

LOEWENBAUM G WALTER II
Form 4
May 30, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LOEWENBAUM G WALTER II

2. Issuer Name and Ticker or Trading Symbol
3D SYSTEMS CORP [DDD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
333 THREE D SYSTEMS CIRCLE
(Street)
ROCK HILL, SC 29730

3. Date of Earliest Transaction (Month/Day/Year)
05/29/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|--------------------------|---|--|
| | | | | (A) or (D) | Price | | | | | |
| | | | Code | V | Amount | | | | | |
| Common Stock | 05/29/2018 | | P | | 4,500 | A | \$ 12.06 | 1,111,637 ⁽¹⁾ | D | |
| Common Stock | | | | | | | | 376,441 | I | By The Loewenbaum 1992 Trust ⁽²⁾ ⁽³⁾ |
| Common Stock | | | | | | | | 216,185 | I | By spouse ⁽²⁾ ⁽⁴⁾ |
| Common Stock | | | | | | | | 33,279 | I | By The Lillian Shaw Loewenbaum Trust ⁽²⁾ ⁽³⁾ |

| | | | |
|--------------|--------|---|--|
| Common Stock | 60,000 | I | By The Wally's Trust u/w/o Joel Simon Loewenbaum <u>(2)</u> <u>(5)</u> |
| Common Stock | 55,565 | I | By The Waterproof Partnership, L.P. <u>(2)</u> <u>(6)</u> |
| Common Stock | 67,500 | I | Blanco Cattle & Ranch, LLC <u>(7)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LOEWENBAUM G WALTER II 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730 | | X | | |

Signatures

/s/ Andrew M. Johnson,
Attorney-in-Fact

05/30/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 13,197 shares previously held through The GWL3D 2015 Annuity Trust U/A Dtd 05/18/2015 which were transferred to the
(1) Reporting Person's direct holdings on May 25, 2018. This did not change the Reporting Person's total beneficial ownership as of the transaction date.

(2) The Reporting Person disclaims beneficial ownership of these securities except to the extent of any pecuniary interest therein.

(3) Mr. and Mrs. Loewenbaum serve as Trustees.

Includes 8,893 shares previously held through The LSL3D 2015 Annuity Trust U/A Dtd 5/18/2015 which were transferred to Lillian
(4) Shaw Loewenbaum, the Reporting Person's wife on May 25, 2018. This did not change the Reporting Person's total beneficial ownership as of the transaction date.

(5) Mr. Loewenbaum serves as Trustee.

(6) Mr. and Mrs. Loewenbaum are the general partners.

(7) The limited liability company is owned 100% by Mr. Loewenbaum.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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