Lantrip Mark Form 4 February 15, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

burden hours per 0.5 response...

See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Lantrip Mark |          |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>SOUTHERN CO [SO] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)            |  |  |
|--|----------|----------|---|---|--|--|
| (Last)   | (First)  | (Middle) | 3. Date of Earliest Transaction   | (Check an approach)   |  |  |
|  |          |          | (Month/Day/Year)  | Director 10% Owner  |  |  |
| 30 IVAN ALLEN JR. BLVD., NW                            |          |          | 02/13/2019  | X Officer (give title Other (spe-<br>below) below)                                  |  |  |
|  |          |          |   | Chair, President & CEO, SCS   |  |  |
|  | (Street) |          | 4. If Amendment, Date Original  | 6. Individual or Joint/Group Filing(Check   |  |  |
|  |          |          | Filed(Month/Day/Year)   | Applicable Line)  |  |  |
| ATLANTA,   | GA 30308 |          |   | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                                     | (State)                                 | Zip) Table  | e I - Non-D                             | erivative   | Secur | ities Acqu   | uired, Disposed o  | f, or Beneficial  | ly Owned |
|--|---|---|---|---|-------|--|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3)       | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
| Southern<br>Company<br>Common<br>Stock (1) | 02/13/2019                              |   | Code V                                  | Amount 2,483  | (D)   | Price  | (Instr. 3 and 4)<br>25,640.026                           | D   |          |
| Southern<br>Company<br>Common<br>Stock (2) | 02/13/2019                              |   | F                                       | 1,127   | D     | \$<br>49.22  | 24,513.026   | D   |          |
| Southern<br>Company<br>Common<br>Stock (3) | 02/13/2019                              |   | M                                       | 745   | A     | \$ 0   | 25,258.026   | D   |          |

#### Edgar Filing: Lantrip Mark - Form 4

| Southern<br>Company<br>Common<br>Stock (2) | 02/13/2019 | F | 339 | D | \$<br>49.22 | 24,919.026 | D |      |
|--|------------|---|-----|---|-------------|------------|---|------|
| Southern<br>Company<br>Common<br>Stock     |            |   |     |   |             | 6,007.7156 | I | 401K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration D        | 6. Date Exercisable and<br>Expiration Date<br>Month/Day/Year) |  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|--|--|---------------------|---|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable | Expiration<br>Date  | Title                                  | Amount<br>or<br>Number<br>of<br>Shares                        |  |
| Southern Co<br>Restricted<br>Stock Units            | \$ 0  | 02/13/2019                              |   | M                                      | 677  | (3)                 | (3)   | Southern<br>Company<br>Common<br>Stock | 677   |  |
| Performance<br>Restricted<br>Stock Units            | \$ 0  | 02/13/2019                              |   | M                                      | 2,255  | <u>(4)</u>          | <u>(4)</u>  | Southern<br>Company<br>Common<br>Stock | 2,255   |  |

# **Reporting Owners**

| Reporting Owner Name / Address |          |           | •                           |       |
|--------------------------------|----------|-----------|-----------------------------|-------|
|                                | Director | 10% Owner | Officer                     | Other |
| Lantrip Mark                   |          |           |                             |       |
| 30 IVAN ALLEN JR. BLVD., NW    |          |           | Chair, President & CEO, SCS |       |
| ATLANTA GA 30308               |          |           |                             |       |

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Relationships

### **Signatures**

/s/ Marcia R. DeMar, Attorney-in-Fact for Mark Lantrip

02/15/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired upon vesting of second 1/3 of performance restricted stock units granted on February 13, 2017. The Compensation and Management Succession Committee certified performance on February 13, 2018. Includes 228 accrued dividend equivalent units.
- (2) Shares withheld to satisfy required state and federal tax withholding requirements.
- (3) Restricted Stock Unit (RSU) award was granted on February 13, 2017. On February 13, 2019, 677 RSUs and 68 dividend equivalent units vested.
- Represents performance restricted stock units granted on February 13, 2017. The remaining portion of the award will vest in 2020. Each performance restricted stock unit represents the right to receive, at settlement, one share of common stock. Additional units will be acquired with deemed dividends. Shares will be withheld upon vesting to satisfy tax requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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