Edgar Filing: FINE GERALD J - Form 4

EINE CEDALD I

Form 4 March 19, 20											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL				
	UNITE	D STATES		ITIES Al hington,			IGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long	er								Expires:	January 31, 2005	
subject to STATEMENT OF CHANGE Section 16. SH Form 4 or				SECUR	ES IN BENEFICIAL OWNERSHIP OF SECURITIES					Estimated average burden hours per response 0.5	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 1	7(a) of the		ility Hold	ing Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40	n		
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> FINE GERALD J			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Chec	neck all applicable)			
C/O EMCO CORPORA CHESTNUT	ΓΙΟΝ, 2015 W	7.	(Month/D 03/15/20	ay/Year)				Director Officer (give below)		9 Owner er (specify	
	(Street) 4. If Amer Filed(Mont				-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
ALHAMBR	A, CA 91803							Person		F8	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if Tr		Transactio Code	on(A) or Dis (D)	posed	of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	03/15/2018			М	13,100	А	<u>(1)</u>	51,094	D		
Domindan Dom	ort on a concrete l	ing for each -	less of some	rition honof	oially over	d din-	othu or	indiraatly			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numbe orDerivative Securities (A) or Dis (D) (Instr. 3, 4	Acquired sposed of	6. Date Exer Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Units	d (2)	03/15/2018		М		13,100	(3)	<u>(3)</u>	Emcore Common Stock	13,100
Restricted Stock Units	d (4)	03/16/2018		А	16,218 (1)		(5)	(5)	Emcore Common Stock	16,218

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
FINE GERALD J						
C/O EMCORE CORPORATION						
2015 W. CHESTNUT STREET						
ALHAMBRA, CA 91803						
Signatures						

Ryan Hochgesang, attorney	03/19/2018
in fact	05/17/2010

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units were awarded to the Reporting Person for no cash or other similar consideration for his service as a non-employee director.
- (2) Each restricted stock unit represented a contingent right to receive one share of Emcore common stock. Restricted stock units were payable, at the election of the Issuer, in cash, Emcore common stock, or a combination of the two.
- (3) Not later than 30 days after vesting occurs, vested shares of Emcore common stock, an amount in cash equal to their fair market value or a combination of both will be delivered to the Reporting Person.
- (4) Each restricted stock unit represents a contingent right to receive one share of EMCORE common stock.
 - The restricted stock unit were issued pursuant to the EMCORE Corporation 2012 Equity Incentive Plan in accordance with EMCORE's
- (5) Director Compensation Policy. The restricted stock units vest on the earlier of March 16, 2019 or the day prior to the Issuer's 2019 annual meeting of shareholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.