Edgar Filing: NASDAQ OMX GROUP, INC. - Form 4

NASDAQ Ol Form 4 March 09, 20	MX GROUP, IN 15	NC.									
FORM	1								OMB AF	PPROVAL	
	➡ UNITED	STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWN SECURITIES					NERSHIP OF		Estimated average burden hours per	
Form 5 obligations may continue. Filed pursuant to Section 16(a) of t Section 17(a) of the Public Utility Ho					a) of the Securities Exchange Act of 1934, ty Holding Company Act of 1935 or Section stment Company Act of 1940						
(Print or Type R	esponses)										
Griggs PC Nelson Symbol			r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
			[NDAQ]				(Check	x an applicable)	
(Last)	× 2	(Middle)	3. Date of (Month/D 03/05/20	-	ansaction			Director X_Officer (give below) Executiv		Owner er (specify ent	
			ndment, Date Original hth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK	K, NY 10006							_X_Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of,	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year) Execution any		3. Transactic Code (Instr. 8)	(Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount	(D)	Price				
Stock, par value \$0.01 per share	03/05/2015			S <u>(1)</u>	2,698	D	\$ 50.67	20,991 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address			Relationships	
1 0	Director	10% Owner	Officer	Other
Griggs PC Nelson ONE LIBERTY PLAZA NEW YORK, NY 10006			Executive Vice President	
Signatures				
/s/ Edward S. Knight, by power attorney	r of	03/0	09/2015	
**Signature of Reporting Person			Date	

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.67 to \$50.68, inclusive. The reporting person undertakes to provide to The NASDAQ OMX Group, Inc., any security holder of The

- (1) NASDAQ OMX Group, Inc. or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.
- (2) Represents (i) 5,000 units of vested restricted stock and (ii) 15,991 shares underlying performance share units, of which 7,209 are vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.