

Ringel Karen L
Form 3
January 25, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Ringel Karen L

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

01/25/2013

3. Issuer Name **and** Ticker or Trading Symbol
FUEL TECH, INC. [FTEK]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Vice President

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

C/O FUEL TECH,Â 27601
BELLA VISTA PARKWAY

(Street)

WARRENVILLE,Â ILÂ 60555

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

856

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)
Title

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock	05/20/2009	05/20/2019	Common Stock	5,000	\$ 10.2	D	Â
Restricted Stock Units	Â ⁽¹⁾	Â ⁽¹⁾	Common Stock	1,250	\$ ⁽²⁾	D	Â
Restricted Stock Units	Â ⁽³⁾	Â ⁽³⁾	Common Stock	536	\$ ⁽²⁾	D	Â
Restricted Stock Units	Â ⁽³⁾	Â ⁽³⁾	Common Stock	4,039	\$ ⁽²⁾	D	Â
Restricted Stock Units	Â ⁽⁴⁾	Â ⁽⁴⁾	Common Stock	5,500	\$ ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ringel Karen L C/O FUEL TECH 27601 BELLA VISTA PARKWAY WARRENVILLE, IL 60555	Â	Â	Â Vice President	Â

Signatures

Karen L Ringel 01/25/2013

 Date

**Signature of
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On December 21, 2010, the reporting person was granted 2,500 RSUs, of which fifty percent (50%) of the shares subject to the RSUs vested on December 21, 2012. The remaining Common Stock into which such vested RSUs converted and is owned by the reporting person is reported in Table I. The remaining unvested RSUs will continue to vest as follows: 625 RSUs will vest on December 21, 2013, and 625 RSUs will vest on December 21, 2014.

(2) Each restricted stock unit is the economic equivalent of one share of Fuel Tech, Inc. Common Stock.

(3) The Restricted Stock Units vest in one installment on the second anniversary of the June 29, 2011 grant date. Generally, shares will be delivered to the reporting person in respect of vested RSUs as soon as practicable after the vesting date, unless the reporting person has elected to defer distribution until a later date.

(4) The Restricted Stock Units vest in three installments from the RSU grant date of March 16, 2012 ("Grant Date"): (i) one-third of the total RSUs awarded shall vest thirteen (13) months after the RSU Grant Date, (ii) one-third shall vest on the second anniversary of the RSU Grant Date, and (iii) the remaining one-third shall vest on the third anniversary of the RSU Grant Date. Generally, shares will be delivered to the reporting person in respect of vested RSUs as soon as practicable after each vesting date, unless the reporting person has elected to defer distribution until a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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