### Edgar Filing: BARRETT M JAMES - Form 4

BARRETT Form 4 May 11, 20	18 Л Л							OMB AF	PROVAL	
<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549								OMB Number:	3235-0287	
Check the check	gor	Expires:	January 31, 2005							
subject Section Form 4 Form 5	or STATEMENT 16. or	SE		Estimated a burden hour response	average urs per					
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Responses)										
BARRETT M JAMES Symbol			]				5. Relationship of Reporting Person(s) to Issuer			
			LYCOMIMETICS INC [GLYC] Date of Earliest Transaction				(Check all applicable)			
(Month/E			Ionth/Day/Year)X_ 5/10/2018				_X Director Officer (give t pelow)	tive titleOther (specify below)		
TIMONIU	4. If Amendm Filed(Month/D	nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
TIMONIUM, MD 21093										
1.Title of Security (Instr. 3)	any	emed 3. on Date, if Tran Cod Day/Year) (Ins	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial	
Common Stock	05/10/2018	X		Amount 216,046	(D) A	Price \$ 0.33	4,706,797	I	See Note 1 (1)	
Common Stock	05/10/2018	S <u>(2</u>	2)	4,006	D	\$ 17.81	4,702,791	I	See Note 1 $(1)$	
Common Stock	05/10/2018	Х		298,406	A	\$ 0.33	5,001,197	I	See Note 1 (1)	
Common Stock	05/10/2018	S <u>(3</u>	3)	5,533	D	\$ 17.81	4,995,664	I	See Note 1 $(1)$	
Common Stock							4,093,377	Ι	See Note 4 $(4)$	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A	) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Warrant to Purchase Common Stock (right to buy)	\$ 0.33	05/10/2018		X		216,046	07/03/2008	07/03/2018	Common Stock	216,04
Warrant to Purchase Common Stock (right to buy)	\$ 0.33	05/10/2018		X		298,406	01/16/2009	01/16/2019	Common Stock	298,40

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BARRETT M JAMES 1954 GREENSPRING DRIVE SUITE 600 TIMONIUM, MD 21093	Х	Х					
Signatures							
/s/ Sasha Keough, attorney-in-fact	05	05/11/2018					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

the warrants.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Person is a general partner of NEA Partners 10, Limited Partnership ("NEA Partners 10"), NEA Partners 10 is the sole general partner of New Enterprise Associates 10, Limited Partnership ("NEA 10"), the direct beneficial owner of the shares. The

 general pather of New Enterprise Associates 10, Entitled Fathership (FNEA 10), the uncert beneficial owner of the shares. The Reporting Person disclaims beneficial ownership within the meaning of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise of such portion of the NEA 10 shares in which the Reporting Person has no pecuniary interest.

On May 10, 2018, NEA 10 exercised warrants to purchase a total of 216,046 shares of the Issuer's common stock for \$0.33 a share. NEA 10 paid the exercise price on a cashless basis, resulting in the Issuer's withholding of 4,006 of the warrant shares to pay the exercise price and issuing 212,040 shares to NEA 10. The Issuer also paid cash to NEA 10 in lieu of any fractional shares to be issued upon exercise of the warrants.

On May 10, 2018, NEA 10 exercised warrants to purchase a total of 298,406 shares of the Issuer's common stock for \$0.33 a share. NEA 10 paid the exercise price on a cashless basis, resulting in the Issuer's withholding of 5,533 of the warrant shares to pay the exercise price and issuing 292,873 shares to NEA 10. The Issuer also paid cash to NEA 10 in lieu of any fractional shares to be issued upon exercise of

The Reporting Person is a director of NEA 13 GP, LTD, which is the sole general partner of NEA Partners 13, L.P. ("NEA Partners 13"). NEA Partners 13 is the sole general partner of New Enterprise Associates 13, L.P. ("NEA 13"), which is the direct beneficial owner of the

(4) refer to the solution of the version of the

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.