

CATHAY GENERAL BANCORP

Form 5

February 26, 2007

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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2005Estimated average
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1. Name and Address of Reporting Person *

CHAN KELLY L

2. Issuer Name **and** Ticker or Trading
SymbolCATHAY GENERAL BANCORP
[CATY]5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/29/2006☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

777 N. BROADWAY

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	Â	Â	Â	Â	Â	Â	90,680	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	9,800	I	By Spouse
Common Stock	Â	Â	Â	Â	Â	Â	58,288	I	Living Trust
Common Stock	Â	Â	Â	Â	Â	Â	13,184	I	As custodian

Common Stock	12/22/2006	Â	G	3,588	D	\$ 0	27,096	I	for children
Common Stock	Â	Â	Â	Â	Â	Â	200,000	I	Partner - Chansons Properties
									Trustee - WHFC Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option	\$ 10.625	Â	Â	Â	Â Â	01/20/2001 ⁽¹⁾ 01/20/2010	Common Stock 2,000
Stock Option	\$ 15.0475	Â	Â	Â	Â Â	01/18/2002 ⁽¹⁾ 01/18/2011	Common Stock 2,000
Stock Option	\$ 16.275	Â	Â	Â	Â Â	02/21/2003 ⁽²⁾ 02/21/2012	Common Stock 2,000
Stock Option	\$ 19.925	Â	Â	Â	Â Â	01/16/2004 ⁽³⁾ 01/16/2013	Common Stock 3,800
Stock Option	\$ 24.8	Â	Â	Â	Â Â	11/20/2004 ⁽⁴⁾ 11/20/2013	Common Stock 11,000
Stock Option	\$ 37	Â	Â	Â	Â Â	02/17/2006 ⁽⁵⁾ 02/17/2015	Common Stock 3,500
Stock Option	\$ 36.24	Â	Â	Â	Â Â	01/25/2007 ⁽⁶⁾ 01/25/2016	Common Stock 3,500

Reporting Owners

Reporting Owner Name / Address	Relationships
Reporting Owners	

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Director 10% Owner Officer Other

CHAN KELLY L

777 N. BROADWAY

LOS ANGELES, CA 90012

☒ X

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☐

Signatures

Kelly L. Chan

02/26/2007

 **Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option is fully exercisable.
- (2) The option vests in 5 equal annual installments beginning 2/21/03.
- (3) The option vests in 5 equal annual installments beginning 1/16/04.
- (4) The option vests in 5 equal annual installments beginning 11/20/04.
- (5) The option vests in 5 equal annual installments beginning 2/17/06.
- (6) The option vests in 5 equal annual installments beginning 1/25/07.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.