## Edgar Filing: FOREST LABORATORIES INC - Form 4/A

FOREST LABORATORIES INC Form 4/A December 13, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading STAFFORD RAYMOND Issuer Symbol FOREST LABORATORIES INC (Check all applicable) [FRX] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) C/O FOREST LABORATORIES. 12/08/2006 **EVP** Global Marketing INC., 909 THIRD AVENUE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 12/12/2006 Form filed by More than One Reporting NEW YORK, NY 10022 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) anv Code Disposed of (D) Beneficially (D) or Indirect Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Ownership (Instr. 8) Owned (T)(Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.         | 3. Transaction Date | 3A. Deemed         | 4.        | 5. Number of | 6. Date Exercisable and | 7 | 7. Title and Amount o |
|-------------|------------|---------------------|--------------------|-----------|--------------|-------------------------|---|-----------------------|
| Derivative  | Conversion | (Month/Day/Year)    | Execution Date, if | Transacti | orDerivative | Expiration Date         | τ | Underlying Securities |

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| Security<br>(Instr. 3)  |           |            | ny<br>Month/Day/Yea  | Code<br>r) (Instr. 8) | Securities<br>Acquired (<br>or Dispose<br>(D)<br>(Instr. 3, 4<br>and 5) | (A)<br>ed of | (Month/Day/Year)      |                    | (Instr. 3 and 4) |                                    |  |  |
|---|-----------|------------|----------------------|-----------------------|---|--------------|-----------------------|--------------------|------------------|------------------------------------|--|--|
|   |           |            |                      | Code N                | / (A)   | (D)          | Date Exercisable      | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Share |  |  |
| Stock<br>Options<br>(Right to<br>Buy)   | \$ 51.535 | 12/08/2006 |                      | А                     | 25,000<br>( <u>3)</u>   |              | 12/08/2007 <u>(1)</u> | 12/08/2016         | Common<br>Stock  | 25,000                             |  |  |
| Reporting Owners  |           |            |                      |                       |   |              |                       |                    |                  |                                    |  |  |
| <b>Reporting Owner Name / Address</b>   |           |            | Relationships        |                       |   |              |                       |                    |                  |                                    |  |  |
|   |           |            | Director 10          | % Owner Officer       |   |              | Oth                   |                    |                  |                                    |  |  |
| STAFFORD RAYMOND<br>C/O FOREST LABORATORIES, INC.<br>909 THIRD AVENUE<br>NEW YORK, NY 10022 |           |            | EVP Global Marketing |                       |   |              |                       |                    |                  |                                    |  |  |
| Signa   | tures     |            |                      |                       |   |              |                       |                    |                  |                                    |  |  |
| /s/ Raym<br>Stafford  | ond       | 12/12/2006 |                      |                       |   |              |                       |                    |                  |                                    |  |  |
| <u>**</u> Signat<br>Reporting   |           | Date       |                      |                       |   |              |                       |                    |                  |                                    |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Becomes exercisable as to 15% of the shares covered on each of the first four anniversaries of 12/8/06 and becomes exercisable as to the balance on the fifth anniversary.
- (2) The reporting person beneficially owns 251,040 shares which includes options to purchase 150,000 shares.
- (3) This Form 4 is being filed to correctly state the number of options that were granted to the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.