Weinstein Herschel S Form 4 May 23, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person \* Weinstein Herschel S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

FOREST LABORATORIES INC

[FRX]

05/21/2013

(Month/Day/Year)

Director 10% Owner

(Check all applicable)

SVP General Counsel

X\_ Officer (give title below)

Other (specify

C/O FOREST LABORATORIES.

(Street)

(State)

(First)

(Middle)

(Zip)

INC., 909 THIRD AVENUE

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10022

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported

Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

(A) or Amount (D)

A

Code V Price

Common 05/21/2013 Stock

13,740 A (1)

\$0  $101,509 \stackrel{(2)}{=} \stackrel{(3)}{=} D$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Weinstein Herschel S - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to	\$ 37.875	05/21/2013		A	50,020	05/21/2014(4)	05/20/2023	Common Stock	50,020

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Weinstein Herschel S C/O FOREST LABORATORIES, INC. 909 THIRD AVENUE NEW YORK, NY 10022

**SVP** General Counsel

### **Signatures**

Buy)

/s/ Herschel S. Weinstein 05/23/2013

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of common stock are issued under the Company's 2007 Equity Incentive Plan and are subject to a risk of forfeiture, which lapses as to 33% of the shares on May 15, 2014, 33% of the shares on May 15, 2015 and 34% of the shares on May 15, 2016.
- The amount of securities beneficially owned following the reported transaction has been adjusted downward to correct the inadvertent (2) inclusion of stock withheld in payment of taxes due on the vesting of shares of restricted stock. The error was originally made on a Form 4 filed by the Reporting Person on May 17, 2013.
- (3) This amount includes shares of common stock which are subject to a risk of forfeiture.
- (4) The option becomes exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (May 21, 2013).
- (5) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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