Neff Thomas B Form 4 March 22, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

ILLINOIS ST.

1. Name and Address of Reporting Person * Neff Thomas B

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(First)

(Middle)

FIBROGEN INC [FGEN] 3. Date of Earliest Transaction

(Month/Day/Year)

Filed(Month/Day/Year)

_X__ Director 10% Owner X_ Officer (give title _ Other (specify

03/20/2018 below)

(Street) 4. If Amendment, Date Original

Chief Executive Officer 6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN FRANCISCO, CA 94158

C/O FIBROGEN, INC., 409

| (City) | (State) | (Zip) Tab | ole I - Non- | Derivative | Secu | rities Acq | quired, Disposed | of, or Benefic | ially Owned |
|--------------------------------------|---|---|--|---------------|------|--------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securitie Transaction(A) or Disp Code (Instr. 3, 4 a (Instr. 8) | | | of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 03/20/2018 | | Code V M | Amount 9,042 | (D) | Price \$ 3.6 | (Instr. 3 and 4) 2,969,251 | D | |
| Common Stock | 03/20/2018 | | S | 14,932 (1) | D | \$ 50.64 (2) | 2,954,319 | D | |
| Common Stock | 03/20/2018 | | S | 3,968 (1) | D | \$ 51.02 (3) | 2,950,351 | D | |
| Common Stock | 03/20/2018 | | S | 918 (1) | D | \$ 50.71 (4) | 119,580 | I | By Family Partnership |

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| Common Stock | 03/21/2018 | M | 9,042 | A | \$ 3.6 | 2,959,393 | D | |
|-----------------|------------|---|---------------|---|--------------------|-----------|---|------------------|
| Common Stock | 03/21/2018 | S | 18,000 (1) | D | \$ 51.17 (5) | 2,941,393 | D | |
| Common Stock | 03/21/2018 | S | 900 (1) | D | \$ 51.63 (6) | 2,940,493 | D | |
| Common Stock | 03/21/2018 | S | 918 (1) | D | \$ 51.13 (7) | 118,662 | D | |
| Common Stock | | | | | | 19,500 | I | By Spouse |
| Common Stock | | | | | | 60,946 | I | See footnote (8) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 8. I De Sec (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 3.6 | 03/20/2018 | | M | 9,042 | <u>(9)</u> | 03/11/2019 | Common Stock | 9,042 |
| Stock Option (Right to Buy) | \$ 3.6 | 03/21/2018 | | M | 9,042 | <u>(9)</u> | 03/11/2019 | Common Stock | 9,042 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Neff Thomas B

C/O FIBROGEN, INC.
409 ILLINOIS ST.

X Chief Executive Officer

SAN FRANCISCO, CA 94158

Signatures

/s/ Dorothy Pacini, Attorney-in-fact

03/22/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 plan.
- (2) The shares were sold at prices ranging from \$50.10 to \$50.975. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (3) The shares were sold at prices ranging from \$51.00 to \$51.15. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) The shares were sold at prices ranging from \$50.20 to \$50.875. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) The shares were sold at prices ranging from \$50.575 to \$51.55. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (6) The shares were sold at prices ranging from \$51.60 to \$51.70. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (7) The shares were sold at prices ranging from \$50.65 to \$51.45. The reporting person will provide upon request to the SEC, the issuer or security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (8) The shares are held by BioGrowth Partners, LP. The reporting person is the sole general partner of BioGrowth Partners, LP and has sole voting and dispositive power over the shares held by BioGrowth Partners, LP.
- (9) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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