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TRI-CONTINENTAL CORP

Form NSAR-A

August 29, 2013

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000 A000000 06/30/2013  
000 C000000 0000099614  
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000 I000000 6.1  
000 J000000 A  
001 A000000 TRI-CONTINENTAL CORPORATION  
001 B000000 811-00266  
001 C000000 6173859594  
002 A000000 225 FRANKLIN STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02110  
003 000000 N  
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007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
008 B000001 A  
008 C000001 801-25943  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02110  
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC  
010 B000001 801-25943  
010 C010001 BOSTON  
010 C020001 MA  
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.  
012 B000001 84-05685  
012 C010001 BOSTON  
012 C020001 MA  
012 C030001 02266  
012 C040001 8081  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 MINNEAPOLIS  
013 B020001 MN  
013 B030001 55402  
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.  
014 B000001 8-25845  
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.  
014 B000002 8-42582  
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.  
014 B000003 8-16791  
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.  
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014 B000004 8-67196  
015 A000001 JPMORGAN CHASE BANK, N.A.  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10005

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015 E010001 X  
015 A000002 AB SEB BANKAS  
015 B000002 S  
015 C010002 VILNIUS  
015 D010002 LITHUANIA  
015 E040002 X  
015 A000003 BANCO BCT, S.A.  
015 B000003 S  
015 C010003 SAN JOSE  
015 D010003 COSTA RICA  
015 E040003 X  
015 A000004 BANCO ITAU URUGUAY S.A.  
015 B000004 S  
015 C010004 MONTEVIDEO  
015 D010004 URUGUAY  
015 E040004 X  
015 A000005 BANCO NACIONAL DE MEXICO, S.A.  
015 B000005 S  
015 C010005 MEXICO CITY  
015 D010005 MEXICO  
015 E040005 X  
015 A000006 BANCO SANTANDER CHILE  
015 B000006 S  
015 C010006 SANTIAGO  
015 D010006 CHILE  
015 E040006 X  
015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.  
015 B000007 S  
015 C010007 WARSAW  
015 D010007 POLAND  
015 E040007 X  
015 A000008 BANK LEUMI LE-ISRAEL B.M.  
015 B000008 S  
015 C010008 TEL AVIV  
015 D010008 ISRAEL  
015 E040008 X  
015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.  
015 B000009 S  
015 C010009 TUNIS  
015 D010009 TUNISIA  
015 E040009 X  
015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000010 S  
015 C010010 BRUSSELS  
015 D010010 BELGIUM  
015 E040010 X  
015 A000011 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000011 S  
015 C010011 PANTIN  
015 D010011 FRANCE  
015 E040011 X  
015 A000012 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000012 S  
015 C010012 MILAN  
015 D010012 ITALY  
015 E040012 X  
015 A000013 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000013 S  
015 C010013 HESPERANGE  
015 D010013 LUXEMBOURG  
015 E040013 X

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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.  
015 B000014 S  
015 C010014 AMSTERDAM  
015 D010014 NETHERLANDS  
015 E040014 X  
015 A000015 BNP PARIBAS SECURITIES SERVICES S.C.A.  
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015 D010015 PORTUGAL  
015 E040015 X  
015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE  
015 B000016 S  
015 C010016 TORONTO  
015 D010016 CANADA  
015 E040016 X  
015 A000017 CITIBANK A.S.  
015 B000017 S  
015 C010017 ISTANBUL  
015 D010017 TURKEY  
015 E040017 X  
015 A000018 CITIBANK DEL PERU S.A.  
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015 C010018 LIMA  
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015 A000019 CITIBANK, N.A.  
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015 A000020 CITIBANK, N.A.  
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015 A000022 DBS BANK LTD.  
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015 C010022 SINGAPORE  
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015 E040022 X  
015 A000023 DEUTSCHE BANK AG  
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015 C010023 ESCHBORN  
015 D010023 GERMANY  
015 E040023 X  
015 A000024 DEUTSCHE BANK AG  
015 B000024 S  
015 C010024 BUDAPEST  
015 D010024 HUNGARY  
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015 A000025 DEUTSCHE BANK AG  
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015 C010025 JAKARTA  
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015 E040025 X  
015 A000026 DEUTSCHE BANK AG

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015 B000026 S  
015 C010026 LONDON  
015 D010026 UNITED KINGDOM  
015 E040026 X  
015 A000027 FIRSTRAND BANK LIMITED  
015 B000027 S  
015 C010027 JOHANNESBURG  
015 D010027 SOUTH AFRICA  
015 E040027 X  
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED  
015 B000028 S  
015 C010028 SHANGHAI  
015 D010028 CHINA  
015 E040028 X  
015 A000029 HSBC BANK (VIETNAM) LTD.  
015 B000029 S  
015 C010029 HO CHI MINH CITY  
015 D010029 VIETNAM  
015 E040029 X  
015 A000030 HSBC BANK ARGENTINA S.A.  
015 B000030 S  
015 C010030 BUENOS AIRES  
015 D010030 ARGENTINA  
015 E040030 X  
015 A000031 HSBC BANK BERMUDA LIMITED  
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015 B000031 S  
015 C010031 HAMILTON  
015 D010031 BERMUDA  
015 E040031 X  
015 A000032 HSBC BANK MALAYSIA BERHAD  
015 B000032 S  
015 C010032 KUALA LUMPUR  
015 D010032 MALAYSIA  
015 E040032 X  
015 A000033 HSBC BANK MIDDLE EAST LIMITED  
015 B000033 S  
015 C010033 AL SEEF  
015 D010033 BAHRAIN  
015 E040033 X  
015 A000034 HSBC BANK MIDDLE EAST LIMITED  
015 B000034 S  
015 C010034 AMMAN  
015 D010034 JORDAN  
015 E040034 X  
015 A000035 HSBC BANK MIDDLE EAST LIMITED  
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015 D010035 KUWAIT  
015 E040035 X  
015 A000036 HSBC BANK MIDDLE EAST LIMITED  
015 B000036 S  
015 C010036 BEIRUT  
015 D010036 LEBANON  
015 E040036 X  
015 A000037 HSBC BANK MIDDLE EAST LIMITED  
015 B000037 S  
015 C010037 RAMALLAH  
015 D010037 PALESTINE TERRITORY  
015 E040037 X  
015 A000038 HSBC BANK MIDDLE EAST LIMITED  
015 B000038 S

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015 C010038 DOHA  
015 D010038 QATAR  
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015 A000039 HSBC BANK MIDDLE EAST LIMITED  
015 B000039 S  
015 C010039 DUBAI  
015 D010039 UAE-ADX  
015 E040039 X  
015 A000040 HSBC BANK MIDDLE EAST LIMITED  
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015 C010040 DUBAI  
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015 E040040 X  
015 A000041 HSBC BANK MIDDLE EAST LIMITED  
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015 C010041 DUBAI  
015 D010041 UAE-NASDAQ DUBAI  
015 E040041 X  
015 A000042 HSBC BANK OMAN S.A.O.G.  
015 B000042 S  
015 C010042 SEEB  
015 D010042 OMAN  
015 E040042 X  
015 A000043 HSBC BANK PLC  
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015 C010043 ATHENS  
015 D010043 GREECE  
015 E040043 X  
015 A000044 HSBC SAUDI ARABIA LIMITED  
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015 D010044 SAUDI ARABIA  
015 E040044 X  
015 A000045 ING BANK N.V.  
015 B000045 S  
015 C010045 SOFIA  
015 D010045 BULGARIA  
015 E040045 X  
015 A000046 ING BANK N.V.  
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015 A000047 ING BANK UKRAINE  
015 B000047 S  
015 C010047 KIEV  
015 D010047 UKRAINE  
015 E040047 X  
015 A000048 ISLANDSBANKI HF.  
015 B000048 S  
015 C010048 REYKJAVIK  
015 D010048 ICELAND  
015 E040048 X  
015 A000049 J.P. MORGAN AG  
015 B000049 S  
015 C010049 FRANKFURT  
015 D010049 GERMANY  
015 E040049 X  
015 A000050 J.P. MORGAN BANK INTERNATIONAL  
015 B000050 S  
015 C010050 MOSCOW

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015 D010050 RUSSIA  
015 E040050 X  
015 A000051 J.P. MORGAN S.A. DTVM  
015 B000051 S  
015 C010051 SAO PAULO  
PAGE 7  
015 D010051 BRAZIL  
015 E040051 X  
015 A000052 JPMORGAN CHASE BANK, N.A.  
015 B000052 S  
015 C010052 SYDNEY  
015 D010052 AUSTRALIA  
015 E040052 X  
015 A000053 JPMORGAN CHASE BANK, N.A.  
015 B000053 S  
015 C010053 QUARRY BAY  
015 D010053 HONG KONG  
015 E040053 X  
015 A000054 JPMORGAN CHASE BANK, N.A.  
015 B000054 S  
015 C010054 MUMBAI  
015 D010054 INDIA  
015 E040054 X  
015 A000055 JPMORGAN CHASE BANK, N.A.  
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015 C010055 WELLINGTON  
015 D010055 NEW ZEALAND  
015 E040055 X  
015 A000056 JPMORGAN CHASE BANK, N.A.  
015 B000056 S  
015 C010056 TAIPEI  
015 D010056 TAIWAN  
015 E040056 X  
015 A000057 JPMORGAN CHASE BANK, N.A.  
015 B000057 S  
015 C010057 LONDON  
015 D010057 UNITED KINGDOM  
015 E040057 X  
015 A000058 MIZUHO BANK, LTD.  
015 B000058 S  
015 C010058 TOKYO  
015 D010058 JAPAN  
015 E040058 X  
015 A000059 NORDEA BANK AB  
015 B000059 S  
015 C010059 STOCKHOLM  
015 D010059 SWEDEN  
015 E040059 X  
015 A000060 NORDEA BANK DANMARK A/S  
015 B000060 S  
015 C010060 TAASTRUP  
015 D010060 DENMARK  
015 E040060 X  
015 A000061 NORDEA BANK FINLAND PLC  
015 B000061 S  
015 C010061 HELSINKI  
015 D010061 FINLAND  
PAGE 8  
015 E040061 X  
015 A000062 NORDEA BANK NORGE ASA  
015 B000062 S  
015 C010062 OSLO

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015 D010062 NORWAY  
015 E040062 X  
015 A000063 PRIVREDNA BANKA ZAGREB D.D.  
015 B000063 S  
015 C010063 ZAGREB  
015 D010063 CROATIA  
015 E040063 X  
015 A000064 REPUBLIC BANK LIMITED  
015 B000064 S  
015 C010064 PORT OF SPAIN  
015 D010064 TRINIDAD AND TOBAGO  
015 E040064 X  
015 A000065 ROYAL BANK OF CANADA  
015 B000065 S  
015 C010065 TORONTO  
015 D010065 CANADA  
015 E040065 X  
015 A000066 SANTANDER INVESTMENT, S.A.  
015 B000066 S  
015 C010066 MADRID  
015 D010066 SPAIN  
015 E040066 X  
015 A000067 SB HSBC BANK KAZAKHSTAN JSC  
015 B000067 S  
015 C010067 ALMATY  
015 D010067 KAZAKHSTAN  
015 E040067 X  
015 A000068 SOCIETE GENERALE  
015 B000068 S  
015 C010068 PARIS  
015 D010068 FRANCE  
015 E040068 X  
015 A000069 SOCIETE GENERALE MAROCAINE DE BANQUES  
015 B000069 S  
015 C010069 CASABLANCA  
015 D010069 MOROCCO  
015 E040069 X  
015 A000070 STANBIC BANK TANZANIA LIMITED  
015 B000070 S  
015 C010070 DAR ES SALAAM  
015 D010070 TANZANIA  
015 E040070 X  
015 A000071 STANBIC BANK ZIMBABWE LIMITED  
015 B000071 S  
015 C010071 HARARE  
015 D010071 ZIMBABWE  
015 E040071 X  
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015 A000072 STANBIC IBTC BANK PLC  
015 B000072 S  
015 C010072 LAGOS  
015 D010072 NIGERIA  
015 E040072 X  
015 A000073 STANDARD BANK LIMITED, MALAWI  
015 B000073 S  
015 C010073 BLANTYRE  
015 D010073 MALAWI  
015 E040073 X  
015 A000074 STANDARD BANK NAMIBIA LIMITED  
015 B000074 S  
015 C010074 WINDHOEK  
015 D010074 NAMIBIA

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015 E040074 X  
015 A000075 STANDARD CHARTERED BANK  
015 B000075 S  
015 C010075 DHAKA  
015 D010075 BANGLADESH  
015 E040075 X  
015 A000076 STANDARD CHARTERED BANK (PAKISTAN) LIMITED  
015 B000076 S  
015 C010076 KARACHI  
015 D010076 PAKISTAN  
015 E040076 X  
015 A000077 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD  
015 B000077 S  
015 C010077 BANGKOK  
015 D010077 THAILAND  
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015 A000078 STANDARD CHARTERED BANK BOTSWANA LIMITED  
015 B000078 S  
015 C010078 GABORONE  
015 D010078 BOTSWANA  
015 E040078 X  
015 A000079 STANDARD CHARTERED BANK COTE D'IVOIRE SA  
015 B000079 S  
015 C010079 ABIDJAN  
015 D010079 IVORY COAST  
015 E040079 X  
015 A000080 STANDARD CHARTERED BANK GHANA LIMITED  
015 B000080 S  
015 C010080 ACCRA  
015 D010080 GHANA  
015 E040080 X  
015 A000081 STANDARD CHARTERED BANK KENYA LIMITED  
015 B000081 S  
015 C010081 NAIROBI  
015 D010081 KENYA  
015 E040081 X  
015 A000082 STANDARD CHARTERED BANK KOREA LIMITED  
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015 B000082 S  
015 C010082 SEOUL  
015 D010082 SOUTH KOREA  
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015 A000083 STANDARD CHARTERED BANK UGANDA LIMITED  
015 B000083 S  
015 C010083 KAMPALA  
015 D010083 UGANDA  
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015 A000084 STANDARD CHARTERED BANK ZAMBIA PLC  
015 B000084 S  
015 C010084 LUSAKA  
015 D010084 ZAMBIA  
015 E040084 X  
015 A000085 SWEDBANK AS  
015 B000085 S  
015 C010085 TALLINN  
015 D010085 ESTONIA  
015 E040085 X  
015 A000086 SWEDBANK AS  
015 B000086 S  
015 C010086 RIGA  
015 D010086 LATVIA  
015 E040086 X



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015 A000087 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED  
015 B000087 S  
015 C010087 TOKYO  
015 D010087 JAPAN  
015 E040087 X  
015 A000088 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000088 S  
015 C010088 EBENE  
015 D010088 MAURITIUS  
015 E040088 X  
015 A000089 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000089 S  
015 C010089 TAGUIG CITY  
015 D010089 PHILIPPINES  
015 E040089 X  
015 A000090 THE HONGKONG AND SHANGHAI BANKING CORP. LTD  
015 B000090 S  
015 C010090 COLOMBO  
015 D010090 SRI LANKA  
015 E040090 X  
015 A000091 UBS AG  
015 B000091 S  
015 C010091 ZURICH  
015 D010091 SWITZERLAND  
015 E040091 X  
015 A000092 UNICREDIT BANK AUSTRIA AG  
015 B000092 S  
PAGE 11  
015 C010092 VIENNA  
015 D010092 AUSTRIA  
015 E040092 X  
015 A000093 UNICREDIT BANK CZECH REPUBLIC A.S.  
015 B000093 S  
015 C010093 PRAGUE  
015 D010093 CZECH REPUBLIC  
015 E040093 X  
015 A000094 UNICREDIT BANK SLOVAKIA A.S.  
015 B000094 S  
015 C010094 BRATISLAVA  
015 D010094 SLOVAK REPUBLIC  
015 E040094 X  
015 A000095 UNICREDIT BANK SRBIJA A.D.  
015 B000095 S  
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015 A000096 UNICREDIT BANKA SLOVENIJA D.D.  
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015 E040096 X  
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020 A000001 GOLDMAN, SACHS & CO.  
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020 C000001 80  
020 A000002 CITIGROUP GLOBAL MARKETS INC.  
020 B000002 11-2418191  
020 C000002 62  
020 A000003 BARCLAYS CAPITAL

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020 B000003 13-3297824  
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020 A000004 MORGAN STANLEY  
020 B000004 13-2655998  
020 C000004 29  
020 A000005 J.P. MORGAN SECURITIES, INC.  
020 B000005 13-3224016  
020 C000005 22  
020 A000006 DEUTSCHE BANK SECURITIES INC.  
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020 A000007 ROBERT W. BAIRD & CO. INC.  
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020 A000008 CREDIT SUISSE SECURITIES (USA) L.L.C.  
020 B000008 13-5659485  
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PAGE 12  
020 A000009 WELLS FARGO INVESTMENTS, LLC  
020 B000009 91-1374280  
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020 A000010 UBS SECURITIES LLC  
020 B000010 13-3873456  
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022 A000001 DEUTSCHE BANK SECURITIES INC.  
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022 A000002 GOLDMAN, SACHS & CO.  
022 B000002 13-5108880  
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022 A000003 J.P. MORGAN SECURITIES, INC.  
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022 A000004 WELLS FARGO INVESTMENTS, LLC  
022 B000004 91-1374280  
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022 A000006 NOMURA SECURITIES INTERNATIONAL, INC.  
022 B000006 13-2642206  
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022 A000007 BNP PARIBAS SECURITIES CORP.  
022 B000007 13-3235334  
022 C000007 8431  
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022 A000008 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
022 B000008 13-5674085  
022 C000008 4049  
022 D000008 6463  
022 A000009 JEFFERIES & COMPANY, INC.  
022 B000009 95-2622900  
022 C000009 7204  
022 D000009 1903  
022 A000010 BANC OF AMERICA SECURITIES LLC

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022 B000010 56-2058405  
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025 A000002 JPMorgan Chase & Co.  
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025 A000003 The Goldman Sachs Group, Inc.  
025 B000003 13-5108880  
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054	J000000	Y
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054	L000000	Y
054	M000000	Y

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054 N000000 N  
054 O000000 Y  
055 A000000 N  
055 B000000 N  
056 000000 Y  
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066 G000000 N  
067 000000 N  
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068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
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070 F020000 Y  
070 G010000 Y  
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070 H020000 N  
070 I010000 Y  
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070 J010000 Y

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072EE	000000		0
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074	R040000	1074
074	S000000	0
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074	U020000	0
074	V010000	20.54
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086	A020000	941
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086	B020000	14249
086	C010000	0
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086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 TRI-CONTINENTAL - COMMON STOCK  
087 A020000 895436103  
087 A030000 TY  
PAGE 19  
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK  
087 B020000 895436202  
087 C010000 TRI-CONTINENTAL - WARRANT  
087 C020000 895436111  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE SEE BELOW  
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 15, 2013

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke  
-----  
Michael G. Clarke  
Treasurer

/s/ Joseph F. DiMaria  
-----  
Joseph F. DiMaria  
Chief Accounting Officer  
Witness

TRI-CONTINENTAL CORPORATION