COLUMBUS MCKINNON CORP

Form 4 May 19, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

COLUMBUS MCKINNON CORP

Symbol

1(b).

(Print or Type Responses)

HOWARD KAREN L

1. Name and Address of Reporting Person *

| | | [CMCO] | | | | | (Check all applicable) | | | | | |
|---|--------------------------------------|---|--|---|-----------------|---------------------------------------|------------------------------|-------------|---|--|---|--|
| (Last) (First) (Middle) 140 JOHN JAMES AUDUBON PARKWAY | | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/17/2011 | | | | | Director 10% OwnerX_ Officer (give title Other (specify below) VP-Finance & CFO | | | |
| (Street) | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| AMHERST, NY 14228 | | | | | | | | | Person | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own | | | | | | | | | cially Owned | | | |
| | 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | Code (Instr. 8) | 4. Securi on(A) or D (Instr. 3, | ispose 4 and (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | Common Stock | | | | | | | | 58,078 (1) | D | | |
| | Common Stock | 05/17/2011 | | | F | 388 (2) | D | \$ 18.78 | 57,690 <u>(1)</u> | D | | |
| | Common Stock | 05/18/2011 | | | F | 535 (2) | D | \$ 19.04 | 57,155 <u>(1)</u> | D | | |
| | Common Stock | | | | | | | | 2,775.1667 (3) | D | | |
| | Common Stock | | | | | | | | 598,225.9256 | I | Additional shares held be | |
| | | | | | | | | | | | | |

by

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ESOP; reporting person is 1 of 2 trustees; DISCLAIMS beneficial ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Dat (Month/Day/Y | Date Exercisable and piration Date onth/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--------------------------------|---|-----------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Incentive Stock Options (Right to Buy) | \$ 10 | | | | | 08/20/2002 | 08/19/2011 | Common Stock | 6,500 | |
| Non-Qualified Stock Options (Right to Buy) | \$ 10 | | | | | 08/20/2002 | 08/19/2011 | Common Stock | 8,500 | |
| Incentive Stock Options (Right to Buy) | \$ 5.46 | | | | | 05/17/2005 | 05/16/2014 | Common Stock | 20,000 | |
| Non-Qualified Stock Options (Right to Buy) | \$ 28.45 | | | | | 05/19/2009 | 05/18/2018 | Common Stock | 3,380 | |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27 | | | | | 05/18/2010 | 05/17/2019 | Common Stock | 11,063 | |
| Non-Qualified Stock Options | \$ 18.24 | | | | | 05/17/2011 | 05/16/2020 | Common Stock | 8,129 | |
| | | | | | | | | | | |

(Right to Buy)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HOWARD KAREN L 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP-Finance & CFO

Signatures

Mary C. O'Connor, Power of Attorney for Karen L. Howard

05/19/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes 7,946 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 4,429 shares become fully vested and non-forfeitable
- (1) 33.33% per year for three years beginning 5/18/2011 and the remaining 1,784 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer and the remaining 4,279 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/17/201, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 1,070 restricted stock units on 5/17/2011 and 1,476 restricted stock units on 5/18/2011.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 20,000 incentive stock option grant. Reporting person exercised 11,915 options on 4/1/2011 and 1,585 options on 4/4/2011. The remaining 6,500 options are fully exercisable, subject to IRS limitations.
- Originally a 25,000 non-qualified stock option grant. Reporting person exercised 14,563 options on 4/1/2011 and 1,937 options on 4/4/2011. The remaining 8,500 options are fully exercisable, subject to IRS limitations.
- (6) All exercisable, subject to IRS limitations.
- (7) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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