Edgar Filing: MCDERMOTT JOHN P - Form 4

MCDERMOT	IT JOHN P											
Form 4												
December 09,	, 2010											
FORM	4									PPROVAL		
		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0287				
Check this			11 asining win, D.C. 20347							January 31,		
if no longe	er STATEM	STATEMENT OF CHANGES IN RENEFICIAL OWNERSHIP OF								Expires. 2005		
subject to Section 16	SECURITIES							Estimated average burden hours per				
Form 4 or								response	•			
Form 5 obligation		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,										
may conti				•	•	· ·		f 1935 or Sectio	on			
See Instruc		30(h)	of the Inv	vestment	Company	y Act	: of 19	40				
1(b).												
(Print or Type Ro	esponses)											
× 51	1											
1. Name and Address of Reporting Person <u>*</u> MCDERMOTT JOHN P			2. Issuer Name and Ticker or Trading				g	5. Relationship of Reporting Person(s) to				
			Symbol	-					Issuer			
				ROCKWELL AUTOMATION INC				(Check all applicable)				
			[ROK]					(check an approacte)				
(Last)	(First) (N	liddle)	3. Date of	Earliest Tra	urliest Transaction			Director 10% Owner				
1201 SOUTH SECOND STREET (Street)				(Month/Day/Year)				Officer (give title Other (specify below) below)				
			12/07/20104. If Amendment, Date Original					Sr. Vice President 6. Individual or Joint/Group Filing(Check				
	TE WI 52204								One Reporting Po More than One Ro			
MILWAUKI	EE, WI 53204							Person				
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned		
1.Title of	2. Transaction Date	e 2A. Dee	emed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)		on Date, if		tionAcquired (A) or Disposed of (D)) (Instr. 3, 4 and 5)			Beneficially Owned	Form: Direct (D) or Indirect (I)	Indirect		
(Instr. 3)		any (Month)	/Day/Year)	Code (Instr. 8)						Beneficial Ownership		
		(iviointii	Duy I cui)	(1130.0)					(Instr. 4)	(Instr. 4)		
					(A)			Reported				
						or		Transaction(s) (Instr. 3 and 4)				
Common				Code V	Amount	(D)	Price	(Dr. Covingo		
Common Stock								566.6622	Ι	By Savings Plan (1)		
										1 Iall <u>(-)</u>		
Common	12/07/2010			А	1,710	А	\$0	43,876	D (8)			
Stock					(7)							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: MCDERMOTT JOHN P - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year			7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nur Sha	
Common Stock Share Equivalents	(2)					<u>(3)</u>	<u>(4)</u>	Common Stock	45	
Employee Stock Option (Right to Buy)	\$ 69.57	12/07/2010		A	28,000	12/07/2011 <u>(6)</u>	12/07/2020	Common Stock	2:	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
MCDERMOTT JOHN P 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr. Vice President				
Signatures							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units acquired under the Company Savings Plan based on information furnished by the Plan Administrator as of 10/31/2010.
- (2) Each unit is the economic equivalent of one share of Company common stock.
- (3) The share equivalents are payable in cash upon retirement or after termination of employment.
- (4) The share equivalents are payable in cash upon retirement or after termination of employment.
- (5) Includes share equivalents represented by Company stock fund units acquired under the Company Nonqualified Savings Plan since the date of the last ownership report for this person, based on information furnished by the Plan Administrator as of 10/31/2010.
- (6) The option vests in three substantially equal annual installments beginning on the date exercisable.

Edgar Filing: MCDERMOTT JOHN P - Form 4

(7) Restricted stock award under the Company's 2008 Long Term Incentives Plan.

(8) 7,410 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.