JEPSON CHARLES W Form 4

April 25, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* JEPSON CHARLES W

(Middle)

1252 BORREGAS AVENUE

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

EGAIN Corp [egan]

(Month/Day/Year)

04/22/2013

3. Date of Earliest Transaction

Symbol

**OMB APPROVAL** 

**OMB** Number:

3235-0287

January 31, Expires:

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0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify below) below)

**SVP** 

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SUNNYVALE, CA 94089

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	04/22/2013		Code V M	Amount 100	(D)	Price \$ 0.78	100	D	
Stock Common Stock (1)	04/22/2013		S	100	D	\$ 800 (2) (3)	0	D	
Common Stock	04/22/2013		M	6,000	A	\$ 0.78	6,000	D	
Common Stock (1)	04/22/2013		S	6,000	D	\$ 47,503 (2) (5)	6,000	D	
Common Stock	04/23/2013		M	9,900	A	\$ 0.78	9,900	D	

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Common		\$						
Common Stock (1)	04/23/2013	S	9,900	D	79,200	0		D
Stock (1)					(2) (3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 0.78	04/22/2013		M	100	<u>(4)</u>	06/28/2020	Common Stock	100
Employee Stock Option (right to buy)	\$ 0.78	04/22/2013		M	6,000	<u>(4)</u>	06/28/2020	Common Stock	6,000
Employee Stock Option (right to buy)	\$ 0.78	04/23/2013		M	9,900	<u>(4)</u>	06/28/2020	Common Stock	9,900

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• •	Director	10% Owner	Officer	Other			
JEPSON CHARLES W			SVP				
1252 BORREGAS AVENUE							

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#### SUNNYVALE, CA 94089

## **Signatures**

/s/ Charles Jepson 04/25/2013

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale of common stock was effected pursuant to a Rule 10b5 sales plan adopted by the reporting person on March 8, 2013.
- (2) Reporting person undertakes to provide upon request by the Securities and Exchange Commission, the issuer or a security holder of the issuer detailed information regarding the price and number of shares sold within the range indicated.
- (3) Price per share was \$8.00.
- (4) This option became exercisable as to 25% of the shares on 6/28/11 and became exerciable as to 1/48th of the shares each full month thereafter.
- (5) Represents a weighted average sale price. Actual sale prices ranged from \$7.86 to 7.95.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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