ROCKWELL AUTOMATION INC

Form 4 May 10, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB

OMB APPROVAL

Number:

3235-0287 January 31,

Expires: Estimated average

5. Relationship of Reporting Person(s) to

Issuer

4,629.0852

2005

0.5

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

Symbol

1(b).

Common

Stock

(Print or Type Responses)

RUFF ROBERT A

1. Name and Address of Reporting Person *

See Instruction

			ROCKV [ROK]	CKWELL AUTOMATION INC (Check all applicable)			
(Last)	(First)	Middle)	3. Date of Earliest Transaction (Month/Day/Year)			Director 10% OwnerX_ Officer (give title Other (specify below)				
1201 SOUT STREET, E	ГН SECOND E-7F19		05/08/2	006				· · · · · · · · · · · · · · · · · · ·	r Vice Presiden	t
MILWAUF	(Street) KEE, WI 53204			endment, Da nth/Day/Yea	ate Original r)	l		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by N Person		rson
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative S	Securi	ities Acqı	ired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/08/2006			Code V M	Amount 11,800	(D)	Price \$ 20.42	(Instr. 3 and 4) 27,600 (1)	D	
Common Stock	05/08/2006			M	9,800	A	\$ 27.75	37,400 <u>(1)</u>	D	
Common Stock	05/08/2006			S	20,000	D	\$ 76.06	17,400 (1)	D	
Common										Ву

Savings

Plan (2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed or (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nun Sha
Employee Stock Option (right to buy)	\$ 20.42	05/08/2006		M	11,800	12/04/2003	12/04/2012	Common Stock	1
Employee Stock Option (right to buy)	\$ 27.75	05/08/2006		M	9,800	10/06/2004(3)	10/06/2013	Common Stock	9
Employee Stock Option (right to buy)	\$ 43.9					11/08/2005 <u>(4)</u>	11/08/2014	Common Stock	3:
Employee Stock Option (right to buy)	\$ 56.36					11/07/2006 <u>(4)</u>	11/07/2015	Common Stock	1:
Common Stock Share Equivalents						<u>(6)</u>	<u>(6)</u>	Common Stock	36

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Reporting Owners 2

Deletionships

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RUFF ROBERT A 1201 SOUTH SECOND STREET E-7F19 MILWAUKEE, WI 53204

Senior Vice President

Signatures

K. A. Balistreri, Attorney-in-Fact for Robert A. Ruff

05/09/2006

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 6,400 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (2) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 5/1/2006.
- (3) 15,666 shares are currently exercisable and 13,334 shares vest on 10/06/2006.
- (4) The option vests in three substantially equal annual installments beginning on the date exercisable.
 - Includes Company stock fund units credited under the Company's nonqualified savings plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 5/1/2006. The number of share equivalents represented
- (5) by the balance of a participant's Company stock fund account may not exactly equal the number of share equivalents represented by a prior balance plus additions due to variances in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the plan.
- (6) The share equivalents are payable in cash upon retirement or after termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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