#### AMKOR TECHNOLOGY, INC.

Form 4

August 09, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 1(b).

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Kelley Stephen Douglas

2. Issuer Name and Ticker or Trading

Symbol

AMKOR TECHNOLOGY, INC.

[AMKR]

5. Relationship of Reporting Person(s) to

Issuer

3. Date of Earliest Transaction

(Month/Day/Year) 08/08/2016

(Check all applicable)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

President and CEO

2045 EAST INNOVATION **CIRCLE** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**TEMPE, AZ 85284** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(Last)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Middle)

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(I) Transaction(s) (Instr. 4)

D

or (D) Price Code V Amount

(Instr. 3 and 4)

Amkor

Technology,

Inc., 08/08/2016 F 21,563 D 354,665 (1)

(A)

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Tit Deriv Secui (Instr	ative rity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeri Secu Acqı Disp	umber of vative urities uired (A) or osed of (D) r. 3, 4, and	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)
					Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Stoc Opti	on ht to	\$ 4.5	08/04/2016		M		100,000	05/08/2014(3)	05/08/2023	Amkor Technology, Inc., Common Stock
Stoc	on ht to	\$ 4.5	08/08/2016		M		31,539 (4)	05/08/2014(3)	05/08/2023	Amkor Technology, Inc., Common Stock
Stoc Opti	on ht to	\$ 4.5	08/09/2016		M		68,461 (5)	05/08/2014(3)	05/08/2023	Amkor Technology, Inc.,Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Kelley Stephen Douglas 2045 EAST INNOVATION CIRCLE TEMPE, AZ 85284	X		President and CEO				

## **Signatures**

Jerry C. Allison, Attorney-in-Fact for Stephen D. Kelley 08/09/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Also reflects the June 2, 2016 transfer of 129,792 shares to Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order.

**(2)** 

Reporting Owners 2

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Reflects the August 4, 2016 exercise of 100,000 employee stock options at the direction of Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order. Mr. Kelley's ex-spouse will retain all proceeds from the sales.

- (3) The option grant vests as follows: 25% of the shares vest on the first anniversary of the grant date and 1/16 of the option vests each quarter thereafter, such that 100% of the option will vest on the fourth anniversary of the grant date.
- (4) Reflects the August 8, 2016 exercise of 31,539 employee stock options at the direction of Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order. Mr. Kelley's ex-spouse will retain all proceeds from the sales.
- (5) Reflects the August 9, 2016 exercise of 68,461 employee stock options at the direction of Mr. Kelley's ex-spouse pursuant to the terms of a qualified domestic relations order. Mr. Kelley's ex-spouse will retain all proceeds from the sales.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.