#### SCOTTS MIRACLE-GRO CO

Form 4 June 25, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**HAGEDORN JAMES** 

2. Issuer Name and Ticker or Trading

Symbol

SCOTTS MIRACLE-GRO CO

3. Date of Earliest Transaction

[SMG]

(Month/Day/Year)

(Middle)

06/23/2014

C/O THE SCOTTS MIRACLE-GRO COMPANY, 14111 SCOTTSLAWN ROAD

(Street)

(First)

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

\_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title \_ Other (specify

below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MARYSVILLE, OH 43041

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiom Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Shares	06/23/2014		S(1)	1,416	D	\$ 57.22	58,176.751	D		
Common Shares	06/23/2014		S <u>(1)</u>	4,111	D	\$ 57.23	54,065.751	D		
Common Shares	06/23/2014		S <u>(1)</u>	100	D	\$ 57.235	53,965.751	D		
Common Shares	06/23/2014		S(1)	4,588	D	\$ 57.24	49,377.751	D		
	06/23/2014		S <u>(1)</u>	100	D	\$ 57.245	49,277.751	D		

Common Shares							
Common Shares	06/23/2014	S(1)	2,778	D	\$ 57.25	46,499.751	D
Common Shares	06/23/2014	S(1)	400	D	\$ 57.255	46,099.751	D
Common Shares	06/23/2014	S(1)	1,727	D	\$ 57.26	44,372.751	D
Common Shares	06/23/2014	S(1)	1,297	D	\$ 57.27	43,075.751	D
Common Shares	06/23/2014	S(1)	100	D	\$ 57.275	42,975.751	D
Common Shares	06/23/2014	S(1)	1,100	D	\$ 57.28	41,875.751	D
Common Shares	06/23/2014	S(1)	200	D	\$ 57.285	41,675.751	D
Common Shares	06/23/2014	S(1)	590	D	\$ 57.29	41,085.751	D
Common Shares	06/23/2014	S(1)	100	D	\$ 57.295	40,985.751	D
Common Shares	06/23/2014	S(1)	100	D	\$ 57.2975	40,885.751	D
Common Shares	06/23/2014	S(1)	400	D	\$ 57.3	40,485.751	D
Common Shares	06/23/2014	S(1)	100	D	\$ 57.305	40,385.751	D
Common Shares	06/23/2014	S(1)	312	D	\$ 57.31	40,073.751	D
Common Shares	06/23/2014	S(1)	988	D	\$ 57.32	39,085.751	D
Common Shares	06/23/2014	S(1)	102	D	\$ 57.33	38,983.751	D
Common Shares	06/23/2014	S(1)	100	D	\$ 57.335	38,883.751	D
Common Shares	06/23/2014	S(1)	100	D	\$ 57.34	38,783.751	D
Common Shares	06/23/2014	S(1)	400	D	\$ 57.35	38,383.751	D
Common Shares	06/23/2014	S(1)	400	D	\$ 57.4	37,983.751	D
	06/23/2014	S <u>(1)</u>	100	D	\$ 57.45	37,883.751	D

Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

Common Shares

Common Shares 06/23/2014  $S_{\underline{(1)}}$  200 D \$ 57.53 37,683.751 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact: Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 0	Director	10% Owner	Officer	Other			
HAGEDORN JAMES							
C/O THE SCOTTS MIRACLE-GRO COMPANY	X	$\mathbf{v}$	Chairman				
14111 SCOTTSLAWN ROAD	Λ	Λ	and CEO				
MARYSVILLE OH 43041							

### **Signatures**

Kathy L. Uttley as attorney-in-fact for James
Hagedorn

06/25/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 21, 2014.

Reporting Owners 3

### Edgar Filing: SCOTTS MIRACLE-GRO CO - Form 4

#### **Remarks:**

Form 2 of 5.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.