Edgar Filing: Lee Tom Y - Form 4

| Lee Tom Y Form 4 | 7 | | | | | | | | | | |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|-------------|------------------|---------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------------|--|
| August 20, | 2018 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | | |
| Check if no lo subject Section Form 4 Form 5 obligat may co <i>See</i> Ins 1(b). | rsuant to S (a) of the I | F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940 | | | | | | | January 31, Expires: 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type | e Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Lee Tom Y | | | 2. Issuer Name and Ticker or Trading Symbol PURE BIOSCIENCE, INC. [PURE] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | 3. Date | of Earliest | t Transaction | | | (Cheo | ck an applica | bie) | |
| 1807 SAN GABRIEL BLVD. | | | (Month/Day/Year) 08/16/2018 | | | | | _X_ Director 10% Owner Officer (give title Other (specify below) below) | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| SAN GAE | BRIEL, CA 91776 | | | | | | | Person | whole than one | Reporting | |
| (City) | (State) | (Zip) | Ta | ble I - Noi | n-Derivative S | ecurit | ies Acqu | uired, Disposed o | f, or Benefic | ially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution I any | cution Date, if Transactionor Disp Code (Instr. nth/Day/Year) (Instr. 8) | | | urities Acquired (A) 5. Ar posed of (D) Secu 3, 4 and 5) Bene Own Follo (A) Trans or (Instr ount (D) Price | | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 08/16/2018 | | | Р | 2,336,187 (1) | A | \$ 0.45 | 21,002,853 | I | Plum Investments, L.P. (2) | |
| Common Stock | | | | | | | | 4,362,490 | D | | |
| Common Stock | | | | | | | | 717,665 | Ι | By Wife | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Lee Tom Y - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Unde Secur | le and int of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|-----------------------|---------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------------------------------------|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Lee Tom Y 1807 SAN GABRIEL BLVD. SAN GABRIEL, CA 91776 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Tom Lee 08 | /20/2018 | | | | | | |

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were purchased in connection with the Issuer's private placement financing for cash and the cancellation of certain of the Reporting Person's existing indebtedness to the Issuer.
- (2) Mr. Lee is the general partner and sole limited partner of Plum Investments, L.P., and has ultimate voting and investment control over the shares held by Plum Investments, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.