**MOSAIC CO** Form 4 May 15, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MACK RICHARD L

(First) (Middle) (Last)

(Street)

(State)

C/O THE MOSAIC COMPANY, 3033 CAMPUS DRIVE, SUITE E490

2. Issuer Name and Ticker or Trading Symbol

MOSAIC CO [MOS]

3. Date of Earliest Transaction (Month/Day/Year) 05/14/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner Other (specify X\_ Officer (give title below)

EVP, Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLYMOUTH, MN 55441

(City)

Stock

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

or

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

D

Indirect Beneficial Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

78,752

Code V Amount (D) Price Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeri Secu Acq or D (D)	urities uired Dispose tr. 3, 4	(A) ed of	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(1	A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 17.29							<u>(1)</u>	08/01/2015	Common Stock	36,382
Stock Option (Right to Buy)	\$ 15.45							<u>(1)</u>	08/04/2016	Common Stock	47,319
Stock Option (Right to Buy)	\$ 40.03							<u>(1)</u>	08/02/2017	Common Stock	19,368
Stock Option (Right to Buy)	\$ 127.21							<u>(1)</u>	07/31/2018	Common Stock	5,486
Stock Option (Right to Buy)	\$ 52.72							<u>(1)</u>	07/27/2019	Common Stock	10,216
Stock Option (Right to Buy)	\$ 44.93							<u>(1)</u>	07/27/2020	Common Stock	15,194
Stock Option (Right to Buy)	\$ 70.62							<u>(1)</u>	07/21/2021	Common Stock	10,767
Restricted Stock Units	\$ 0 (2)							07/19/2015	(3)	Common Stock	6,942
Stock Option (Right to Buy)	\$ 57.62							<u>(4)</u>	07/19/2022	Common Stock	17,483

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Restricted Stock Units	\$ 0 (2)				07/18/2016	(3)	Common Stock	7,403
Stock Option (Right to Buy)	\$ 54.03				<u>(5)</u>	07/18/2023	Common Stock	18,939
Restricted Stock Units	\$ 0 (2)				03/07/2017	<u>(3)</u>	Common Stock	8,043
Stock Option (Right to Buy)	\$ 49.73				<u>(6)</u>	03/07/2024	Common Stock	21,288
Stock Option (Right to Buy)	\$ 50.43				<u>(7)</u>	03/05/2025	Common Stock	24,249
Restricted Stock Units	\$ 0 (2)	05/14/2015	A	21,949	(8)	<u>(3)</u>	Common Stock	21,949

# **Reporting Owners**

Reporting Owner Name / Address	Kettatonompo					
	Director	10% Owner	Officer	Other		

MACK RICHARD L C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

EVP, Chief Financial Officer

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## **Signatures**

/s/ Mark J. Isaacson, Attorney-in-Fact for Richard L.
Mack
05/15/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

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- (5) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Grant Date 03/05/2015; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (8) Grant Date 5/14/2015; restricted stock units vest in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.