Edgar Filing: EMCORE CORP - Form 4

EMCODE CODE

| EMCORE C | ORP | | | | | | | | | | |
|---|--|------------------------|---------------------------------|---|------------------------|--------------------------------|--|---|------------------|--------------|--|
| Form 4 | | | | | | | | | | | |
| January 06, 2 | 2016 | | | | | | | | | | |
| FORM | 14 | | | | | | | | | OMB APPROVAL | |
| | UNIT | Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | |
| Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont | ^{ger} STAT 6. r Filed | | | | | | | Expires: Estimated a burden hou response | rs per | | |
| <i>See</i> Instru 1(b). | | 30(h) |) of the Inv | vestment (| Compan | y Act | of 19 | 40 | | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| DOMENIK STEPHEN L Symbol | | | Symbol | 2. Issuer Name and Ticker or Trading ymbol EMCORE CORP [EMKR] | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | 3. Date of Earliest Transaction | | | | | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of (Month/Da | | ansaction | | | Director | 100 | Owner | |
| C/O EMCO CORPORA CHESTNU | TION, 2015 V | V. | 01/04/20 | | | | | Officer (give below) | | er (specify | |
| | | | | ndment, Date Original th/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| | | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | any | | on Date, if | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | SecuritiesFBeneficially(OwnedI | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| Common Stock | 01/04/2016 | | | Code V A | Amount 6,667 (1) | (A) or (D) A | Price \$ 0 (2) | Transaction(s) (Instr. 3 and 4) 8,311 | D | | |
| Reminder: Ren | ort on a separate | line for each o | lass of secur | ities benefi | cially own | ed dire | ectly or | indirectly | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| DOMENIK STEPHEN L C/O EMCORE CORPORATION 2015 W. CHESTNUT STREET ALHAMBRA 91803 | | | | | | | |
| Signatures | | | | | | | |
| /s/ Leila Brown, attorney in fact | 01/06/ | /2016 | | | | | |
| **Signature of Reporting Person | Dat | e | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were acquired pursuant to the EMCORE Corporation 2007 Directors' Stock Award Plan.
- (2) The shares of common stock were awarded to the Reporting Person for his service as a non-employee director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.