

ALLIED CAPITAL CORP
Form 3
September 02, 2008

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Kuntz Norma Rose

(Last) (First) (Middle)

1919 PENNSYLVANIA
AVENUE, NW,Â 3RD FLOOR

(Street)

WASHINGTON,Â DCÂ 20006

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/27/2008

3. Issuer Name and Ticker or Trading Symbol
ALLIED CAPITAL CORP [ALD]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP & Chief Valuation Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

1,120

D

Â

Common Stock

1,249

I

by 401K

Common Stock

1,627

I

spouse 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Incentive Stock Option (right to buy)	Â <u>(1)</u>	02/01/2015	Common Stock	8,710	\$ 22.96	D	Â
Incentive Stock Option (right to buy)	Â <u>(2)</u>	08/03/2015	Common Stock	3,635	\$ 27.51	D	Â
Incentive Stock Option (right to buy)	Â <u>(3)</u>	03/11/2014	Common Stock	13,800	\$ 28.98	D	Â
Incentive Stock Option (right to buy)	Â <u>(4)</u>	05/15/2014	Common Stock	3,380	\$ 29.58	D	Â
Incentive Stock Option (right to buy)	Â <u>(1)</u>	02/01/2015	Common Stock	13,065	\$ 22.96	I	by Spouse
Incentive Stock Option (right to buy)	Â <u>(2)</u>	08/03/2015	Common Stock	10,905	\$ 27.51	I	by Spouse
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/01/2015	Common Stock	26,290	\$ 22.96	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	08/03/2015	Common Stock	96,365	\$ 27.51	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(3)</u>	03/11/2014	Common Stock	36,200	\$ 28.98	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(4)</u>	05/15/2014	Common Stock	46,620	\$ 29.58	D	Â
Non-Qualified Stock Option (right to buy)	Â <u>(1)</u>	02/01/2015	Common Stock	1,935	\$ 22.96	I	by Spouse
Non-Qualified Stock Option (right to buy)	Â <u>(2)</u>	08/03/2015	Common Stock	39,095	\$ 27.51	I	by Spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kuntz Norma Rose 1919 PENNSYLVANIA AVENUE, NW 3RD FLOOR WASHINGTON,Â DCÂ 20006	Â	Â	Â EVP & Chief Valuation Officer	Â

Signatures

s/ Norma R.
Kuntz

09/02/2008

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option granted on February 1, 2008, vests in three equal installments on 6/30/09, 6/30/10 and 6/30/11.
- (2) The option granted on August 3, 2005, vested in three equal installments on 6/30/06, 6/30/07 and 6/30/08.
- (3) The option granted on March 11, 2004, vested in four equal installments on 6/30/2004, 6/30/2005, 6/30/2006 and 6/30/2007
- (4) The option granted on May 15, 2007, vests in three equal installments on 6/30/07, 6/30/08 and 6/30/09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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