

HOME BANCSHARES INC
Form 4
September 03, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
09/02/2014

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

CONWAY, AR 72033

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Common Stock | 09/02/2014 | | M | | 2,851 A \$ 3.08 | D | |
| Common Stock | 09/02/2014 | | M | | 712 A \$ 3.5 | D | |
| Common Stock | 09/02/2014 | | M | | 712 A \$ 3.92 | D | |
| Common Stock | 09/02/2014 | | M | | 712 A \$ 4.21 | D | |
| Common Stock | 09/02/2014 | | M | | 712 A \$ 4.92 | D | |
| Common Stock | 09/02/2014 | | M | | 17,276 A | D | |

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| | \$ | | |
|-------------------------|-----------|---------|---|
| Common Stock | 4.78 | | |
| Common Stock | 6,681,339 | (1) | I |
| Common Stock | 8,136 | | I |
| Common Stock | 427,680 | | I |
| Common Stock | 33,664 | | I |
| Common Stock-Restricted | 41,333 | (2) (3) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|-------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number of Shares |
| Stock Option | \$ 3.08 | 09/02/2014 | | M | 2,851 | (4) | (4) | Common Stock | 2,851 |
| Stock Option | \$ 3.5 | 09/02/2014 | | M | 712 | (5) | (5) | Common Stock | 712 |
| Stock Option | \$ 3.92 | 09/02/2014 | | M | 712 | (6) | (6) | Common Stock | 712 |
| Stock Option | \$ 4.21 | 09/02/2014 | | M | 712 | (7) | (7) | Common Stock | 712 |
| Stock Option | \$ 4.78 | 09/02/2014 | | M | 17,276 | (8) | (8) | Common Stock | 17,276 |
| Stock Option | \$ 4.92 | 09/02/2014 | | M | 712 | (9) | (9) | Common Stock | 712 |
| Stock Option | \$ 5.33 | | | | | (10) | (10) | Common Stock | 3,500 |

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- (10) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
- (11) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- (12) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (13) The option became exercisable in two equal annual installments beginning on January 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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