Edgar Filing: Dada Uzair - Form 4

Dada Uzair Form 4 January 23, 2 FORN Check th if no long subject to Section 1 Form 4 co Form 5 obligation may com <i>See</i> Instr 1(b).	1 4 UNITED S uis box ger o 16. or Filed purs tinue.	IENT O suant to a	Was F CHAN Section 1 Public Ut	Shington, GES IN SECUR 6(a) of the	D.C. 20 BENEF ITIES e Securit ling Con	549 ICIA ies E ipany	LOWN Exchange y Act of	COMMISSION NERSHIP OF e Act of 1934, 1935 or Section 0	OMB Number: Expires: Estimated a burden hou response	•	
(Print or Type]	Responses)										
Dada Uzair Symbol			Symbol	lssuer Name and Ticker or Trading bol I Holding, Inc. [BOFI]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	/liddle)	3. Date of Earliest Transaction (C				(Chec	eck all applicable)			
4350 LA JOLLA VILLAGE(Month/E01/22/201/22/2DRIVE, SUITE 140			/Day/Year) 2018			X_ Director 10% Owner Officer (give title Other (specify below) below)					
			endment, Date Original			6. Individual or Joint/Group Filing(Check					
Filed(Mor SAN DIEGO, CA 92122				nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	on Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock (1)	01/22/2018			M	1,055	A	\$ 32.57	6,004	D		
Common Stock (1)	01/22/2018			F	558 <u>(2)</u>	D	\$ 32.57	5,446	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (3)	<u>(3)</u>	01/22/2018		М	1,055	<u>(4)</u>	(4)	Common Stock	1,055	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
Topo ang o the trans, transies	Director	10% Owner	Officer	Other		
Dada Uzair 4350 LA JOLLA VILLAGE DRIVE SUITE 140 SAN DIEGO, CA 92122	Х					
Signatures						
By: Andrew J Micheletti For: Uzair Dada	0	01/23/2018				

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Common Stock issued on January 22, 2018 following the vesting of Restricted Stock Units ("RSUs") which vest one-third each year. The RSUs were previously granted to the reporting person under the BofI Holding, Inc. 2014 Stock Incentive Plan.
- (2) Represents shares of Common Stock retained by BofI Holding, Inc. for tax withholding purposes in connection with the net-settlement on the issuance of shares of Common Stock in respect to the vested RSUs.

The RSUs were granted to the reporting person under the BofI Holding, Inc. 2014 Stock Incentive Plan in connection with the reporting person's service as a member of the Board of Directors. Each RSU represents a contingent right to receive one share of BofI Holding, Inc. Common Stock. The RSUs are accompanied by dividend equivalent rights.

(4) The RSUs vest over three years, one-third on each anniversary date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.