks:**

This amendment to Form 3 is being filed to correct the number of derivative securities held by l filing and to make other nonmaterial corrections.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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