Edgar Filing: FOREST LABORATORIES INC - Form 3

FOREST LABORATORIES INC Form 3 December 12, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Paull Sally			2. Date of Event Requiring Statement (Month/Day/Year)	³ 3. Issuer Name and Ticker or Trading Symbol FOREST LABORATORIES INC [FRX]				
(Last)	(First)	(Middle)	12/09/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
C/O FOREST LABORATORIES, INC., 909 THIRD AVENUE (Street) NEW YORK, NY 10022				(Check all applicable) Director 10% Owner X Officer Other (give title below) (specify below) SVP - Human Resources		Owner ow)	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned			neficially Owned		
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.		
Common Sto	ock		25,185 <u>(1)</u>		D	Â		
Reminder: Repo			ach class of securities benefic	^{ially} S	EC 1473 (7-02)		
,	Perso inforr requi	ons who res nation conta red to respo	pond to the collection of ained in this form are not and unless the form displ MB control number.	t				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

OMB APPROVAL

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	11/16/2010(2)	11/15/2019	Common Stock	10,000	\$ 28.785	D	Â
Stock Option (Right to Buy)	12/06/2011 <u>(3)</u>	12/05/2020	Common Stock	20,000	\$ 32.165	D	Â
Stock Option (Right to Buy)	12/05/2012 <u>(4)</u>	12/04/2021	Common Stock	14,300	\$ 29.995	D	Â
Stock Option (Right to Buy)	05/07/2013 <u>(5)</u>	05/06/2022	Common Stock	8,300	\$ 34.035	D	Â
Stock Option (Right to Buy)	05/21/2014 <u>(6)</u>	05/20/2023	Commmon Stock	17,120	\$ 37.875	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Paull Sally C/O FOREST LABORATORIES, INC. 909 THIRD AVENUE NEW YORK, NY 10022	Â	Â	SVP - Human Resources	Â	

Signatures

/s/ Sally Paull	12/12/2013
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amount includes shares of common stock which are subject to a risk of forfeiture.
- (2) The option became exercisable as to 1,500 shares covered by the option on the first four anniversaries of the grant date (November 16, 2009) and as to the remaining 4,000 shares on the fifth anniversary of the grant date.
- (3) The option became exercisable as to 3,000 shares covered by the option on the first four anniversaries of the grant date (December 6, 2010) and as to the remaining 8,000 shares on the fifth anniversary of the grant date.
- (4) The option became exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (December 5, 2011).
- (5) The option became exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (May 7, 2012).
- (6) The option became exercisable as to 25% of the shares covered by the option on each of the first four anniversaries of the grant date (May 21, 2013).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.