Edgar Filing: Edwards Jeffrey S - Form 4

Edwards Jeffrey S Form 4 February 06, 2007 FORM 4 FORM 4 Check this box if no longer subject to Section 16, Form 5 obligations any continue. See Instruction 1(b).									3235-0287 January 31, 2005 verage s per		
Edwards Jeffrey S Symt			Symbol	2. Issuer Name and Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 49200 HALYARD DRIVE, P.O. BOX 8010			3. Date of Earliest Transaction(Month/Day/Year)02/02/2006					Director10% Owner XOfficer (give titleOther (specify below)below) Vice President			
				endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)	Tah	le I - Non-D	erivative	Secur	ities Aca	Person uired, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any	ned	3. Transactio Code (Instr. 8) Code V	4. Securit	ties Ac sposec	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common Stock	02/02/2006			А	1.447	A	\$ 69.096	27,423.433	D	D-: 401(1)	
Common Stock								5,203.51 <u>(1)</u>	Ι	By 401(k) Plan Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactie Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units - Excess Benefit Plan	(2)					<u>(3)</u>	<u>(3)</u>	Common Stock	146.064
Phantom Stock Units - EICP	(2)					<u>(4)</u>	<u>(4)</u>	Common Stock	6,344.71
Phantom Stock Units - Restricted Stock Plan	<u>(2)</u>					(5)	(5)	Common Stock	287.156
Stock Option	\$ 28.4219					11/15/2002	11/15/2010	Common Stock	11,550
Stock Option	\$ 40.115					11/14/2003	11/14/2011	Common Stock	17,600
Stock Option	\$ 40.2975					11/20/2004 <u>(6)</u>	11/20/2012	Common Stock	20,000
Stock Option	\$ 52.55					11/19/2005 <u>(6)</u>	11/19/2013	Common Stock	20,000
Stock Option	\$ 61.69					11/17/2006(6)	11/17/2014	Common Stock	30,000
Stock Option	\$ 67.685					11/16/2007 <u>(6)</u>	11/16/2015	Common Stock	50,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
I	Director	10% Owner	Officer	Other				
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170			Vice Pr	esident				
Signatures								
Arlene D. Gumm, Attorney-in- Edwards		02/06/2006						
<u>**</u> Signature of Reporti		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of underlying securities is based on the stock fund balance on February 2, 2006. The actual number of shares issuable upon
 (1) the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a February 2, 2006 stock fund price of \$68.82 per share.
- (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (3) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (4) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service.
- (5) The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (6) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.