

BRYANT HOPE HOLDING

Form 4

December 28, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BRYANT HOPE HOLDING

2. Issuer Name **and** Ticker or Trading  
Symbol  
FIRST CITIZENS BANCSHARES  
INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

4300 SIX FORKS ROAD

(Street)

RALEIGH, NC 27609

(City) (State) (Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/13/2018

☒ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)  
Vice Chairman

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/13/2018		G	V 9,900 D \$ 0	337,891	D	
Class A Common Stock					10,772	I	As beneficiary of Trust
Class A Common Stock					20,644	I <sup>(1)</sup>	As custodian for Hewlette
Class A Common					18,143	I <sup>(1)</sup>	As custodian for John

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Stock									Patrick
Class A Common Stock						17,889	I <u>(1)</u>		As custodian for Elliot
Class A Common Stock						80	I <u>(1)</u>		By Hewlette Collier Connell
Class A Common Stock						80	I <u>(1)</u>		By John Patrick Connell
Class A Common Stock						80	I <u>(1)</u>		By Samuel Hunter Bryant
Class A Common Stock	12/13/2018	G	V	3,300	A	\$ 0 5,290	I <u>(1)</u>		John Connell as Custodian for Hewlette
Class A Common Stock	12/13/2018	G	V	3,300	A	\$ 0 8,625	I <u>(1)</u>		John Connell as Custodian for John Patrick
Class A Common Stock	12/13/2018	G	V	3,300	A	\$ 0 7,795	I <u>(1)</u>		By John Connell as custodian for Elliot Connell
Class A Common Stock						827	I <u>(2)</u>		By E&F Properties
Class A Common Stock						12,530	I <u>(2)</u>		By Twin States Farming, Inc.
Class A Common Stock						192,063	I <u>(2)</u>		By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock						100,000	I <u>(2)</u>		By Fidelity BancShares (N.C.), Inc.
Class B Common Stock						101,269	D		
Class B Common						1,225	I		As beneficiary

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Stock			of Trust
Class B Common Stock	1,455	I <u>(1)</u>	As custodian for Hewlette
Class B Common Stock	6,791	I <u>(1)</u>	By Hewlette Collier Connell
Class B Common Stock	1,330	I <u>(1)</u>	As custodian for John Patrick
Class B Common Stock	5,541	I <u>(1)</u>	By John Patrick Connell
Class B Common Stock	7,070	I <u>(1)</u>	As custodian for Elliot
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for Hewlette
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for John Patrick
Class B Common Stock	100	I <u>(1)</u>	John Connell as custodian for Elliot
Class B Common Stock	200	I <u>(2)</u>	By E&F Properties, Inc.
Class B Common Stock	1,355	I <u>(2)</u>	By Twin States Farming, Inc.
Class B Common Stock	22,619	I <u>(2)</u>	By Southern BancShares (N.C.), Inc. and subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRYANT HOPE HOLDING 4300 SIX FORKS ROAD RALEIGH, NC 27609	X	X	Vice Chairman	

## Signatures

Hope H. Bryant, By: William R. Lathan, Jr.,  
Attorney-in-Fact 12/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the  
(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

The reporting person is a director, officer and/or shareholder of the companies that own these shares, but she disclaims beneficial  
(2) ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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