Edgar Filing: Financial Engines, Inc. - Form 4

Financial En	gines, Inc.										
Form 4											
April 18, 201	.6										
FORM	1								OMB AF	PROVAL	
	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check thi	s box			8,						January 31	
if no longer which to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP						NERSHIP OF	Expires: 20				
subject to Section 1	SECURITIES						Estimated a				
Form 4 or	SECONTIES						burden hou				
Form 5							response	0.5			
obligation	•						•				
may cont				•	•	· ·		1935 or Section	1		
See Instru		30(h)	of the In	vestment	Compan	y Ac	t of 194	-0			
1(b).											
(Print or Type F	Responses)										
	ddress of Reporting I	Person [*]	2. Issuer	Name and	Ticker or	Tradiı	ng	5. Relationship of Reporting Person(s) to Issuer			
Campbell M	ichael Jerome		Symbol								
			Financial Engines, Inc. [FNGN]					(Chook all applicable)			
			3 Date of	3. Date of Earliest Transaction				(Check all applicable)			
(Last) (First) (Midule)			(Month/Day/Year)					Director 10% Owner			
1050 ENTE	DDDICE WAV 2	חס						Director X Officer (give		er (specify	
1050 ENTERPRISE WAY, 3RD			04/16/2016					below) below)			
FLOOR								EVI	P, Technology		
	(Street)		4. If Ame	ndment, Da	te Original	1		6. Individual or Jo	int/Group Filin	g(Check	
× /			Filed(Month/Day/Year)					Applicable Line)			
			1 1100(1110)		,			_X_ Form filed by C	One Reporting Pe	rson	
SUNNYVA	LE, CA 94089							Form filed by M	lore than One Re	porting	
501111								Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deer	ned	3.4. Securities AcquiredTransaction(A) or Disposed of (D)				Securities	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution	n Date, if						Form: Direct I		
(Instr. 3)		any	Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8)					Owned 1	(D) or Benefit	Beneficial	
		(Month/I							Indirect (I)	Ownership	
								Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
						or		(Instr. 3 and 4)			
				Code V	Amount	(D)	Price	(Instr. 5 and 4)			
Common	04/16/2016	04/16/2	016	М	1,227	А	\$0	34,912	D		
Stock	0-1/10/2010	0-1/10/2	010	141	1,227	Α	ψυ	57,712	D		
Common							¢				
	04/16/2016	04/16/2	016	F	462	D	\$ 21.51	34,450	D		
Stock							31.51				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number ionof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 <u>(1)</u>	04/16/2016	04/16/2016	М	1,227	(2)	(3)	Common Stock	1,227	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Campbell Michael Jerome 1050 ENTERPRISE WAY 3RD FLOOR SUNNYVALE, CA 94089			EVP, Technol	ogy			
Signatures							
/s/ Jeffrey C. Grace, Attorney-i Campbell	04/18/2016						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Financial Engines, Inc. common stock.
- (2) The restricted stock units vest in four annual installments beginning 4/16/15.
- (3) No Expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.