FUSCO MARK

Form 4

November 14, 2012

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * **FUSCO MARK**

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

ASPEN TECHNOLOGY INC /DE/

[AZPN]

(Month/Day/Year)

11/09/2012

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(First) ASPEN TECHNOLOGY, INC., 200

WHEELER ROAD

3. Date of Earliest Transaction Director Officer (give title

below)

10% Owner Other (specify

President & CEO

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

BURLINGTON, MA 01803

(City)	(State)	(Zip) Tal	ble I - Non-	-Derivative	Secur	ities Acquire	ed, Disposed of, o	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or c, if TransactionDisposed of (D) Code (Instr. 3, 4 and 5) ear) (Instr. 8)		5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	11/09/2012		Code V M	Amount 57,500	(D)	Price \$ 5.73	441,028	D	
Common Stock	11/09/2012		M	125,000	A	\$ 5.27	566,028	D	
Common Stock	11/09/2012		S	182,500 (3)	D	\$ 26.0653	383,528	D	
Common Stock	11/12/2012		M	1,753	A	\$ 5.73	385,281	D	
Common Stock	11/12/2012		S	1,753 <u>(5)</u>	D	\$ 26.0138	383,528	D	

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Common Stock	11/13/2012	M	40,666	A	\$ 5.73	424,194	D
Common Stock	11/13/2012	S	40,666	D	\$ 25.75	383,528	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 5.73	11/09/2012		M	57,500	<u>(1)</u>	03/20/2015	Common Stock	57,500
Employee Stock Option (Right to Buy)	\$ 5.27	11/09/2012		M	125,000	<u>(2)</u>	09/14/2015	Common Stock	125,00
Employee Stock Option (Right to Buy)	\$ 5.73	11/12/2012		M	1,753	<u>(4)</u>	03/20/2015	Common Stock	1,753
Employee Stock Option (Right to Buy)	\$ 5.73	11/13/2012		M	40,666	(6)	03/20/2015	Common Stock	40,666

Reporting Owners

Relationships

Reporting Owner Name / Address

2 Reporting Owners

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Director 10% Owner Officer Other

FUSCO MARK ASPEN TECHNOLOGY, INC. 200 WHEELER ROAD BURLINGTON, MA 01803

President & CEO

Signatures

/s/ F.G. Hammond, Attorney-In-Fact

11/14/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option granted at the exercise price of \$5.73, representing a right to purchase 1,100,000 shares, was exercisable at grant with respect to 500,000 shares. The remaining portion of the option became exercisable in 16 equal consecutive quarterly installments on the last day of each quarter, beginning on June 30, 2005.
- (2) The option granted at the exercise price of \$5.27, representing a right to purchase 500,000 shares, became exercisable in 16 equal consecutive quarterly installments on the last day of each quarter, beginning on December 31, 2005.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$26.00 to \$26.26, inclusive. The reporting person undertakes to provide to Aspen Technology, Inc., any security holder of Aspen Technology, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The option granted at the exercise price of \$5.73, representing a right to purchase 1,100,000 shares, was exercisable at grant with respect to 500,000 shares. The remaining portion of the option became exercisable in 16 equal consecutive quarterly installments on the last day of each quarter, beginning on June 30, 2005.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$26.00 to \$26.0735, inclusive. The reporting person undertakes to provide to Aspen Technology, Inc., any security holder of Aspen Technology, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The option granted at the exercise price of \$5.73, representing a right to purchase 1,100,000 shares, was exercisable at grant with respect to 500,000 shares. The remaining portion of the option became exercisable in 16 equal consecutive quarterly installments on the last day of each quarter, beginning on June 30, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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