Edgar Filing: TRANSCONTINENTAL REALTY INVESTORS INC - Form 4

TRANSCONTINENTAL REALTY INVESTORS INC

Form 4

share

December 13, 2016

FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	Washington, D.C. 20549							OMB Number: 3235-		-0287		
	Check this box if no longer CTATION OF CHANGES IN DESIGNAL ON A SERVICE OF CHANGES IN DESIGNAL ON A SERVICE OF CHANGES IN DESIGNAL ON A SERVICE OF CHANGES IN DESIGNAL OF CHANGES IN D								Expires:	oires: January		
STATEMENT OF CHANGES IN BENEFIC Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities									Estimated average burden hours per response		0.5	
obligation may con <i>See</i> Inst 1(b).	ons Section 17(a) of the l	Public U	tility F	Holo		pany	Act of	1935 or Section	1		
(Print or Type	Responses)											
1. Name and Moos Dani	2. Issuer Name and Ticker or Trading Symbol TRANSCONTINENTAL REALTY						5. Relationship of Reporting Person(s) to Issuer					
						C [TCI]	(L) 11	J1 1	(Checl	k all applicable)	
(Last) 1603 LBJ I	3. Date of Earliest Transaction (Month/Day/Year) 12/07/2016						Director 10% Owner _X_ Officer (give title Other (specify below) President and CEO					
	4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
DALLAS,	TX 75234								Form filed by M Person	fore than One Re	porting	
(City)	(State)	(Zip)	Tabl	le I - No	n-D	Derivative So	ecurit	ies Acqı	uired, Disposed of	, or Beneficial	ly Owne	d
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)					Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount	or (D)	Price	(Instr. 3 and 4)			
common stock, par value \$0.01 per	12/07/2016	12/12/20	016	P		285,000 (1)	A	\$ 10.6	290,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date Expirat Exercisable Date	Expiration	Title Nur			
							Date		Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Moos Daniel J 1603 LBJ FREEWAY, SUITE 800 DALLAS, TX 75234

President and CEO

Signatures

Daniel J. Moos 12/13/2016 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) shares purchased from American Realty Investors, Inc.
- (2) \$10.60 was the quoted market price on the NYSE at noon on 12/06/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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