## Edgar Filing: Riga Thomas J - Form 4

Riga Thoma Form 4											
April 12, 20										PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB	3235-0287	
Check th			vva	ishington	, D.C. 20	549			Number: Expires:	January 31,	
if no longer subject to Section 16. Form 4 or			F CHAN	NGES IN SECUI		ICIA	AL OWNI	RSHIP OF Estimated a burden hou response			
Form 5 obligatio may con <i>See</i> Instr 1(b).	ons Section 17(	a) of the F	Public U	Jtility Hol	ding Cor	npan	•	Act of 1934, 935 or Section			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Riga Thomas J								<ul> <li>Relationship of Reporting Person(s) to ssuer</li> <li>(Check all applicable)</li> </ul>			
(Last)	(First) (I	Middle)				inc.	[5111]	Director	10% Owner		
(N				VIOIIIII/IJAV/ICALI				XOfficer (give titleOther (specify below) EVP, COO & CCO			
				Ionth/Day/Year) A				b. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person			
HENDERS	ON, NV 89052						P	Form filed by Mo erson	ore than One Rep	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. De (Month/Day/Year) Execut any (Month							5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock, \$0.001 par value	04/11/2019			S <u>(1)</u>	16,493		\$ 10.7525 (2)	260,423	D		
Common Stock, \$0.001 par value								8,098	Ι	By 401(k) plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	5	ate	7. Title Amour Underl Securit (Instr. 1	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secut Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Riga Thomas J 11500 S. EASTERN AVE., SUITE 240 HENDERSON, NV 89052			EVP, COO & CCO					
Signatures								
/s/ Kurt A. Gustafson, attorney-in-fact for Riga	04/12/2019							
**Signature of Reporting Person			Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 18, 2019.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.50 to \$10.93, inclusive. The reporting person undertakes to provide to Spectrum Pharmaceuticals, Inc., any security holder of Spectrum

(2) B \$10.55, inclusive. The reporting person undertakes to provide to spectrum Harmaceuticals, inc., any security noder of spectrum Pharmaceuticals, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.