Edgar Filing: Grigoriadis Dimitri E. - Form 4

Form 4	Dimitri E.										
February 07	, 2018										
FORM	UNITED	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								PROVAL 3235-0287	
Check th if no lon subject to Section Form 4 of Form 5 obligation may com <i>See</i> Insta 1(b).	ser 50 16. 50 50 50 51 51 51 50 50 50 50 50 50 50 50 50 50									January 31, 2005 verage rs per 0.5	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Grigoriadis Dimitri E.			2. Issuer Name and Ticker or Trading Symbol NEUROCRINE BIOSCIENCES INC [NBIX]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 12780 EL (of Earliest Transaction /Day/Year) 2018				Director 10% Owner X Officer (give title Other (specify below) Chief Research Officer			
	(Street)			endment, l nth/Day/Ye	Date Origin ear)	nal		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo	ne Reporting Per	rson	
	O, CA 92130							Person		8	
(City)	(State)	(Zip)					_	ired, Disposed of,		-	
1.Title of Security 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, (Instr. 3) any (Month/Day/Year) (Month/Day/Year)		Date, if	Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/05/2018			Code V $S_{(1)}^{(1)}$	/ Amount 1,375	(D) D	Price \$ 83.0191 (2)	(msu: 5 and 1) 80,691	D		
Common Stock	02/05/2018			S <u>(3)</u>	671	D	\$ 83.2281 (4)	81,945	D		
Common Stock	02/06/2018			F <u>(5)</u>	528	D	\$ 82.48	82,942	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 81.49	02/05/2018		А	26,050	(6)	02/05/2028	Common Stock	26,050
Restricted Stock Unit	<u>(7)</u>	02/05/2018		А	4,600	(8)	(8)	Common Stock	4,600
Restricted Stock Unit	<u>(7)</u>	02/05/2018		А	12,250	(9)	03/15/2021	Common Stock	12,250

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Grigoriadis Dimitri E. 12780 EL CAMINO REAL SAN DIEGO, CA 92130			Chief Research Officer				
Signatures							
/s/ Darin Lippoldt, Attorney-in-Fact		02/07/2018					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Sale of 1,375 shares of common stock issued upon vesting of 2,750 restricted stock units on February 5, 2018 to cover payroll and withholding taxes, with the balance of the shares (1,375) maintained by the Reporting Person; the disposition reported in this Form 4 was

(1) effected by a broker pursuant to instructions set forth in a Rule 10b5-1 trading plan adopted by the Reporting Person at least 90 days prior to the transaction date in Box 2 above. Additionally, Issuer policy restricts the Reporting Person from amending, canceling, suspending or otherwise modifying any 10b5-1 trading plan subsequent to adoption of the plan.

Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$81.80 to(2) \$84.49. The Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

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Sale of 671 shares of common stock issued upon vesting of 1,925 restricted stock units on February 5, 2018 to cover payroll and withholding taxes, with the balance of the shares (1,254) maintained by the Reporting Person; the disposition reported in this Form 4 was

(3) effected by a broker pursuant to instructions set forth in a Rule 10b5-1 trading plan adopted by the Reporting Person at least 90 days prior to the transaction date in Box 2 above. Additionally, Issuer policy restricts the Reporting Person from amending, canceling, suspending or otherwise modifying any 10b5-1 trading plan subsequent to adoption of the plan.

Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$81.43 to(4) \$84.37. The Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

- (5) Payment of tax liability by withholding 528 shares of Common Stock incident to the vesting of a security issued in accordance with Rule 16b-3, with the balance of the shares (997) maintained by the Reporting Person.
- (6) Represents option of which 1/48th March 5, 2018 and an additional 1/48th of the shares underlying the option becomes vested and excercisable each month thereafter.
- (7) Each Restricted Stock Unit represents a contingent right to receive one share of the Neurocrine Common Stock.
- (8) The Restricted Stock Units will vest annually at 1/4 of the units vesting on each of February 5, 2019, February 5, 2020, February 5, 2021, and February 5, 2022.
- (9) A portion of this grant will vest upon FDA approval of opicapone within a specified time period, and portions of this grant will vest upon achievement of specified revenue milestones within a specified time period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.