

Edgar Filing: Restoration Hardware Holdings Inc - Form 4

Restoration Hardware Holdings Inc
Form 4
June 11, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Dunaj Kenneth J

(Last) (First) (Middle)

C/O RESTORATION HARDWARE
HOLDINGS, INC., 15 KOCH
ROAD, SUITE K

(Street)

CORTE MADERA, CA 94925

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
Restoration Hardware Holdings Inc
[RH]

3. Date of Earliest Transaction
(Month/Day/Year)
06/09/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)
Chief Operating Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|---|---|---|---|--|--|---|
| Common Stock | 06/09/2015 | | M ⁽¹⁾ | Amount 5,000 (2) | (A) or (D) A \$ 61.3 5,000 | D | |
| Common Stock | 06/09/2015 | | S ⁽¹⁾ | 4,050 | D \$ 92.82 950 (3) | D | |
| Common Stock | 06/09/2015 | | S ⁽¹⁾ | 950 | D \$ 93.77 0 (4) | D | |
| Common | 06/10/2015 | | M ⁽¹⁾ | 25,000 | A \$ 61.3 25,000 | D | |

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Stock (2)
Common Stock 06/10/2015 S⁽¹⁾ 25,000 D \$ 95 0 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Non-Qualified Stock Option (Right to Buy) | \$ 61.3 | 06/09/2015 | | M | 5,000 | <u>(5)</u> 05/07/2024 | Common Stock | 5,000 |
| Non-Qualified Stock Option (Right to Buy) | \$ 61.3 | 06/10/2015 | | M | 25,000 | <u>(5)</u> 05/07/2024 | Common Stock | 25,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|---|---|
| Dunaj Kenneth J C/O RESTORATION HARDWARE HOLDINGS, INC. 15 KOCH ROAD, SUITE K CORTE MADERA, CA 94925 | Director 10% Owner Officer Other Chief Operating Officer |

Signatures

/s/ Edward T. Lee as
Attorney-In-Fact 06/11/2015

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction was executed pursuant to a Rule 10b5-1 plan.

(2) These shares of common stock were issued upon exercise of stock options granted to the reporting person on May 8, 2014.

(3) This transaction was executed in multiple trades ranging from \$92.35 to \$93.25. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes (3) and (4) to this Form 4.

(4) This transaction was executed in multiple trades ranging from \$93.61 to \$93.93. The price reported above reflects the weighted average sale price.

(5) This stock option award vests and becomes exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on May 8, 2019, subject to continuous service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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