Edgar Filing: Flaherty & Crumrine PREFERRED SECURITIES INCOME FUND INC - Form 5

Flaherty & Crumrine PREFERRED SECURITIES INCOME FUND INC

Form 5

February 19, 2016 FORM 5

FURIN	1 5								0145			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB Number:	3235-0362				
	Check this box if washington, D.C. 20549 no longer subject							Expires:	January 31, 2005			
to Section Form 4 or 5 obligation may conti	ENT OF CHANGES IN BEN RSHIP OF SECURITIES				EFICIAL	verage rs per						
See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Form 4 30(h) of the Investment Company Act of 1940 Transactions Reported												
ETTINGER ROBERT M Symbol Flaherty				r Name and Ticker or Trading ty & Crumrine PREFERRED RITIES INCOME FUND INC				5. Relationship of Reporting Person(s) to Issuer				
								(Check all applicable) Director 10% Owner				
(Last)	(First) (M	(N	3. Statement for Issuer's Fiscal Year Ended ———————————————————————————————————					titleX_ Other (specify below) d Person of Adviser				
301 E COL	ORADO BLVD S		1,50,20	,,,,								
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Reporting (check applicable line)				
PASADENA, CA 91101								_X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-Deri	ivative Sec	curitie	s Acqu	ired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution D		Date, if Transaction Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
					Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	11/30/2015	Â		<u>J(1)</u>	0	A	\$0	64,147.756 (<u>2)</u>	D	Â		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.				Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.								

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Da	ate	Amou	int of	Derivative
	Security	or Exercise	•	any	Code	of	(Month/Day/	Year)	Under	lying	Security
(I	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
		Derivative				Securities	(In	(Instr.	str. 3 and 4)		
		Security				Acquired					
						(A) or					
						Disposed					
						of (D)					
						(Instr. 3,					
						4, and 5)					
										Amount	
						Date	Expiration		or Number		
							Exercisable	Date		of	
						(A) (D)				Shares	
						(A) (D)				Shares	

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Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

ETTINGER ROBERT M

301 E COLORADO BLVD STE 720 Affiliated Person of Adviser PASADENA, CAÂ 91101

Signatures

/s/Robert M.
Ettinger

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Due to his ownership of 16.6% of Flaherty & Crumrine Incorporated, Mr. Ettinger also has an indirect interest in the 4,346 shares of common stock held by Flaherty & Crumrine Incorporated.
- (2) Due to his ownership of 16.6% of Flaherty & Crumrine Incorporated, Mr. Ettinger also has an indirect interest in the 4,346 shares of common stock held by Flaherty & Crumrine Incorporated.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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