Edgar Filing: SPLUNK INC - Form 4

SPLUNK INC Form 4 June 06, 2013UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5				
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person * 2. Issuer STURIALE NICHOLAS G Symbol SPLUNI SPLUNI				Ticker or PLK]	Tradiı	ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				-				Officer (give title below) below)			
(Street) 4. If Amer			ndment, Date Original tth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN FRANC	CISCO, CA 94107						Form filed by M Person	lore than One Re	porting		
(City)	(State) (Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
	(Month/Day/Year) Exe any	th/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			Owned Indirect (I)		Indirect Beneficial		
Common Stock	06/05/2013		М	6,250	А	\$ 0.99	32,363	D			
Common Stock	06/05/2013		S <u>(1)</u>	6,250	D	\$ 42.79	26,113	D			
Common Stock							143	I	See Footnote		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
required to respond unless the formSEC 1474
(9-02)

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 0.99	06/05/2013		М	6,250	<u>(3)</u>	06/20/2020	Common Stock	6,250	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
STURIALE NICHOLAS G C/O SPLUNK INC. 250 BRANNAN STREET SAN FRANCISCO, CA 94107	Х							
Signatures								
/s/ Steve Dean, by power of attorney		06/06/2013						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 26, (1) 2013.
- The shares are held directly by Sevin Rosen Bayless Management Company ("SRBMC"). The Reporting Person is an officer and director (2) of SRBMC and disclaims beneficial ownership of these shares except to the extent of his pecuniary interest in such shares.
- (3) The option is fully vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.