

FAIRMOUNT SANTROL HOLDINGS INC.

Form 4

March 03, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Deckard Jenniffer D.

2. Issuer Name and Ticker or Trading Symbol  
FAIRMOUNT SANTROL HOLDINGS INC. [FMSA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
8834 MAYFIELD ROAD  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/01/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

CHESTERLAND, OH 44026

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	03/01/2016		A	(A) or (D) V Amount 50,000 (1)	\$ 0 128,486 (2)	D	
Common Stock	03/01/2016		A	(A) or (D) V Amount 4,200 (3)	\$ 0 6,240 (4)	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 2.04	03/01/2016		A	118,000	<u>(5)</u> 03/01/2026	Common Stock 118,000
Employee Stock Option (Right to Buy)	\$ 2.04	03/01/2016		A	8,800	<u>(5)</u> 03/01/2026	Common Stock 8,800

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Deckard Jenniffer D. 8834 MAYFIELD ROAD CHESTERLAND, OH 44026	X		President and CEO	

## Signatures

/s/ Jenniffer D. Deckard, by David J. Crandall, her attorney-in-fact pursuant to Power of Attorney dated September 25, 2014, on file with the Commission 03/03/2016

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted 50,000 restricted stock units. The restricted stock units vest in 1/4 increments commencing one year after the date of grant.
- (2) Includes an aggregate of 62,586 restricted stock units.
- (3) The reporting person's spouse was granted 4,200 restricted stock units. The restricted stock units vest in 1/4 increments commencing one year after the date of grant.
- (4) The total number of shares of Common Stock represent grants of restricted stock units.
- (5) The options vest and become exercisable in 1/3 increments commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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