SCYNEXIS INC Form 3

May 02, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

KIRBY PAMELA J

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

05/02/2014

SCYNEXIS INC [SCYX]

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O SCYNEXIS, INC.,, 3501 C TRICENTER BOULEVARD

(Street)

(Check all applicable)

(give title below) (specify below)

4. Relationship of Reporting

Person(s) to Issuer

X Director Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One

Reporting Person

DURHAM, NCÂ 27713

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

Form: Direct (D)

(I) (Instr. 5) 4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Exercisable Expiration Date

Title

Amount or Security Number of

Shares

Security: Direct (D) or Indirect

(I)

1

						(Instr. 5)	
Common Stock Warrant (right to buy)	01/31/2014	01/30/2019	Common Stock	12,745	\$ 0.2	D	Â
Series D-2 Preferred Stock	12/11/2013	(1)	Common Stock	12,745 (1)	\$ <u>(1)</u>	D	Â
Stock Option (right to buy)	01/13/2006	01/12/2015	Common Stock	735	\$ 20.4	D	Â
Stock Option (right to buy)	10/20/2009	10/19/2015	Common Stock	4,313	\$ 20.4	D	Â
Stock Option (right to buy)	07/19/2008	07/18/2017	Common Stock	490	\$ 20.4	D	Â
Stock Option (right to buy)	04/18/2009	04/17/2018	Common Stock	1,470	\$ 20.4	D	Â
Stock Option (right to buy)	04/23/2010	04/22/2019	Common Stock	1,470	\$ 25.5	D	Â
Stock Option (right to buy)	07/15/2011	07/14/2020	Common Stock	1,470	\$ 25.91	D	Â
Stock Option (right to buy)	04/21/2012	04/20/2021	Common Stock	1,715	\$ 30.6	D	Â
Stock Option (right to buy)	04/20/2013	07/11/2022	Common Stock	1,715	\$ 24.48	D	Â
Stock Option (right to buy)	04/21/2014	12/19/2023	Common Stock	1,715	\$ 55.08	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Othe		
KIRBY PAMELA J C/O SCYNEXIS, INC., 3501 C TRICENTER BOULEVARD DURHAM, NC 27713	ÂX	Â	Â	Â		

Signatures

/s/ Eileen Pruette, by power of attorney 05/02/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

⁽¹⁾ The Series D-2 Preferred Stock is convertible into Common Stock of the Issuer on a 1-for-20.4 basis at any time at the holder's election, and automatically upon the closing of the Issuer's initial public offering, and has no expiration date.

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