Edgar Filing: TRANSATLANTIC PETROLEUM LTD. - Form 3

TRANSATLANTIC PETROLEUM LTD.

Form 3

December 15, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement TRANSATLANTIC PETROLEUM LTD. [TAT] Rochman Randy (Month/Day/Year) 12/05/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 16803 DALLAS PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner _X_ Director _X_ Form filed by One Reporting Officer Other Person ADDISON, TXÂ 75001 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Shares 189,585 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	(msu. 3)

Edgar Filing: TRANSATLANTIC PETROLEUM LTD. - Form 3

				Shares		(I) (Instr. 5)	
Convertible Note	(1)	07/01/2017	Common Shares	36,764	\$ 6.8	D	Â
Series A Convertible Preferred Shares	(2)	11/04/2024	Common Shares	686,310 (3)	\$ 1.0928	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
·r· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
Rochman Randy 16803 DALLAS PARKWAY ADDISON, TX 75001	ÂX	Â	Â	Â		

Signatures

Meredith V. Kennedy, Attorney-in-Fact

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 13.0% Convertible Note due 2017 (the "2017 Notes") in the principal amount of \$250,000 is convertible into the Issuer's Common Shares at any time after July 1, 2015.
- (2) Each 12.0% Series A Convertible Preferred Share (the "Series A Preferred Share") is convertible at any time after approval of the listing of the Common Shares on the NYSE MKT and the Toronto Stock Exchange, at the option of the holder.
- (3) The reporting person disposed of \$750,000 of the 2017 Notes in exchange for 15,000 shares of Series A Preferred Shares in an issuer exchange offer. The 15,000 Series A Preferred Shares are convertible into 686,310 shares of the Issuer's Common Shares.

Â

Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2