### FLUIDIGM CORP Form 4

March 11, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **JOG VIKRAM** 

2. Issuer Name and Ticker or Trading Symbol

FLUIDIGM CORP [FLDM]

3. Date of Earliest Transaction

(Last) (First) (Middle)

(Month/Day/Year) 03/07/2014

FLUIDIGM CORPORATION, 7000 SHORELINE COURT, SUITE 100

(State)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

(Zip)

**SOUTH SAN** FRANCISCO, CA 94080

(City)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title below)

CHIEF FINANCIAL OFFICER

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		1 401	C I - I (OII-D	ciivative	Secui	nues Acq	un cu, Disposcu o	i, or Denemeral	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock							2,061	I	See Footnote (1)
Common Stock	03/07/2014		M	1,477	A	\$ 15.49	1,477	D	
Common Stock	03/07/2014		M	2,473	A	\$ 16.73	3,950	D	
Common Stock	03/07/2014		S(2)	3,950	D	\$ 48.26	0	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ive Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 15.49	03/07/2014		M	1,477	(3)	02/17/2022	Common Stock	1,477
Employee Stock Option (Right to Buy)	\$ 16.73	03/07/2014		M	2,473	<u>(4)</u>	02/15/2023	Common Stock	2,473

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsinps						
	Director	10% Owner	Officer	Other			
JOG VIKRAM			CHIEF				
FLUIDIGM CORPORATION			CILLEI				
7000 SHORELINE COURT, SUITE 100			FINANCIAL				
SOUTH SAN FRANCISCO, CA 94080			OFFICER				

# **Signatures**

/s/ valerie Barnett,	
attorney-in-fact	03/11/2014
**Signature of Reporting Person	Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held indirectly by the Vikram and Pratima Family Trust dated June 23, 2009.
- (2) The sales reported by Mr. Jog were effected pursuant to a Rule 10b5-1 trading plan adopted on September 13, 2013.
- (3) 1/48th of the shares subject to the Option vested on February 17, 2012 and 1/48th of the shares subject to the Option vested on March 1, 2012 and each month thereafter, such that the Option will be fully vested on January 1, 2016.
- (4) 1/48th of the shares subject to the Option vested on February 1, 2013 and 1/48th of the shares subject to the Option vested on March 1, 2013 and each month thereafter, such that the Option will be fully vested on January 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.