EverBank Financial Corp Form 4 September 12, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL OMB** 

Washington, D.C. 20549 Number:

3235-0287 January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per

Expires:

Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response... 0.5

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person	
Surface John S	

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

EverBank Financial Corp [EVER]

(Check all applicable)

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

09/11/2014

\_X\_\_ Officer (give title \_ Other (specify below) **Executive Vice President** 

**501 RIVERSIDE AVENUE** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

JACKSONVILLE, FL 32202

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Secu	rities Acqui	red, Disposed of	, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition Disposition (Instr. 3, 4)  Amount	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Stock, par value \$0.01 per share	09/11/2014		M <u>(1)</u>	35,130	A	\$ 5.33	372,030	D	
Common Stock, par value \$0.01 per share	09/11/2014		S <u>(1)</u>	35,130	D	\$ 19	336,900	D	
Common Stock, par	09/11/2014		S <u>(1)</u>	20,000	D	\$ 18.9596	316,900	D	

## Edgar Filing: EverBank Financial Corp - Form 4

					•			
value \$0.01 per share					(2)			
Common Stock, par value \$0.01 per share	09/12/2014	M <u>(1)</u>	2,370	D	\$ 5.33	319,270	D	
Common Stock, par value \$0.01 per share	09/12/2014	S <u>(1)</u>	2,370	D	\$ 19	316,900	D	
Common Stock, par value \$0.01 per share	09/12/2014	S <u>(1)</u>	20,000	D	\$ 19	296,900	D	
Common Stock, par value \$0.01 per share						19,257	I	Held through the reporting person's relationship with Surface Investment Partnership, Ltd.
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of SEC 1474								

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		(e.g., pt	its, cans, warrants, t	options, co	onvertible secur	ities)				
1. Title of 2. Derivative Conversion or Exercise (Instr. 3) Price of Derivative Security		3. Transaction Date (Month/Day/Year)	any Co		4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

### Edgar Filing: EverBank Financial Corp - Form 4

Employee Stock Option (right to buy)	\$ 5.33	09/11/2014	M	35,130	06/30/2007	06/30/2014	Common Stock, par value \$0.01 per share	37,500
Employee Stock Option (right to buy)	\$ 5.33	09/12/2014	M	2,370	06/30/2007	06/30/2014	Common Stock, par value \$0.01 per share	2,370

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Surface John S

501 RIVERSIDE AVENUE Executive Vice President

JACKSONVILLE, FL 32202

# **Signatures**

By: Jean-Marc Corredor as Attorney in Fact 09/12/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 11, 2014.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.82 to \$19.00, inclusive. The reporting person undertakes to provide to EverBank Financial Corp, any security holder of EverBank Financial Corp, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each seperate price within the range set forth in this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3